

# EXIP & INEXP

P vs NP

NP vs co-NP

$$\text{EXIP} = \bigcup_{c \geq 1} \text{DTIME}(2^{n^c})$$

$$\text{E} = \bigcup_{c \geq 1} \text{DTIME}(2^{cn})$$

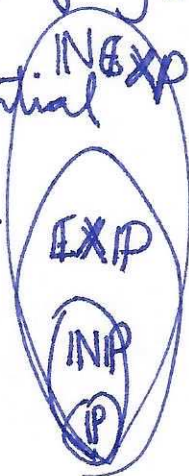
$$\text{INEXP} = \bigcup_{c \geq 1} \text{NTIME}(2^{n^c})$$

$$\mathcal{L} \stackrel{f}{\leq}_p \mathcal{L}'$$

Clm:  $\text{NP} \subseteq \text{EXIP}$

$$|x| \quad |f(x)| = \text{poly}(|x|)$$

Proof idea: For  $\mathcal{L} \in \text{NP}$ , we have an exponential time algorithm that simply tries all certificates.



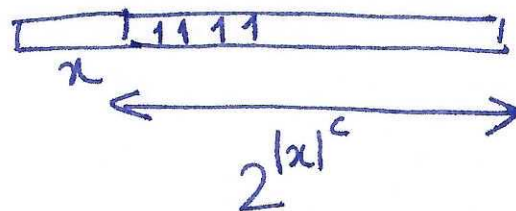
Thm: If  $\text{EXIP} \neq \text{INEXP}$ , then  $\text{P} \neq \text{NP}$ .

Proof: Padding argument.

Assume  $\text{P} = \text{NP}$ . Consider  $\mathcal{L} \in \text{INEXP}$ .

$\exists c \geq 1, \mathcal{L} \in \text{NTIME}(2^{n^c})$ . There is an NTM  $M$  that runs in  $O(2^{n^c})$  time and decides  $\mathcal{L}$ .

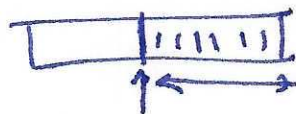
$$\mathcal{L}_{\text{pad}} = \{ \langle x, 1^{2^{|x|^c}} \rangle \mid x \in \mathcal{L} \}$$



Let us design a NTM  $M'$  that decides  $\mathcal{L}_{\text{pad}}$ .

$M'$  (on input  $w$ )

1. Checks if  $w = \langle x, 1^{2^{|x|^c}} \rangle$ . If not, REJECT.  $O(n^2)$
2. Runs  $M$  on  $x$ , and follows output.  $O(n)$



Q. What is running time of  $M'$ ?

(A)  $O(n^2)$  (B)  $\text{poly}(n)$  (C)  $O(2^{n^c})$

$$n = |w|$$

$L_{\text{pad}} \in \text{INP}$ . We assume  $\text{IP} = \text{INP}$

Therefore,  $L_{\text{pad}} \in \text{IP}$ . Let us show that  $L \in \text{E} \times \text{IP}$ .

$$L_{\text{pad}} = \{ \langle x, 1^{2^{|x|^c}} \rangle \mid x \in L \}$$

$\exists$  polytime TM  $N$  that decides  $L_{\text{pad}}$ .

Consider  $N'$  (on input  $x$ )

1. Constructs  $\langle x, 1^{2^{|x|^c}} \rangle$ .

2. Feeds this to  $N$  and follows output.

$N'$  runs in  $\text{poly}(2^{n^c})$  time, so  $L \in \text{E} \times \text{IP}$ .

$$\text{IP} = \text{INP} \Rightarrow \text{E} \times \text{IP} = \text{INE} \times \text{IP}$$



# Time Hierarchy Theorem

$$\text{DTIME}(n^c) \subsetneq \text{DTIME}(n^{c+1})$$

Thm: Let  $f, g: \mathbb{N} \rightarrow \mathbb{N}$  be time constructible.

such that  $\lim_{n \rightarrow \infty} \frac{f(n) \log f(n)}{g(n)} \rightarrow 0$  ( $f(n) \log f(n) = o(g(n))$ )

then  $\text{DTIME}(f(n)) \subsetneq \text{DTIME}(g(n))$

Proof: Diagonalization!

~~For any string  $x$~~  Construct machine  $M$

$M$  (on input  $\langle N \rangle$ )

$M$  is like the MOST efficient TM for  $L(M)$ .

