Parametric Polymorphism Through Run-time Sealing or, Theorems for Low, Low Prices!

> Amal Ahmed Lindsey Kuper Jacob Matthews

Northeastern University Programming Languages Seminar February 23, 2011

Thursday, February 24, 2011

What is parametricity?

Separation of implementation and interface

Separation of implementation and interface

Counter =
$$\exists \alpha$$
. {new : α ,
inc : $\alpha \rightarrow \alpha$,
get : $\alpha \rightarrow \text{Nat}$ }

Separation of implementation and interface

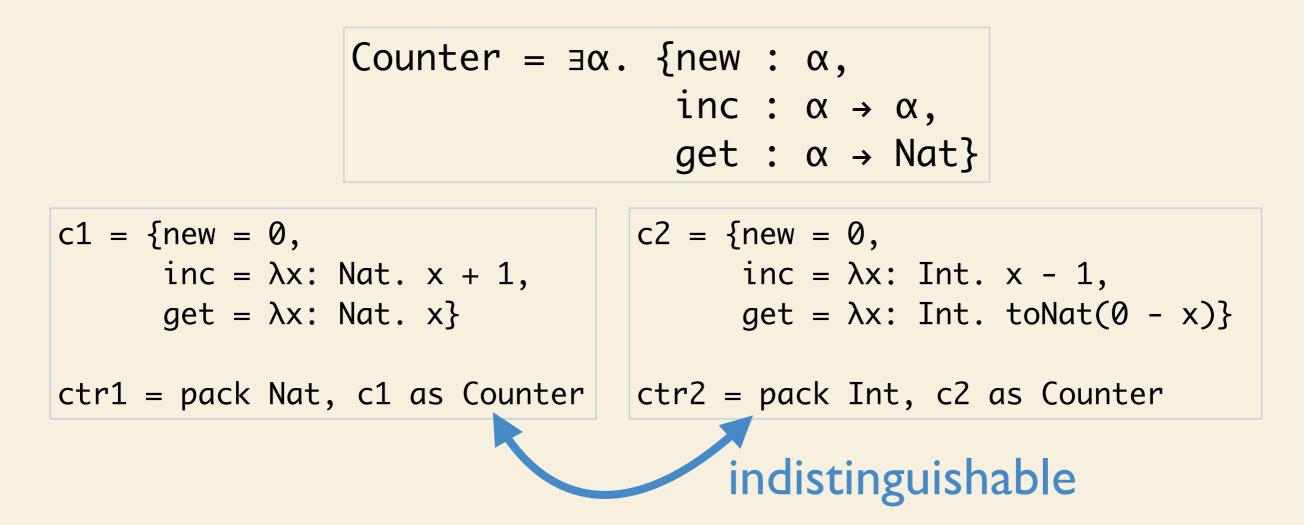
Counter =
$$\exists \alpha$$
. {new : α ,
inc : $\alpha \rightarrow \alpha$,
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 $c1 = \{new = 0, \\ inc = \lambda x: Nat. x + 1, \\ get = \lambda x: Nat. x\}$ ctr1 = pack Nat, c1 as Counter

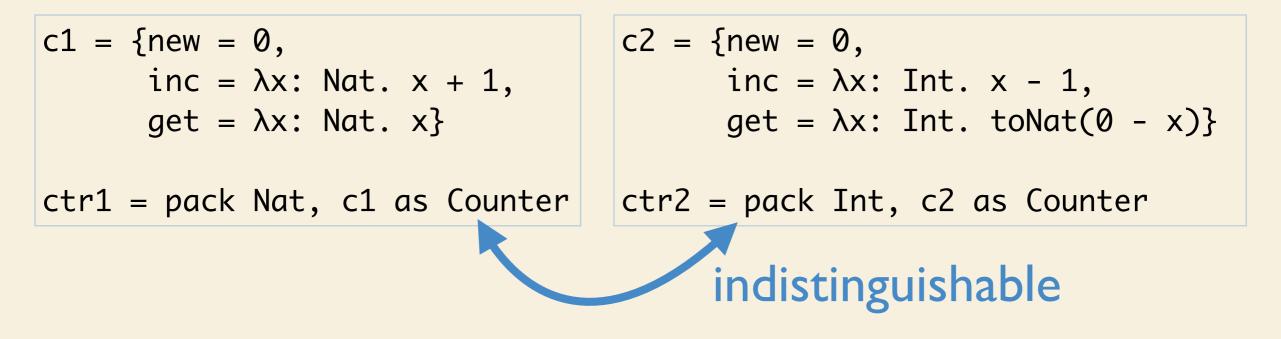
Separation of implementation and interface

	Counter = $\exists \alpha$. {new : α , inc : $\alpha \rightarrow \alpha$, get : $\alpha \rightarrow \text{Nat}$ }	
c1 = {new = 0, inc = λx : get = λx :	Nat. x + 1, Nat. x}	$c2 = \{new = 0, \\ inc = \lambda x: Int. x - 1, \\ get = \lambda x: Int. toNat(0 - x)\}$
ctr1 = pack Nat,	c1 as Counter	ctr2 = pack Int, c2 as Counter

