

# Tree traversals

Method of visiting the nodes of a tree in a specific order

InOrder, PreOrder, PostOrder  
Traversal

Print keys of nodes. (Key is int)

```
void InOrder(Node* start)
```

```
{  
  if (start == NULL) return; //base case
```

```
  InOrder(start->left);
```

```
  print(start->key);
```

```
  InOrder(start->right);
```

```
}
```

```
void PreOrder(Node* start)
```

```
{
```

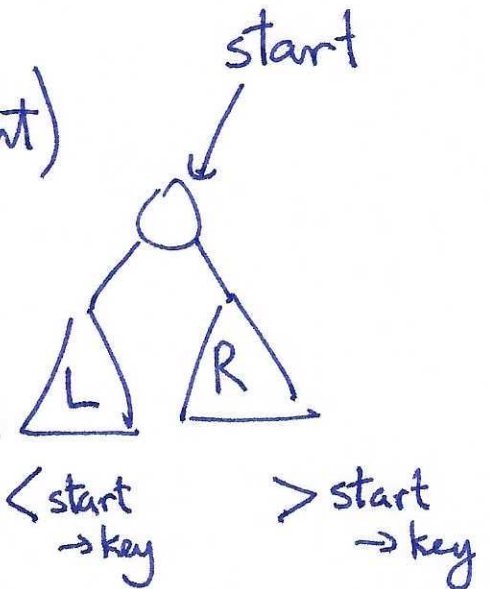
```
  //Base case
```

```
  print(start->key);
```

```
  PreOrder(start->left);
```

```
  PreOrder(start->right);
```

```
}
```



Print L in sorted order

Print start

Print R in sorted order

```
void PostOrder(Node* start)
```

```
{
```

```
  //Base case
```

```
  PostOrder(start->left);
```

```
  PostOrder(start->right);
```

```
  print(start->key);
```