(1) Simulate  $N$  on  $\langle N \rangle$  (using efficient simulation of Kennie-Stearns) for  $g(n)$  steps  
 $U_{TM} \rightarrow n = |\langle N \rangle|$

(2) If simulation halts, flip output.  
Else, reject.

$L(M) \in \text{DTIME}(g(n))$

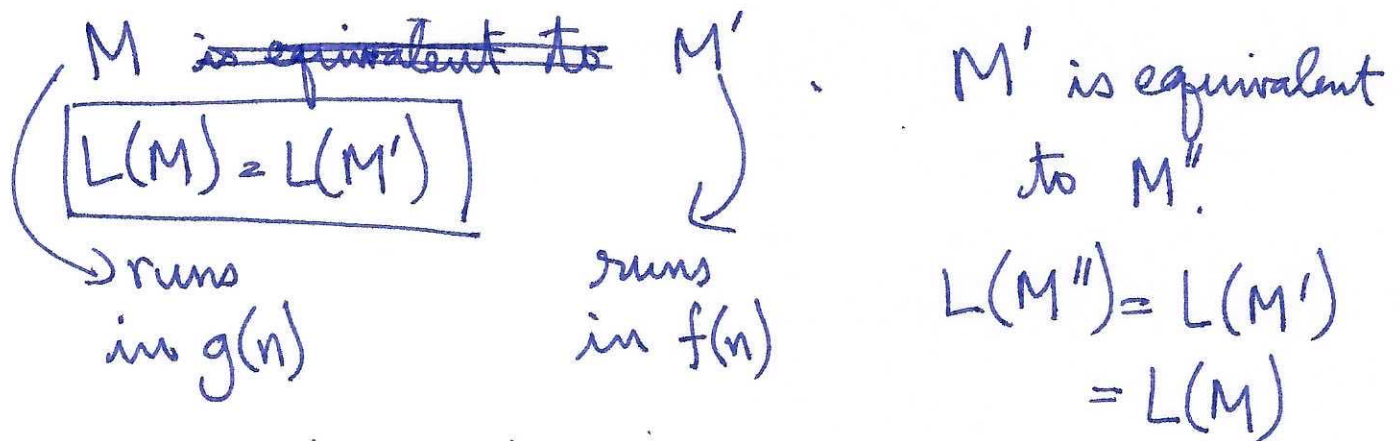
(We will show that  $L(M) \notin \text{DTIME}(f(n))$ .)

Suppose, for contradiction's sake, that

$L(M) \in \text{DTIME}(f(n))$ , decided by TM  $M'$ .

$M'$  (on input  $x$ ) halts in  $f(|x|)$  time

Consider some  $M''$  that is equivalent to  $M'$  but has large enough encoding length to ensure that  ~~$f(KM'')$~~   $f(KM'') \log(KM'') < g(KM'')$



Run  $M(\langle M'' \rangle)$  and see what happens.

$M''(\langle M'' \rangle)$  runs in  $f(KM'')$  time

The simulation (by HS) runs in  $f(KM'') \log(\dots) < g(n)$ .

So the simulation halts.

Output of  $M(\langle M'' \rangle)$  is the opposite of  $M''(\langle M'' \rangle)$ .

Contradiction! So  $L(M) \notin \text{DTIME}(f(n))$ . ▣

Thm:  $P \neq EXXP$

$\searrow \bigcup_{c \geq 1} DTIME(n^c)$

$$g(n) = 2^n$$

$\forall c \quad DTIME(n^c) \subsetneq DTIME(2^n)$

$\nRightarrow \bigcup_{c \geq 1} DTIME(n^c) \subsetneq DTIME(2^n)$

$P \subseteq INP \subseteq EXXP$

$P \neq EXXP$

so ~~either~~  $P \neq INP$  or  $INP \neq EXXP$ .

(We think both.)

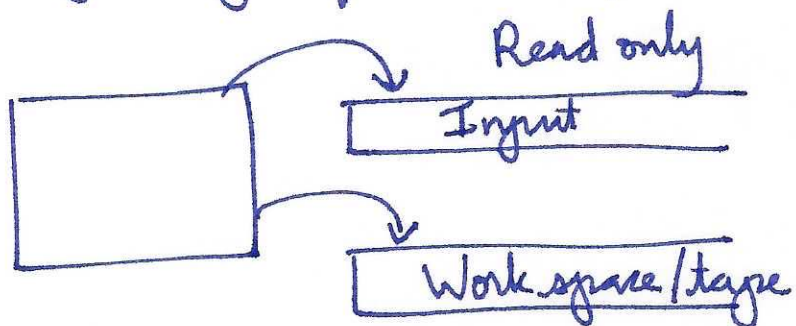
# Space complexity

To define space complexity, we will use more tapes

(Input tape: read only)

work tape: usual

Output tape: final result)



Def. Let  $s: \mathbb{N} \rightarrow \mathbb{N}$  be space-constructible.