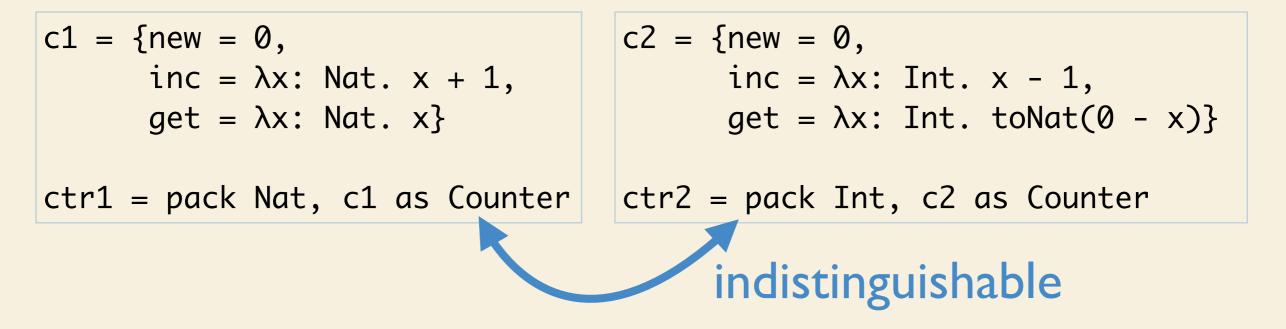
Separation of implementation and interface



Existential types...

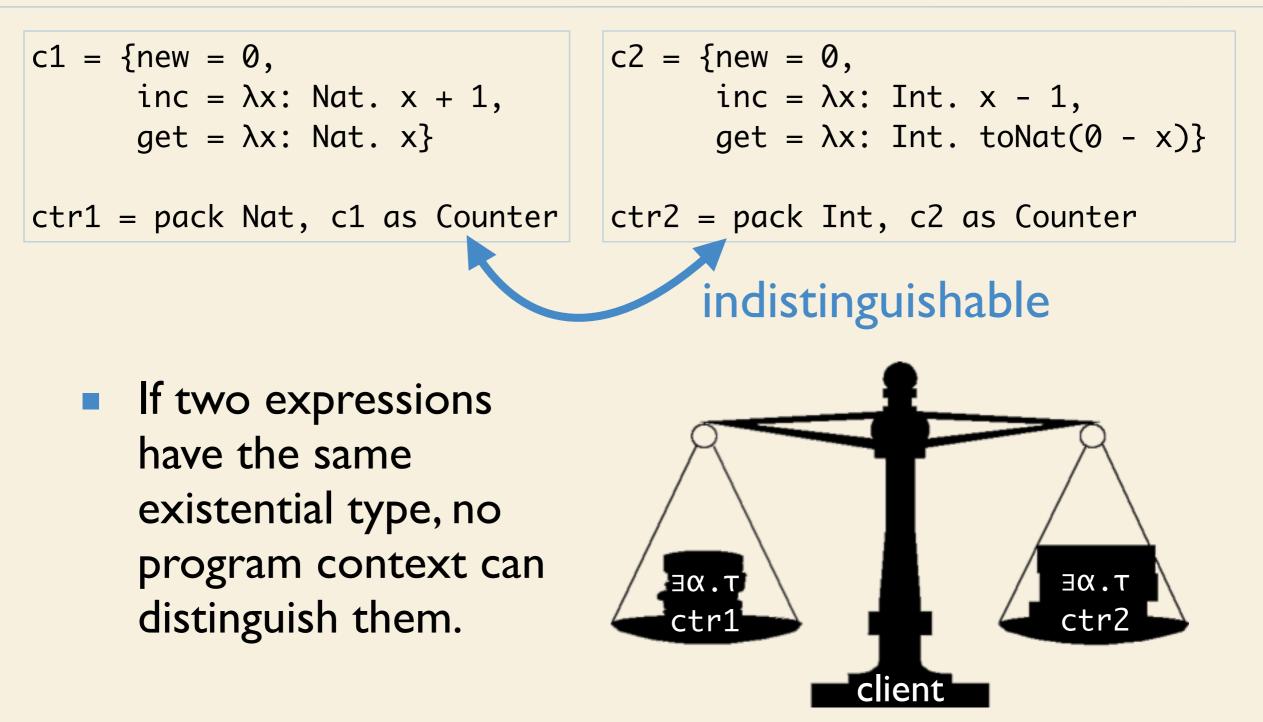


Existential types...



