

- Arthur :
- (1) Picks random hash fn. h
 - (2) Pick random y in range $\{0,1\}^k$

Asks Merlin "give me $x \in S$ s.t. $h(x)=y$
 & give me certificate that $x \in S$."

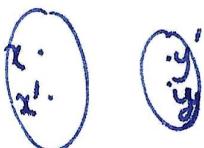
Def.: Pairwise independent hash fn. family

Let $\mathcal{H}_{n,k}$ be a ^{family} of fns from $\{0,1\}^n \rightarrow \{0,1\}^k$.

This family is Pairwise Independent if

$$\forall \underbrace{x \neq x'}_{\in \{0,1\}^n}, \forall \underbrace{y \in \{0,1\}^k}_{y'}$$

$$\Pr_{h \in \mathcal{H}_{n,k}} [h(x)=y \wedge h(x')=y'] = 1/2^k$$



Clm: Let $\mathcal{H}_{n,k}$ be the family of $k \times n$ Boolean matrices

$$\xleftarrow[k]{\nwarrow} \begin{bmatrix} & \\ & \end{bmatrix} [x] = [y] \quad h = \text{Pick } M \text{ var from } \mathcal{H}_{n,k}$$

$$h(x) = Mx$$

$\mathcal{H}_{n,k}$ is a pairwise ind. hash family.

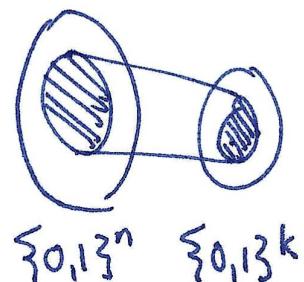
~~$x \in S$~~ \rightarrow

YES : $|S| \geq 2K$

NO: $|S| \leq K$

Choose k s.t. $2^k \in [4K, 8K]$

Clm: In YES case, $\frac{|h(S)|}{2^k} \geq \frac{3}{2} \left(\frac{K}{2^k} \right)$



In NO case, $\frac{|h(S)|}{2^k} \leq \frac{2}{3} \left(\frac{K}{2^k} \right)$

Proof: In NO case, $|h(S)| \leq |S| \leq K \quad \frac{|h(S)|}{K} \leq \frac{K}{2^k}$

In YES case,

$\Pr_{\substack{y \in \{0,1\}^k \\ h \sim \mathcal{H}_{n,k}}} [\exists x \in S, \text{ s.t. } h(x) = y]$

I give a lower bound when $|S| = 2K$. This lower bound holds when $|S| \geq 2K$.

(by monotonicity)

$$\begin{aligned}
 \Pr_{h,y} \left[\bigcup_{x \in S} (h(x) = y) \right] &\geq \sum_{x \in S} \Pr_{h,y} [h(x) = y] \\
 &\quad - \frac{1}{2} \sum_{\substack{x_1, x_2 \in S \\ x_1 \neq x_2}} \Pr_{h,y} [h(x_1) = y \wedge h(x_2) = y] \\
 \geq \frac{|S|}{2^k} - \frac{1}{2} \frac{|S|^2}{2^{2k}} &= \frac{|S|}{2^k} \left(1 - \frac{|S|}{2 \cdot 2^k} \right) \\
 |S| = 2^k &\quad \xrightarrow{\geq 3/4} \\
 2^k \geq 4K &= 2 \left(\frac{K}{2^k} \right) \times \frac{3}{4} = \frac{3}{2} \left(\frac{K}{2^k} \right)
 \end{aligned}$$

- CIm: For the GS protocol for GNI;
- Arthur computes a value $P \left(= \frac{K}{2^k} \right)$. $(P = \Theta(1))$
- $P \in \left\{ \frac{1}{2}, P \geq \frac{1}{8} \right\}$
- If $G_0 \not\equiv G_1$, $\Pr [\text{Arthur accepts}] \geq \left(\frac{3}{2}\right)P$
 - If $G_0 \equiv G_1$, $\Pr [\text{Arthur accepts}] \leq P$

$\mathbb{IP} = \text{PSPACE}$

[Lund-Fortnow-Karloff-Nisan 90, Shamir 90]

We will show $\#\text{SAT} \in \mathbb{IP}$

$\#\text{SAT} = \{ \langle \Phi, K \rangle \mid \Phi \text{ has } K \text{ satisfying assignments} \}$

Subsumes NP & co-NP

Counting # satisfying assignments

CNF

Arithmetization of SAT: Convert 3CNF Φ into a polynomial.