$SPACE(s(n))$  is the class of languages decided by TMs using at most  $c \cdot s(n)$  work space.

$s(n)$  can be less than  $n$  !   
 ↘ constant

$$PSPACE = \bigcup_{c \geq 1} SPACE(n^c)$$

$$NPSPACE = \bigcup_{c \geq 1} NSPACE(n^c)$$

$$L = SPACE(\log n)$$

$$NL = NSPACE(\log n)$$

You need  $O(\log n)$  space just to write down the input length.

In  $< \log n$  space, it's hard to even decide very simple language.

# Configuration Graph

$$n = |x|$$

Given TM  $M$  and input  $x$ , we define  
(space bounded) configuration graph  $G_{M,x}$

Recall that the <sup>total</sup> state of  $M$  can be represented as a string with tape contents and the state machine state at the head position.

$$\sigma_1 \sigma_2 \sigma_3 \dots \overset{\curvearrowright}{q} \sigma_i \sigma_{i+1} \dots \sigma_{s(n)}$$

$G_{M,x} = (V, E)$   $V =$  set of all such strings of length  $\leq s(n)$   
 $=$  set of all possible configurations

$E = (u, v)$  if  $M$  can move from config.  $u$  to config  $v$   
directed

$C_{\text{start}}$  Start config: initial config. of  $M$  on input  $x$

$C_{\text{acc}}$  Accepting config: wlog, assume that TM clears out the tape on acceptance, so there is a unique accepting config.



Clm:  $M$  accepts  $x$  iff  $G_{M,x}$  has a directed path from  $c_{start}$  to  $c_{acc}$ .

Q. For  $s(n)$  space <sup>(N)</sup> TM  $M$ ,  $G_{M,x}$  has  
 (A)  $\text{poly}(s(n))$  vertices (B)  $O(2^{s(n)})$  ~~set~~  $2^{O(s(n))}$

Config. is a string of length  $s(n)$   
 over alphabet  $\Sigma \cup Q$  #config =  $|\Sigma \cup Q|^{s(n)}$   
 $= 2^{O(s(n))}$

Clm:  $G_{M,x}$  has outdegree 1 iff  $M$  is deterministic (on  $x$ ).

Thm:  $\text{DTIME}(s(n)) \subseteq \text{SPACE}(s(n)) \stackrel{\text{Trivial}}{\subseteq} \text{NSPACE}(s(n))$   
 $(s(n) \geq \log n)$  Easy  $\subseteq \text{DTIME}(2^{O(s(n))})$

Proof: Consider  $L \in \text{NSPACE}(s(n))$ . There is an NTM  $M$  using  $O(s(n))$  space deciding  $L$ .

Design  $M'$  that: constructs the graph of  $M$  on input  $x$ .

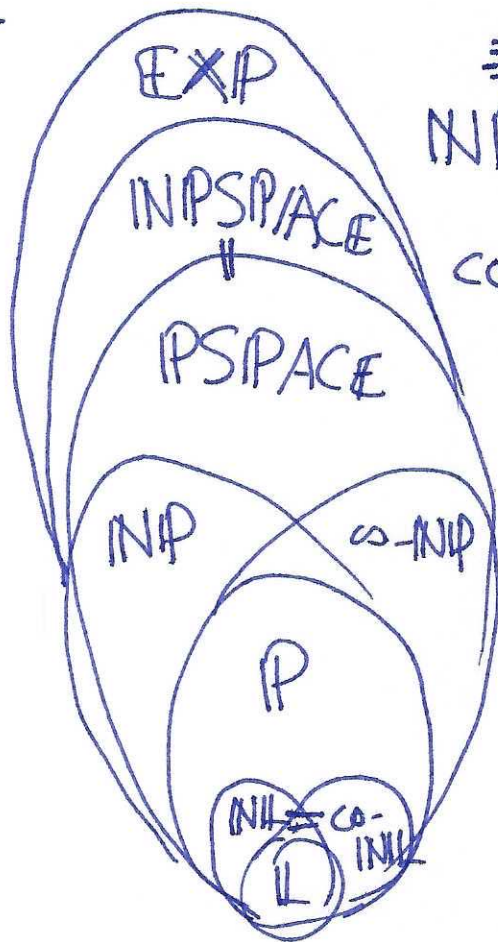
- (1) List out vertices ( $2^{O(s(n))}$  time)
- (2) List out edges ( $2^{O(s(n))}$  time)
- (3) Find  $c_{start}, c_{acc}$  ( $2^{O(s(n))}$  time)
- (4) Run DFS from  $c_{start}$  to get path to  $c_{acc}$ .
- (5) Accept iff path exists.