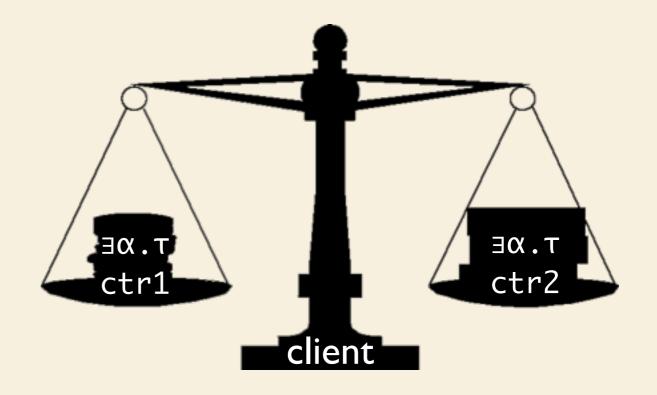
 If two expressions have the same existential type, no program context can distinguish them.

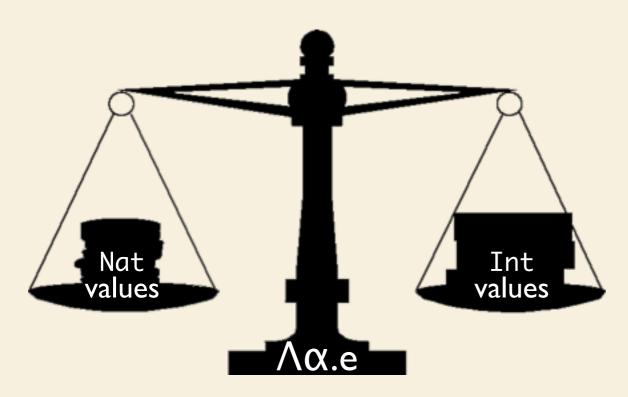
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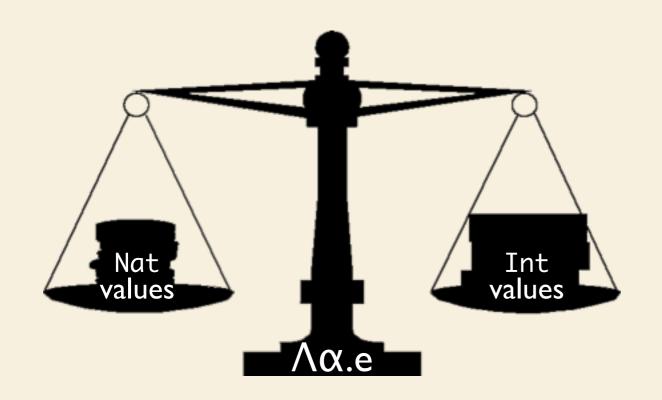


Existential types...and their dual, universal types

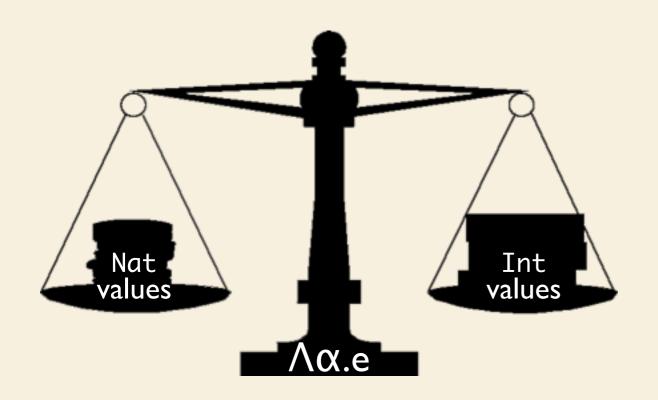
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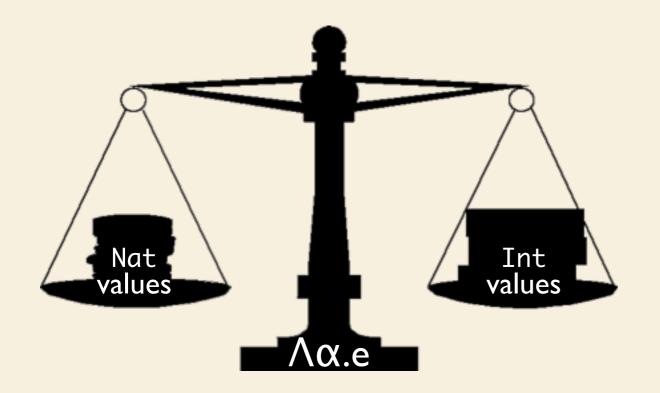


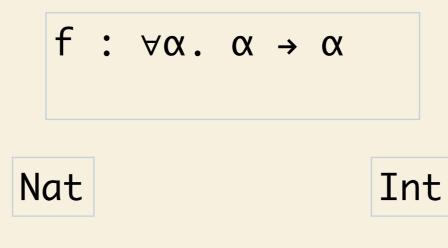
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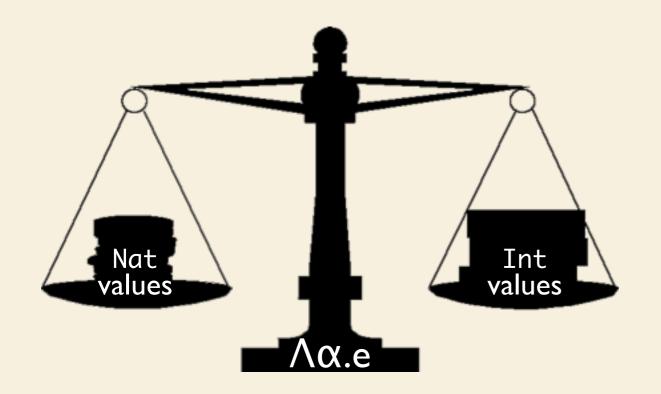


