

Arthur : (1) Picks random hash fn.  $h$   
 (2) Pick random  $y$  in range  $\{0,1\}^k$   
 Asks Merlin "give me  $x \in S$  s.t.  $h(x) = y$   
 & give me certificate that  $x \in S$ ."

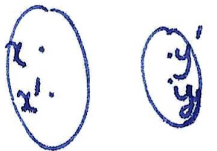
Def: Pairwise independent hash fn. family

Let  $\mathcal{H}_{n,k}$  be a <sup>family</sup> set of fns from  $\{0,1\}^n \rightarrow \{0,1\}^k$ .

This family is Pairwise Independent if

$$\forall \underbrace{x \neq x'}_{x, x' \in \{0,1\}^n}, \forall \underbrace{y \neq y'}_{y, y' \in \{0,1\}^k}$$

$$\Pr_{h \in \mathcal{H}_{n,k}} [h(x) = y \wedge h(x') = y'] = \frac{1}{2^{2k}}$$





$$\Pr_{h,y} \left[ \bigcup_{x \in S} (h(x)=y) \right] \geq \sum_{x \in S} \Pr_{h,y} [h(x)=y]$$

$$- \frac{1}{2} \sum_{\substack{x_1, x_2 \in S \\ x_1 \neq x_2}} \Pr \left[ h(x_1)=y \wedge h(x_2)=y \right]$$

$$\geq \frac{|S|}{2^k} - \frac{1}{2} \frac{|S|^2}{2^{2k}} = \frac{|S|}{2^k} \left( 1 - \frac{|S|}{2 \cdot 2^k} \right)$$

$\leftarrow \geq 3/4$

$$= 2 \left( \frac{|K|}{2^k} \right) \times \frac{3}{4} = \frac{3}{2} \left( \frac{|K|}{2^k} \right)$$

$$|S| = 2K$$

$$2^k \geq 4K$$

Clm: For the GS protocol for GNI; Arthur computes a value  $p (= \frac{K}{2^k})$ .  $(p = \Theta(1))$

~~$p \leq \frac{1}{8}$~~   $p \geq \frac{1}{8}$

If  $G_0 \not\cong G_1$ ,  $\Pr [\text{Arthur accepts}] \geq \left(\frac{3}{2}\right)p$

If  $G_0 \cong G_1$ ,  $\Pr [\text{Arthur accepts}] \leq p$

## $\mathbb{IP} = \text{PSPACE}$

[Lund-Fortnow-Karloff-Nisan 90, Shamir 90]

We will show  $\#SAT \in \mathbb{IP}$

$\hookrightarrow$  counting # satisfying assignments

$\#SAT = \{ \langle \Phi, K \rangle \mid \Phi \text{ has } K \text{ satisfying assignments} \}$

subsumes NP & co-NP  $\rightarrow$  CNF

Arithmetization of SAT: Convert 3CNF  $\Phi$  into a polynomial.

Literal  $x_i \rightarrow X_i$

$\bar{x}_i \rightarrow (1 - X_i)$

AND  $\rightarrow$  Multiplication  
Truth table

Clause  $x_i \vee \bar{x}_j \vee x_k$

0	0	0
0	0	1
0	1	0
0	1	1

1
1
0
1

$X_i \ X_j \ X_k$

$$\delta + \sum_{i=1}^3 \alpha_i X_i + \sum_{j=k+1}^3 \beta_j X_j + \beta_1 X_1 X_2 + \beta_2 X_2 X_3 + \beta_3 X_1 X_3 + \gamma X_1 X_2 X_3$$