Clm:  $NP \subseteq PSPACE$

(try all certificates. Reuse space!)

$co-NP \subseteq PSPACE$

$L \subseteq \overset{NL}{=} \subseteq P \subseteq NP \subseteq PSPACE \subseteq \overset{EXP}{=} \overset{NPSPACE}{=} \overset{co-NPSPACE}{=} \overset{EXP}{=}$



$\neq \parallel$   
 $NPSPACE \subseteq EXP$   
 $\parallel$   
 $co-NPSPACE$

$P \neq EXP$

[Space hierarchy thm]

$L \neq PSPACE$

Thm: [Savitch's Theorem 70]

$$NSPACE(s(n)) \subseteq SPACE(s(n)^2)$$

(Hence  $\bigcup_{c \geq 1} NSPACE(n^c) \subseteq \bigcup_{c \geq 1} SPACE(n^{2c})$ )

$$PSPACE = NPSPACE = \bigcup_{c \geq 1} SPACE(n^c)$$

Proof: Consider  $L \in \text{NSPACE}(s(n))$ .

There is a  $s(n)$ -space NTM  $M$  deciding  $L$ .

Given input  $x$ , consider  $G := G_{M,x}$

We need to check if  $c_{\text{start}}$  has a path to  $c_{\text{Acc}}$ .

$G$  has  $2^{O(s(n))}$  vertices. We cannot afford to "write"  $2^{\alpha s(n)} = K$  or construct  $G$  completely.

Define a procedure  $\text{REACH}(C, C', i)$  that

outputs (1) True if  $\exists$  path from  $C$  to  $C'$  of length  $\leq 2^i$

(2) False otherwise

$\text{REACH}(c_{\text{start}}, c_{\text{Acc}}, \alpha s(n))$  is True  
iff  $x \in L$ .  $\alpha s(n)$  is constant

(Base case)  ~~$\text{REACH}(c_{\text{start}}, c_{\text{start}}, 0)$~~

$\text{REACH}(C, C', 0)$  is True iff  $(C, C') \in E$

TM  $M$  can go to config  $C'$  from  $C$

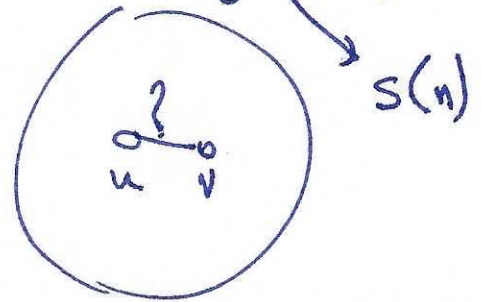
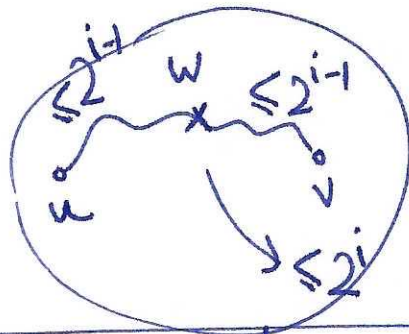
$\text{REACH}(C, C', 0)$

can be decided in  $O(s(n))$  space.

Consider directed graph  $G=(V,E)$ .  $|V|=k = 2^{O(s(n))}$

$$\text{REACH}(u,v,i) = \exists w \in V (\text{REACH}(u,w,i-1) \wedge \text{REACH}(w,v,i-1))$$

$\text{REACH}(u,v,0)$  can be decided in  $O(\log k)$  space



$\text{REACH}(u,v,i)$  :

$\rightarrow (u,v) \in E$

(1) If  $i=0$ , decide  $\text{REACH}(u,v,0)$  in  $O(\log k)$  space.