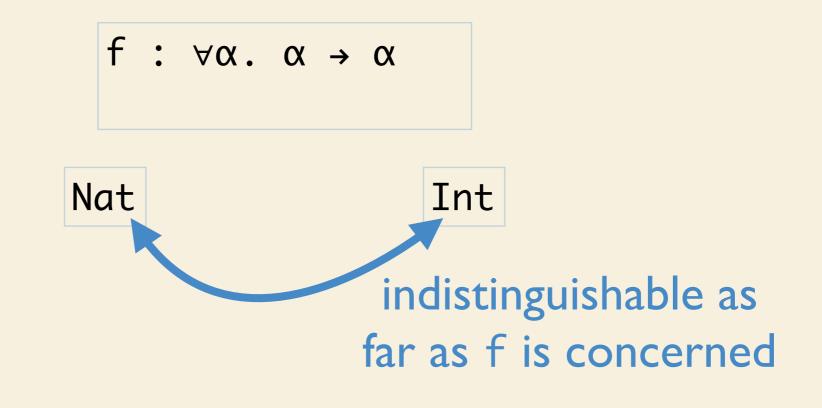
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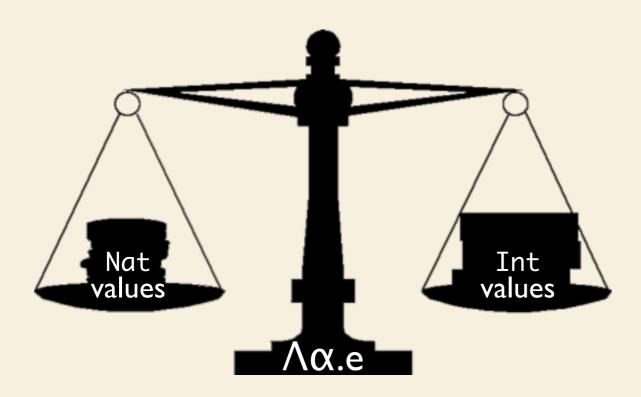