Literal $x_i \rightarrow X_i$ AND \rightarrow Multiplication
 $\bar{x}_i \rightarrow (1-X_i)$ Truth table

Clauses $x_i \vee \bar{x}_j \vee x_k$

0	0	0
0	0	1
0	1	0
0	1	1

1	1	0
1	0	1

$x_i \ x_j \ x_k$

$$S + \sum_{i=1}^3 \alpha_i x_i + \cancel{\sum_{j=1}^3 \beta_j x_1 x_2} \beta_1 x_1 x_2 + \beta_2 x_2 x_3 + \beta_3 x_1 x_3 + \gamma x_1 x_2 x_3$$

$$x_i \vee \bar{x}_j = 1 - (1-x_i)x_j$$

$$A \vee B = 1 -$$

$$x_i \vee \bar{x}_j \vee x_k = -(1-x_i)(x_j)(1-x_k) + 1$$

$$(1-p(A))(1-p(B))$$

Clauses becomes degree 3 polynomial over

$\underbrace{x_i, x_j, x_k}$

variables in clause

$$\Phi = C_1 \wedge C_2 \wedge \dots \wedge C_m$$

$p(C_k) \leftarrow$ polynomial of clause C_k

$$P(\Phi) \Rightarrow \prod_{i=1}^m P(C_i)$$

$$P(\Phi) = \prod_{i=1}^m p(C_i)(x_1, \dots, x_n)$$

$P(\Phi)(x_1, \dots, x_n)$ agrees with $\Phi(x_1, \dots, x_n)$

when x_i 's are in $\{0, 1\}$

$\begin{matrix} \\ \parallel \\ x_i \end{matrix}$

#clause
↓

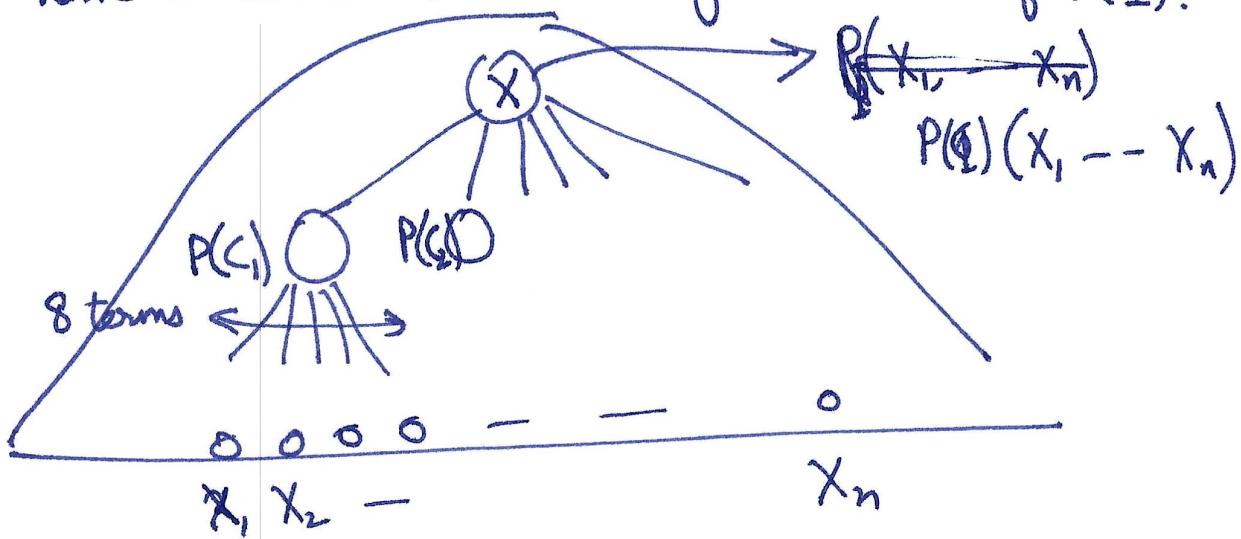
$P(\Phi)$ has n variables and degree $d \leq 3m$

= poly(n)

Expansion of

$P(\Phi)$ may have exponentially many monomials.

We have a concise, circuit representation of $P(\Phi)$.



$\langle \Phi, K \rangle \in \#SAT \iff$

$$\sum_{b_1 \in \{0, 1\}} \sum_{b_2 \in \{0, 1\}} \dots \sum_{b_n \in \{0, 1\}} P_\Phi(b_1, \dots, b_n) = K$$

$(K \leq 2^n, \text{ so choose } p \in [2^n, 2^{n+1})$

Sumcheck Protocol

Originally $p > K$

We wish to verify that

$$\sum_{b_1 \in \{0,1\}} \sum_{b_2 \in \{0,1\}} - \sum_{b_n \in \{0,1\}} g(b_1, \dots, b_n) \equiv K \pmod{p}$$

$= h(0) + h(1)$

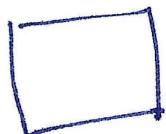
g has a $\text{poly}(n)$ representation (for evaluation)
as a circuit efficient

For any setting of b_2, \dots, b_n , g is a ~~univariate~~ univariate polynomial.

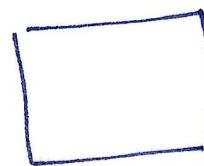
$$h(X_1) := \sum_{b_2 \in \{0,1\}} - \sum_{b_n \in \{0,1\}} g(X_1, b_2, \dots, b_n)$$

$h(0) + h(1)$ should be $K \pmod{p}$

Give me $h(X_1)$



~~Prover~~
Verifier



Prover

$\xrightarrow{h'(X_1)}$

Problem: $h'(0) + h'(1) \equiv K \pmod{p}$

but $h' \neq h$