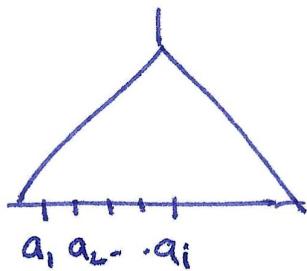


$$\sum_{b_1 \in S_1} \sum_{b_2 \in S_2} \dots \sum_{b_n \in S_n} g(b_1, \dots, b_n) \equiv K \pmod{p}$$

$S_1, S_2, \dots, S_n$  are discrete (small sets) of evaluations

$$\{0, 1\}^n \quad |S_i| = \text{poly}(n)$$



$\hookrightarrow$  might NOT be in  $S_1, S_2, \dots, S_i$

Suppose (by previous interactions), Verifier needs to check

$$\sum_{b_{i+1} \in S_{i+1}} \sum_{b_{i+2} \in S_{i+2}} \dots \sum_{b_n \in S_n} g(a_1, \dots, a_i, b_{i+1}, \dots, b_n) \stackrel{\text{Set}}{\longleftrightarrow} \stackrel{\text{free}}{\longleftrightarrow} \equiv K' \pmod{p}$$

$$h_{i+1}: \mathbb{F}_p \rightarrow \mathbb{F}_p$$

Var. of  $h_{i+1}$

$$\cancel{h_{i+1}(x)} = \sum_{b_{i+2} \in S_{i+2}} \dots \sum_{b_n \in S_n} g(a_1, \dots, a_i, x, b_{i+2}, \dots, b_n)$$

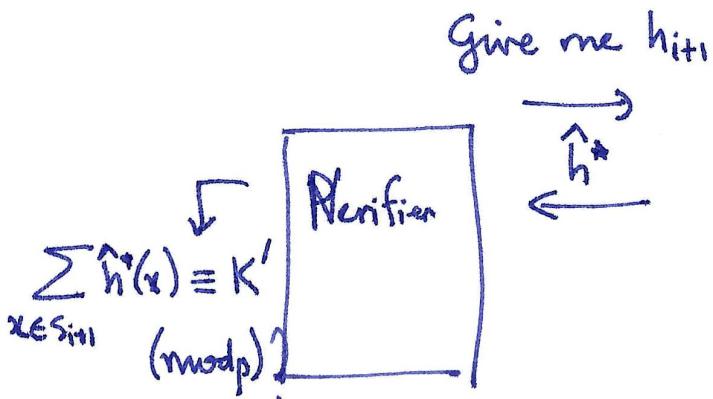
Previously set summed over

$$\text{Representation size of } h_{i+1}(x) \leq \frac{d \log p}{\text{poly}(n)} = \text{poly}(n)$$

$$\sum_{b_{i+1} \in S_{i+1}} - \sum_{b_n \in S_n} g(a_1, \dots, a_i, b_{i+1}, \dots, b_n) \equiv K' \pmod{p}$$

Need  
to check

$$\sum_{x \in S_{i+1}} h_{i+1}(x)$$



Is  $\hat{h} \equiv h_{i+1}$ ?

Is  $\hat{h} - h_{i+1} \equiv 0$ ?

But  $\hat{h} - h_{i+1}$  has degree  $\leq d$ .

If  $\hat{h} - h_{i+1} \neq 0$ , then  $\hat{h}(y) = h_{i+1}(y)$  for at most  $d$  values (roots of  $\hat{h} - h_{i+1}$ ).

For at least  $p-d$  values,  $\hat{h}(y) \neq h_{i+1}(y)$ .

Pick  $a_{i+1}$  at random in  $\mathbb{F}_p$ .

Check if

$$\sum_{b_{i+2} \in S_{i+2}} - \sum_{b_n} g(a_1, \dots, a_i, a_{i+1}, b_{i+2}, \dots, b_n) \equiv \underbrace{\hat{h}(a_{i+1})}_{\pmod{p}} ?$$

like  $K'$

Verifier wants to check

$$\sum_{b_{i+1} \in S_{i+1}} - - \sum_{b_n \in S_n} g(a_1, \dots, a_i, b_{i+1}, \dots, b_n) \equiv K \pmod{p}$$

set  $\longleftrightarrow$  summed over

(If  $i=n$ , check directly)

V: Give me the univariate polynomial  $h_{i+1}$

P: Sends a polynomial  $\hat{h}$

V: (1) Check that  $\sum_{x \in S_{i+1}} \hat{h}(x) \equiv K \pmod{p}$ . If not, reject

(2) Pick  $a_{i+1}$  var in  $\mathbb{F}_p$

(3) Recursively check  $h_{i+1}(a_{i+1})$

$$\sum_{b_{i+2} \in S_{i+2}} - - \sum_{b_n \in S_n} g(a_1, \dots, a_i, a_{i+1}, b_{i+2}, \dots, b_n) \\ \equiv \hat{h}(a_{i+1}) \pmod{p}$$

Clm: Suppose Verifier has an incorrect statement (at the beginning of an iteration), then with probability either Verifier rejects or

~~Proof:~~ at least  $(1 - \frac{d}{p})$  over choice of  $a_{i+1}$ ,

Verifier has an incorrect statement to check recursively.