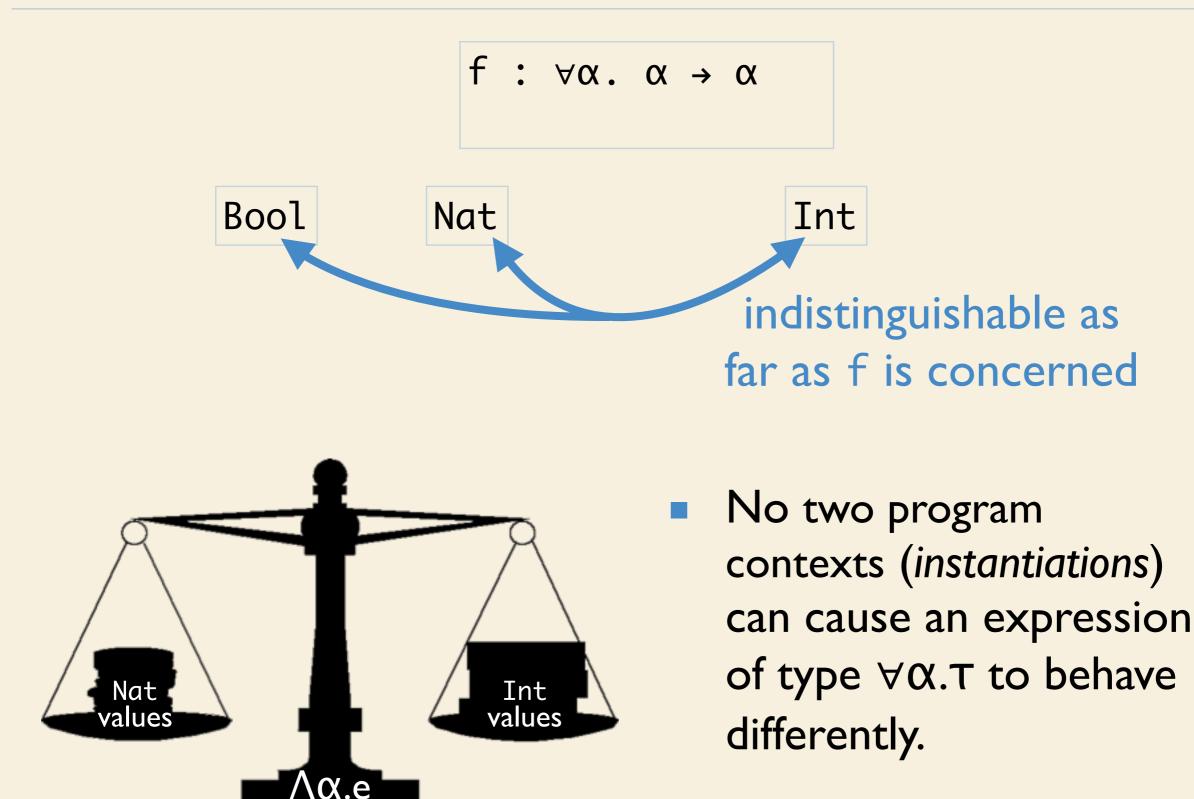


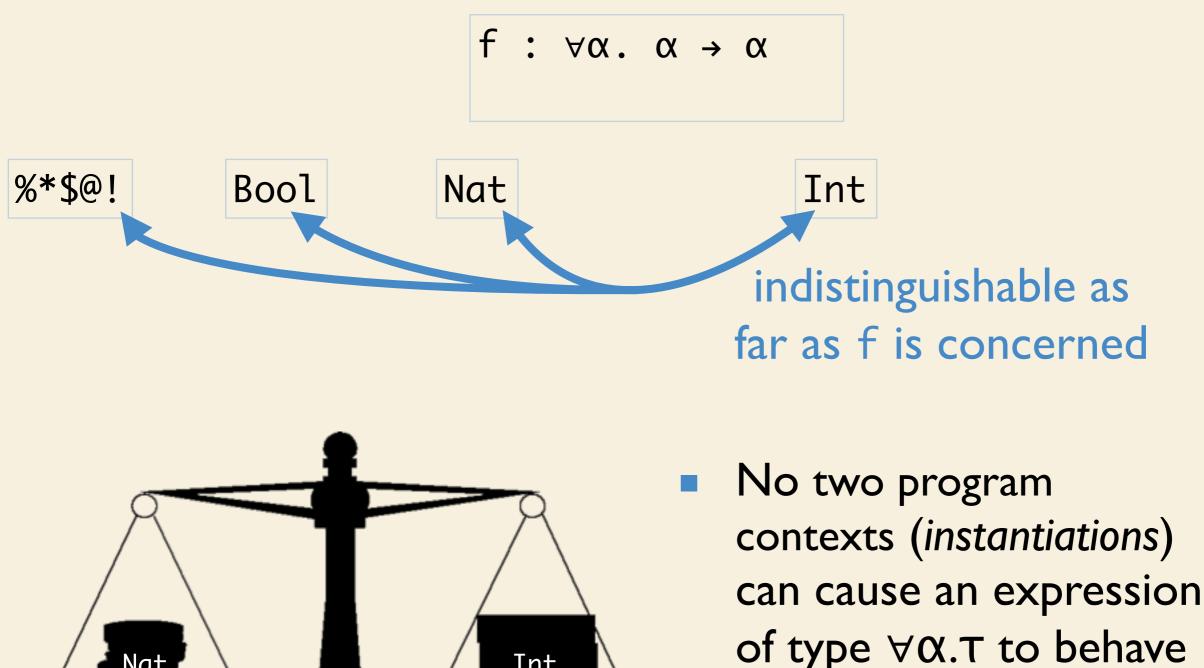










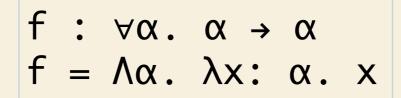


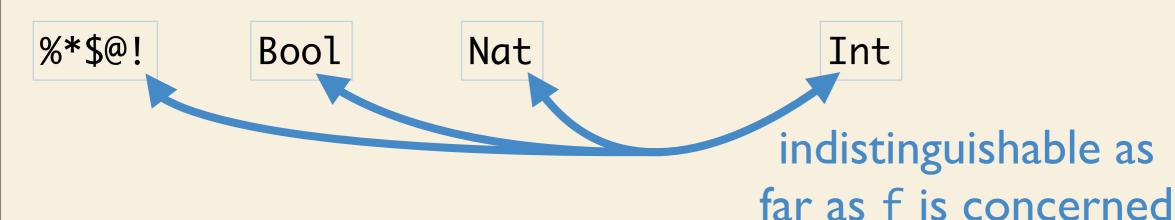
 Int

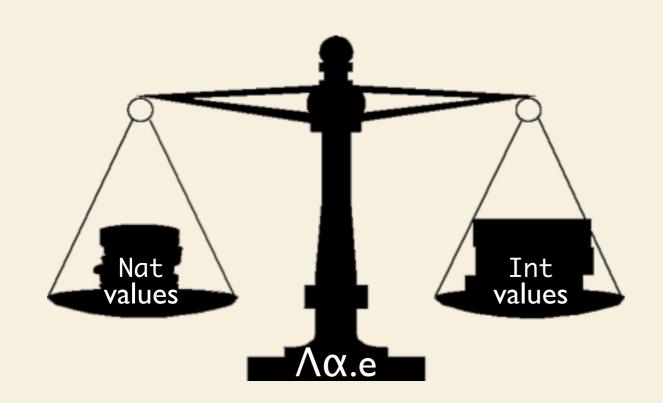
α.e

differently.