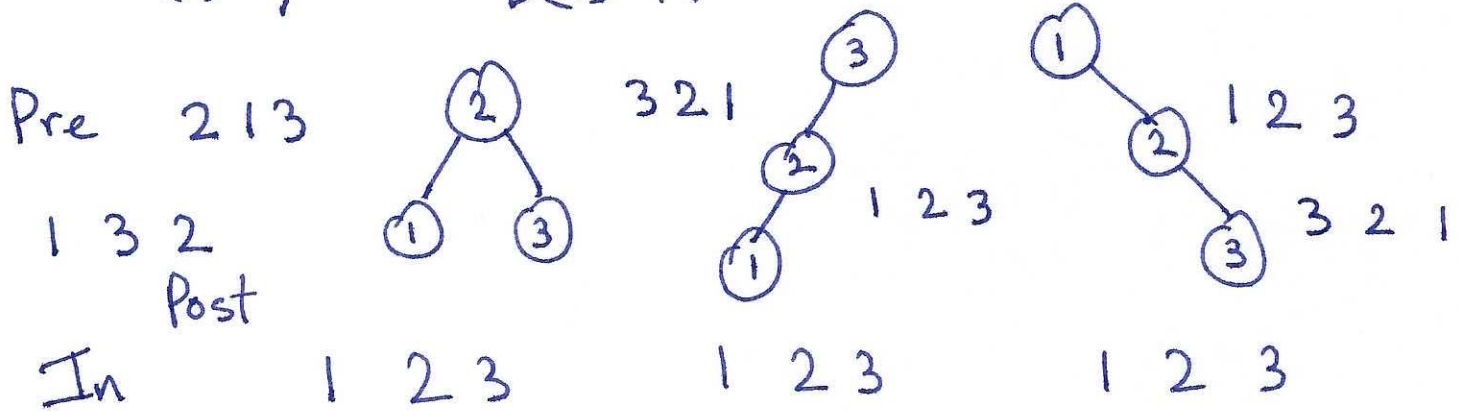
```
}
```

Q. Which traversal prints keys in sorted order?

~~(A)~~ In      ~~(B)~~ Pre      (C) Post

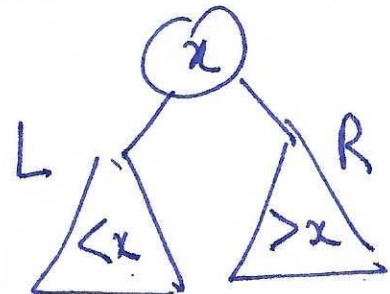
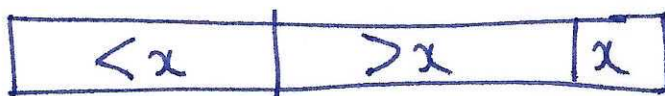
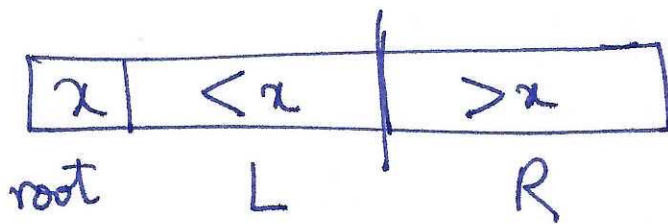
Q. Given in-order traversal of a BST, can you reconstruct the BST?

(A) Yes      ~~(B)~~ No



Q. Given PreOrder traversal of a BST, can you reconstruct the BST? (Proof by induction)

~~(A)~~ Yes      (B) No

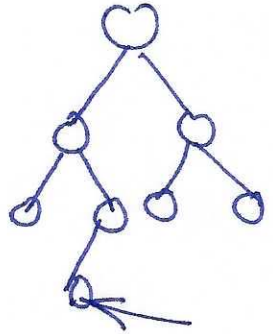


# Self-balancing BSTs

What is worst-case running time of insert/delete/find in BST?

Suppose there are  $n$  nodes in a BST.

Suppose the max depth is  $D$ .

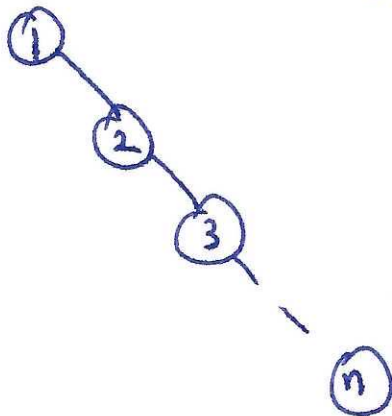


What is running time of find?  $\rightarrow$  "distance" of the furthest leaf to the root

(R)  $\Theta(1)$  ~~(G)  $\Theta(D)$~~  (B)  $\Theta(n)$   $\rightarrow$  #ancestors in the path

What is the largest possible depth?

(R)  $\Theta(1)$  (G)  $\Theta(\log n)$  ~~(B)  $\Theta(n)$~~



Insert in sorted order

BST looks like linked list

find, insert, delete, findmin, findmax, succ, pred  
all run in  $\Theta(D)$  in worst case.



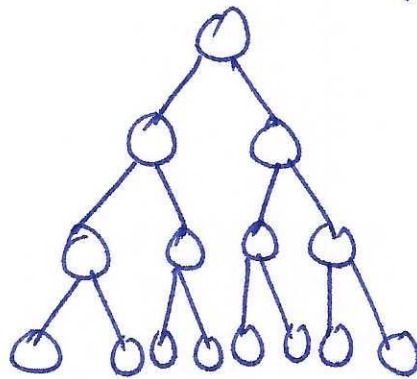
Q. For a BST with  $n$  nodes, what is the smallest possible (max) depth?

(R)  $\Theta(1)$     ~~(S)  $\Theta(\log n)$~~     (B)  $\Theta(n)$

Lemma: In any BST with  $n$  nodes, there exists a node at depth at least  ~~$\log_2 n$~~   $\lceil \lg n - 1 \rceil$ .

Perfectly balanced BST has max depth of exactly  $\lceil \lg n - 1 \rceil$ .