Proof: If prover sends the right polynomial  $h_{i+1} (= \hat{h})$ , then  $\sum_{x \in S_{i+1}} h_{i+1}(x) \not\equiv K \pmod{p}$ . Verifier rejects.

Suppose prover sends a polynomial  $\hat{h}$  s.t.

$\sum_{x \in S_{i+1}} \hat{h}(x) \equiv K \pmod{p}$ . Then  $\hat{h} \not\equiv h_{i+1}$ . Hence, these polynomials differ in at least  $\frac{d}{p-d}$  values. With prob  $\geq 1 - \left(1 - \frac{d}{p}\right)^n$  over choice of  $a_{i+1}$ ,  $\hat{h}(a_{i+1}) \not\equiv h_{i+1}(a_{i+1}) \pmod{p}$ .

Thus, the assertion to be checked recursively is false. ■

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If initial assertion is false,

$$\Pr[\text{rejection}] \geq \left(1 - \frac{d}{p}\right)^n \geq e^{-\frac{2dn}{p}} \geq e^{-\frac{1}{2}}$$

(Assume  $\frac{d}{p} \leq 1$ )  $\approx 0.6$

If  $p \geq 4dn$

---

Running time of verifier =  $\text{poly}(d, n, \log_p)$

#SAT  $\in \overline{\text{IP}}$

Actually QBF  $\in \overline{\text{IP}}$

QBF is PSPACE-complete, so

this proves  $\overline{\text{IP}} = \text{PSPACE}$

QBF  $\Rightarrow \sum_{b_1 \in \{0,1\}} \sum_{b_2 \in \{0,1\}} \dots g(b_1, \dots, b_n) \not\equiv K \pmod{p}$   
 $\neq 0$

Naively, degree of this polynomial is exponential.

Linearization trick to reduce degree to polynomials

(We can replace  $X_i^2$  by  $X_i$ .) Multilinear polynomial

## MIP and PCP theorem

Suppose the verifier could interact with multiple provers, who cannot communicate with each other (after protocol begins).

This is the class MIP.

Thm:  $\text{MIP} \subseteq \text{NEXP}$

Proof sketch: A prover is a fn  $f_p: \{0,1\}^* \rightarrow \{0,1\}^*$

s.t.  $|f_p(x)| = \text{poly}(|x|)$ . For a given size  $n$ , we can non-deterministically "guess" the function  $\underline{f_{p,q(n)}}$  ( $f_p$  restricted to  $\{n\}$  length inputs).

↪ polynomial

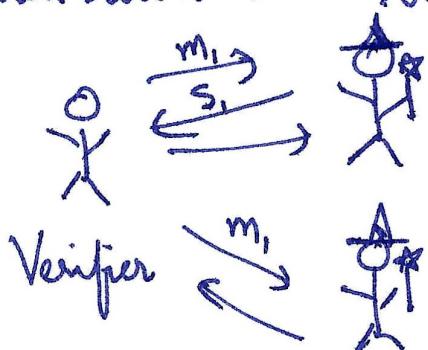
Representation of  $f_{p,q(n)} \leq \exp(q(n)) = 2^{\text{poly}(n)}$

A non-deterministic exponential time machine can guess correct protocol and run it (with randomness) 

Thm [Babai-Fortnow-Lund 90]:  $\text{MIP} = \text{NP EXP}$

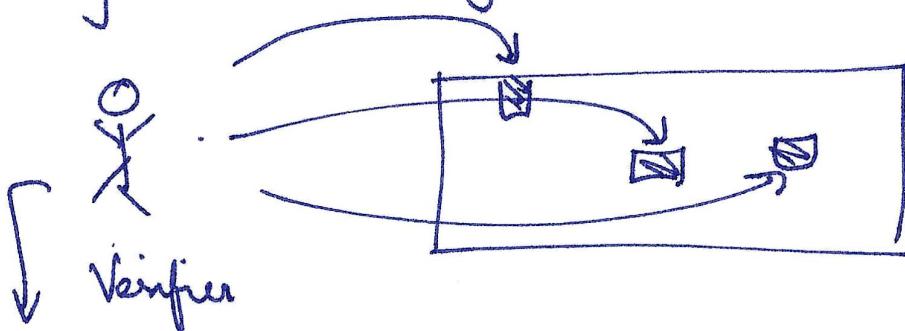
(2 provers are enough [Fortnow-Komal-Sipser 94])

Think of MIP as a protocol that is written down as a truth table (true protocol)



Basically verifier can check if provers responses depend on previous responses.

Second prover is used to check if first prover is following the "true" protocol.



Response

$\text{MIP} \equiv$  Exponential sized certificate into which a polynomial number of (random) queries are made.

Probabilistically Checkable Proof

$\text{PCP}[r, q] \leftarrow$  class of languages decided by  
 $2^r$  sized proof and  $q$ -query (randomized)  
verifiers

$$\text{NEXP} = \bigcup_{c \in \mathbb{N}} \text{PCP}[n^c, n^c]$$

$$\text{NP} = \bigcup_{c \in \mathbb{N}} \text{PCP}[\log^c n, \log^c n]$$

$\uparrow$

Proof of quasipolynomial size  $n^{\log^c n}$   
Queries are  $\text{poly}(\log n)$

Thm [AS 92, ALMSS92]:

$$\text{NP} = \text{PCP}[\text{O}(\log n), \text{O}(1)]$$