Nat



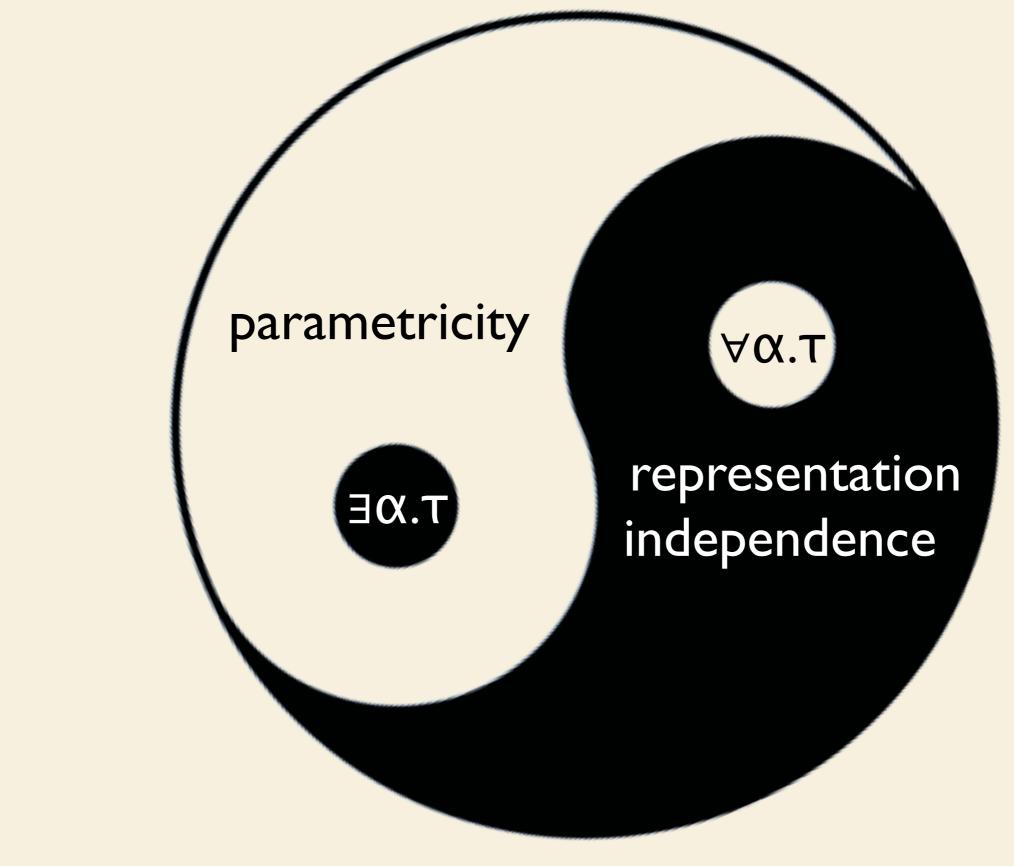




Existential types...and their dual, universal types



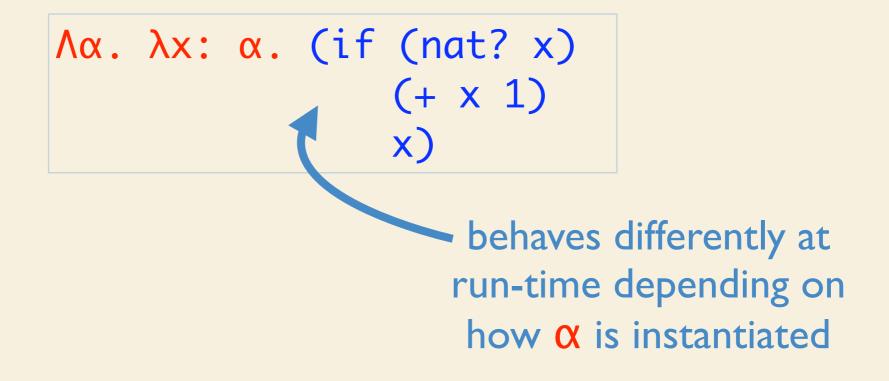
Existential types...and their dual, universal types



Breaking parametricity

How to break parametricity in one easy step

How to break parametricity in one easy step



How to break parametricity in one easy step

 $\Lambda \alpha$. λx : α . (if (nat? x) (+ x 1) x) behaves differently at run-time depending on how α is instantiated

Putting dynamically typed code in an otherwise statically typed program provides a way to "smuggle values past the type system" (Abadi et al., 1989)

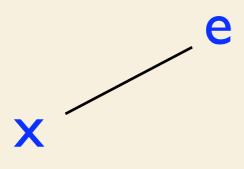
How can we assign a type to a program that's written in two languages?