Depth is 3  
 $\lceil \lg 15 - 1 \rceil$



15 nodes

### Self-balancing BST

Always maintains max depth of  $\Theta(\lg n)$ , so all operations we discussed run in  $\Theta(\lg n)$ .

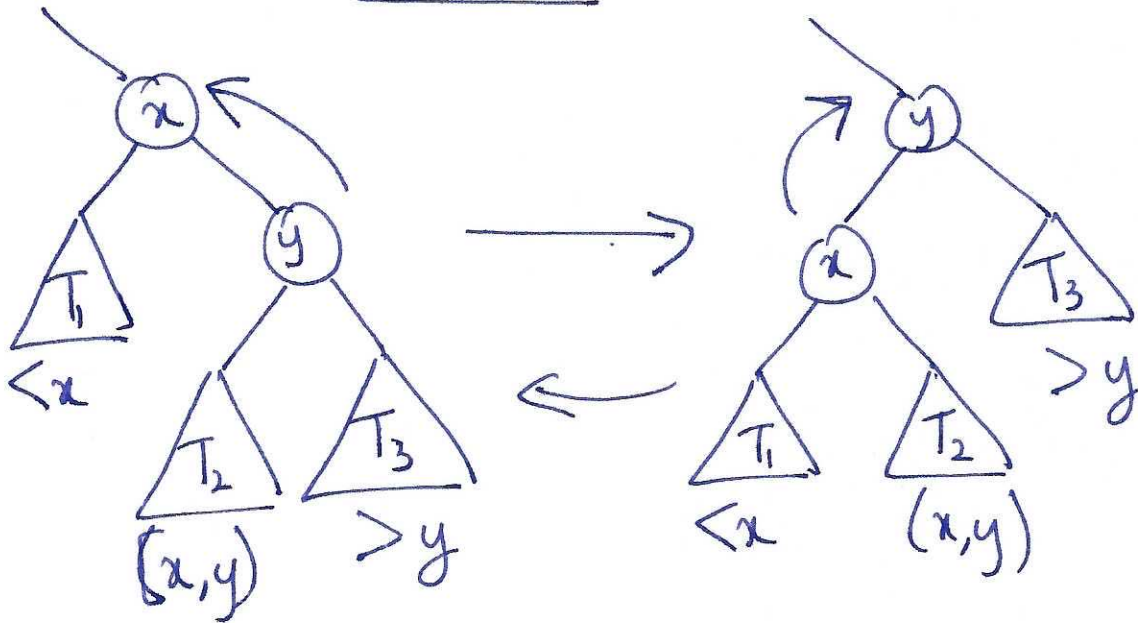
↳ More complex: requires tree "rebalancing" to reduce depth.

60's AVL Tree: Adelson-Velskii, Landis tree } Conceptually simpler  
60's 2-3 Tree: Not a BST, nodes have many keys }

Red-Black Tree : C++ stl::map

B-Tree : used in databases (generalizes 2-3 trees)

### Rotation



Suppose tree has  $n$  nodes. How much time to perform ~~an operation~~ in the worst case?  
a rotation