(2) For all vertices  $w$ : (Reuse space!)

(a) ~~Check if  $\text{REACH}(u,w,i-1)$  is True.~~

(b) Run  $\text{REACH}(w,v,i-1)$

(c) If both are True, output True.

(3) Output False

Clm:  $\text{REACH}(u,v,i)$  outputs True iff there is a path of length  $\leq 2^i$  from  $u$  to  $v$ .

Proof: Induction on  $i$  Exercise.

How much space to implement/run  $\text{REACH}(u,v,i)$ ?

$sp(i)$  = space complexity of  $\max_{u,v} \text{REACH}(u,v,i)$

What is the recurrence for  $s(i)$ ?

$$s(0) = O(\log K)$$

✓ (A)  $s(i+1) \leq s(i) + O(\log K)$

(B)  $s(i+1) \leq 2s(i) + O(\log K)$

(C)  $s(i+1) \leq Ks(i) + O(\log K)$

First store  $w$ . ( $O(\log K)$ ) space.

→ Compute  $\text{REACH}(u, w, i-1)$   $s(i)$  space.

Store the answer.  $O(\log K)$  space

Compute  $\text{REACH}(w, v, i-1)$  Reuse space

Store the answer  $O(\log K)$  space

Check both answers. If true, done.

Otherwise, increment  $w$ , clear all other space

Thus,  $s(i) = O(i \log K)$

$$s(\log K) = O(\log^2 K)$$

The path length is at most  $K = 2^{\log K}$ .

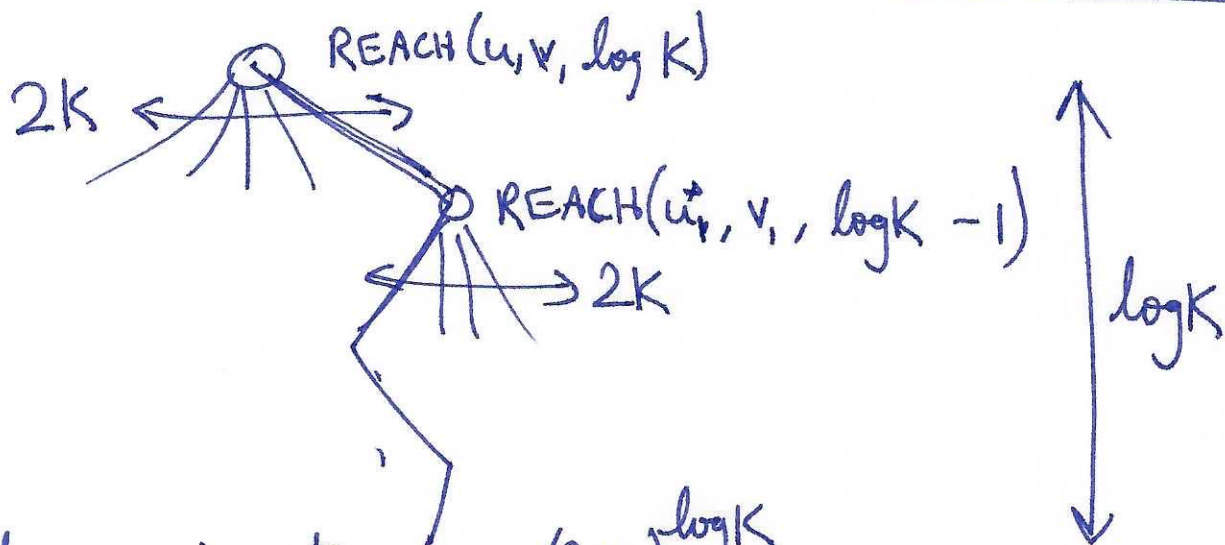
So to determine if there is a path of any length from  $u$  to  $v$  ~~requires~~ can be done in  $O(\log^2 K)$  space.  
deterministic

For Savitch's theorem,  $K = 2^{\alpha s(n)}$

$$O(\log^2 K) = O(s(n)^2) \text{ space}$$

We can decide  $RENSPACE(s(n))$  in deterministic  $O(s(n)^4)$  space. ▣

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$$\begin{aligned} \text{Size of recursion tree} &= (2K)^{\log K} \\ &= 2^{O(\log^2 K)} \end{aligned}$$

$$\text{Size of recursion stack} = O(\log^2 K)$$