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- We'll combine a minimal "Scheme" and a minimal "ML" in a multi-language embedding (Matthews & Findler, 2007):

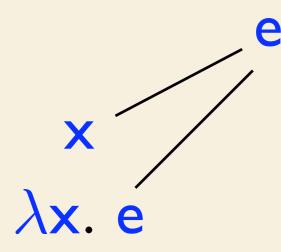
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e

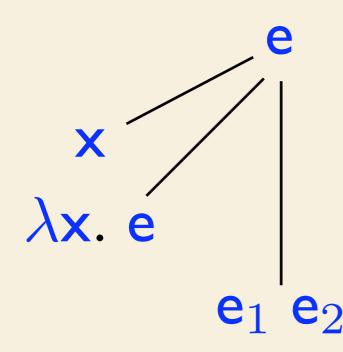
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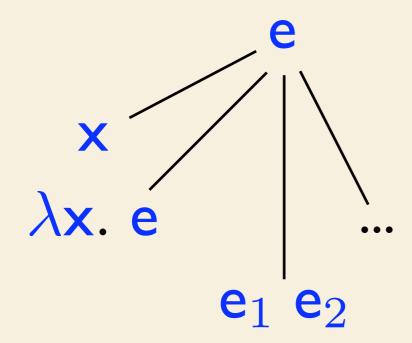
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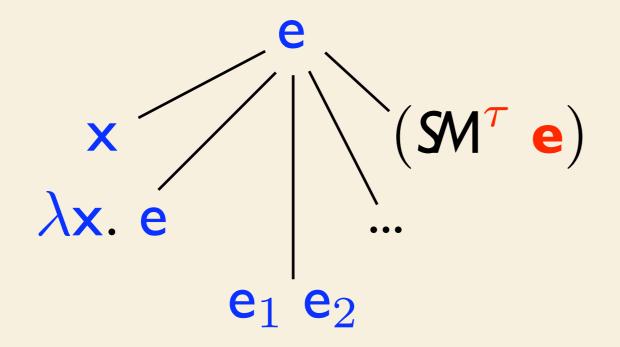
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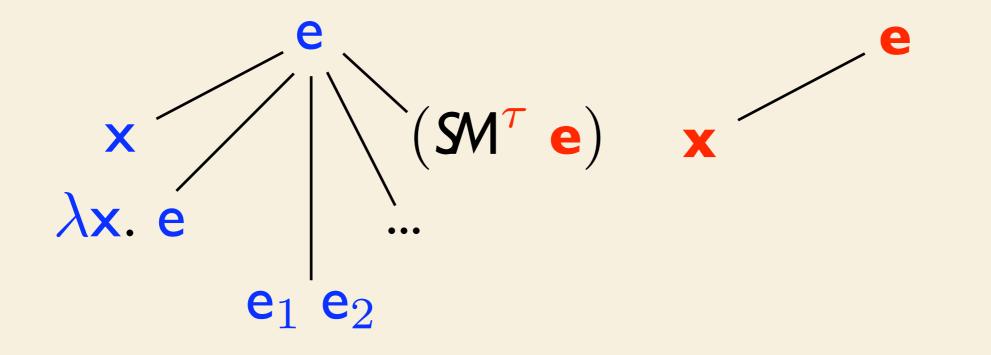
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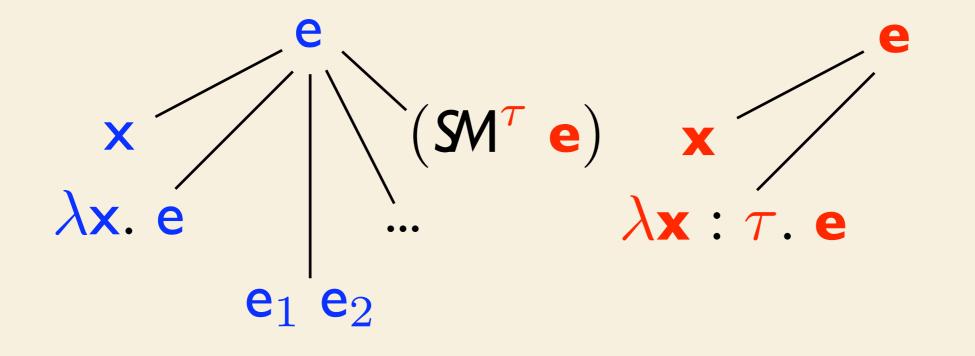
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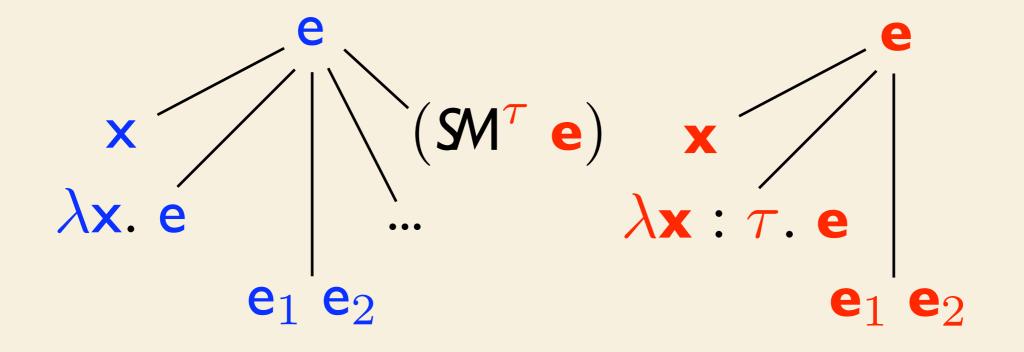
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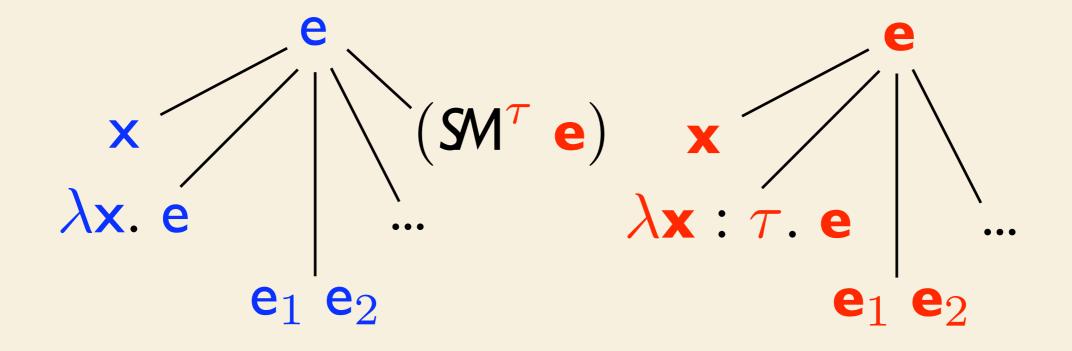
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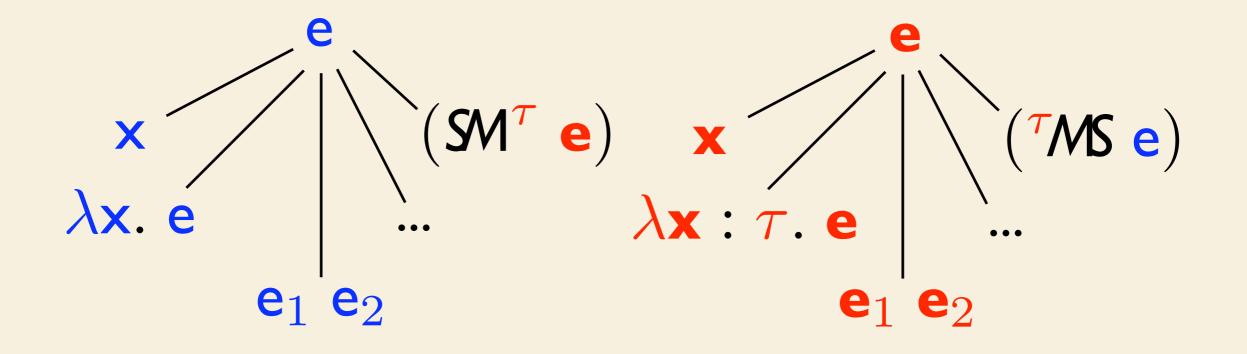
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 $(\tau_1 \rightarrow \tau_2 MS (\lambda \mathbf{x}. \mathbf{e}))$