$$x_i \vee \bar{x}_j = 1 - (1 - X_i) X_j$$

$$A \vee B = 1 -$$

$$(1 - p(A))(1 - p(B))$$

$$x_i \vee \bar{x}_j \vee x_k = -(1 - X_i)(X_j)(1 - X_k) + 1$$

Clause becomes degree 3 polynomial over

$X_i, X_j, X_k$

variables in clause

$$\Phi = C_1 \wedge C_2 \wedge \dots \wedge C_m$$

$P(C_k) \leftarrow$  polynomial of clause  $C_k$

$$P(\Phi) \Rightarrow \prod_{i=1}^m P(C_i)$$

$$P(\Phi) = \prod_{i=1}^m P(C_i)(X_1, \dots, X_n)$$

$P(\Phi)(x_1, \dots, x_n)$  agrees with  $\Phi(x_1, \dots, x_n)$

when  $x_i$ 's are in  $\{0, 1\}$

$\parallel$   
 $x_i$

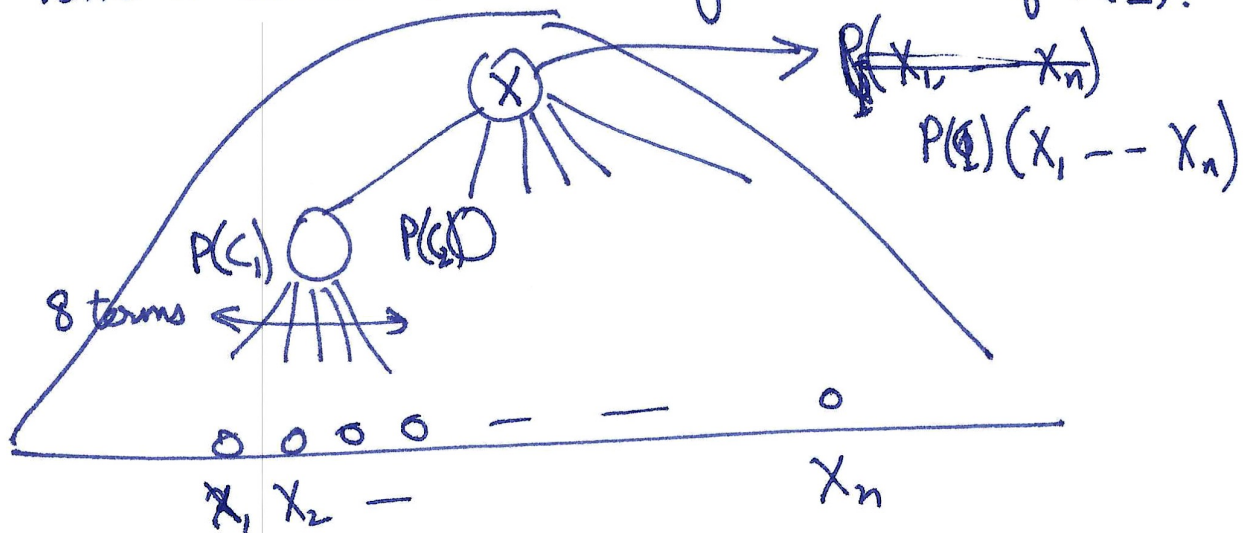
#clause

$P(\Phi)$  has  $n$  variables and degree  $d \leq 3m$   
 $= \text{poly}(n)$

Expansion of

$P(\Phi)$  may have exponentially many monomials.

We have a concise, circuit representation of  $P(\Phi)$ .



$\langle \Phi, K \rangle \in \#SAT \iff$

$$\sum_{b_1 \in \{0,1\}} \sum_{b_2 \in \{0,1\}} \dots \sum_{b_n \in \{0,1\}} P_{\Phi}(b_1, \dots, b_n) = K$$

( $K \leq 2^n$ , so choose  $p \in [2^n, 2^{n+1})$ )

# Sumcheck Protocol

Originally  $p > K$

We wish to verify that

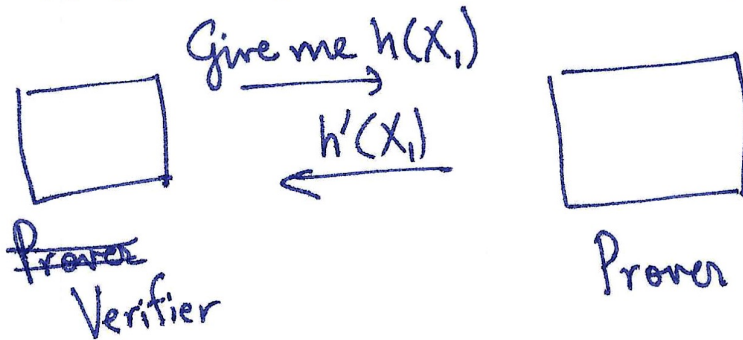
$$\sum_{b_1 \in \{0,1\}} \sum_{b_2 \in \{0,1\}} \dots \sum_{b_n \in \{0,1\}} g(b_1, \dots, b_n) \equiv K \pmod{p}$$

$g$  has a  $\text{poly}(n)$  representation (for evaluation) as a circuit efficient

For any setting of  $b_2 \dots b_n$ ,  $g$  is a ~~univariate~~ univariate polynomial.

$$h(x_1) := \sum_{b_2 \in \{0,1\}} \dots \sum_{b_n \in \{0,1\}} g(x_1, b_2, \dots, b_n)$$

$h(0) + h(1)$  should be  $K \pmod{p}$



Problem:  $h'(0) + h'(1) \equiv K \pmod{p}$   
but  $h' \neq h$