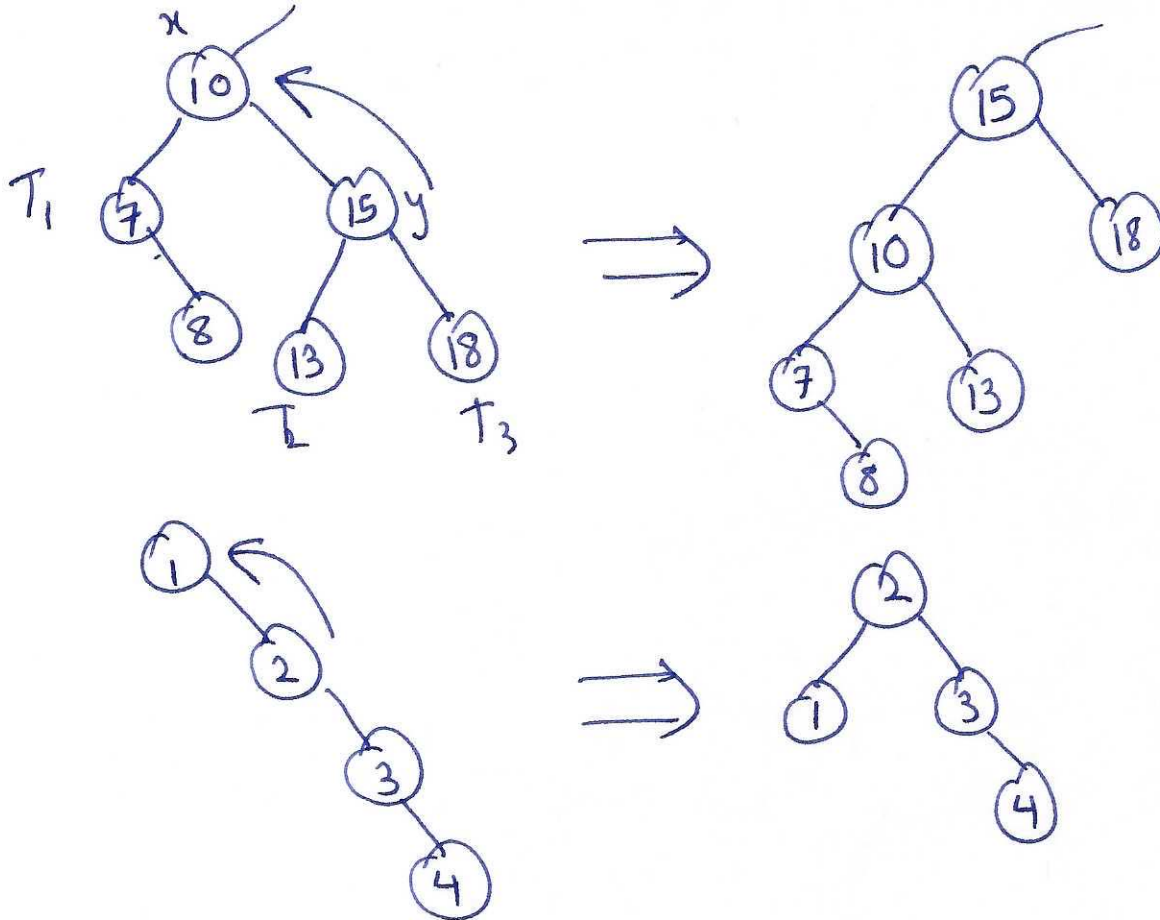
- (A)  $\Theta(1)$       (B)  $\Theta(\log n)$       (C)  $\Theta(n)$

We only change (1) children of  $x$  and  $y$ .

(2) parents of  $x$  and  $y$

(3) parents of roots of  $T_1, T_2$

No change within  $T_1, T_2, T_3$ .



## AVL Tree

Height (of a subtree): Max distance of a leaf to the root of the subtree

AVL Trees maintain a special height property.

$x$ : node

$$\text{BalanceFactor}(x) = \text{Height}(\text{left subtree of } x) - \text{Height}(\text{right subtree of } x)$$

AVL property/invariant:

$$\forall x, \text{BalanceFactor}(x) \in \{-1, 0, 1\}$$



Lemma: An AVL tree with height  $h$

has at least  $F_{h+2}$  nodes ( $F_k$  is Fibonacci number)

$$F_0=0 \quad F_1=1 \quad F_2=1 \quad F_3=2 \quad F_k = F_{k-1} + F_{k-2}$$

$$\boxed{F_{h+2} \geq \phi^{h+2}} \quad \phi = \text{Golden Ratio} = \frac{1+\sqrt{5}}{2}$$

If an AVL tree has  $n$  nodes, what is a bound on the height?  $\leq \log_{\phi} n = \Theta(\lg n)$

$\log_2 n \leftarrow$  Perfectly balanced

$$\lim_{n \rightarrow \infty} \frac{F_n}{\phi^n} = \Theta(1)$$

$$2 > \phi \approx 1.618$$

$$F_n \sim \frac{\phi^n}{\sqrt{5}}$$