have to choose some type at which to embed the procedure

 $(\tau_1 \rightarrow \tau_2 MS (\lambda x. e))$

have to choose some type at which to embed the procedure

 $({}^{\tau_1} \to {}^{\tau_2}MS (\lambda x. e)) \longmapsto (\lambda x : \tau_1.$

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 $\left({}^{\tau_1 \to \tau_2} M\!\!\!\mathsf{S} \left(\lambda_{\mathbf{X}} . \mathbf{e} \right) \right) \longmapsto \left(\lambda_{\mathbf{X}} : \tau_1 . \left({}^{\tau_2} M\!\!\!\mathsf{S} \left(\lambda_{\mathbf{X}} . \mathbf{e} \right) \left(M\!\!\!\!\mathsf{S} {}^{\tau_1} \mathbf{x} \right) \right) \right)$

have to choose some type at which to embed the procedure

 $({}^{\tau_1} \rightarrow {}^{\tau_2} \mathcal{M} S (\lambda \mathbf{x}. \mathbf{e})) \longmapsto (\lambda \mathbf{x} : \tau_1. ({}^{\tau_2} \mathcal{M} S (\lambda \mathbf{x}. \mathbf{e}) (\mathcal{M} {}^{\tau_1} \mathbf{x})))$

direction of conversion reverses for arguments

 $(\forall \alpha \cdot \tau MS (\lambda x. e))$

 embedding a Scheme procedure in ML at a universal type

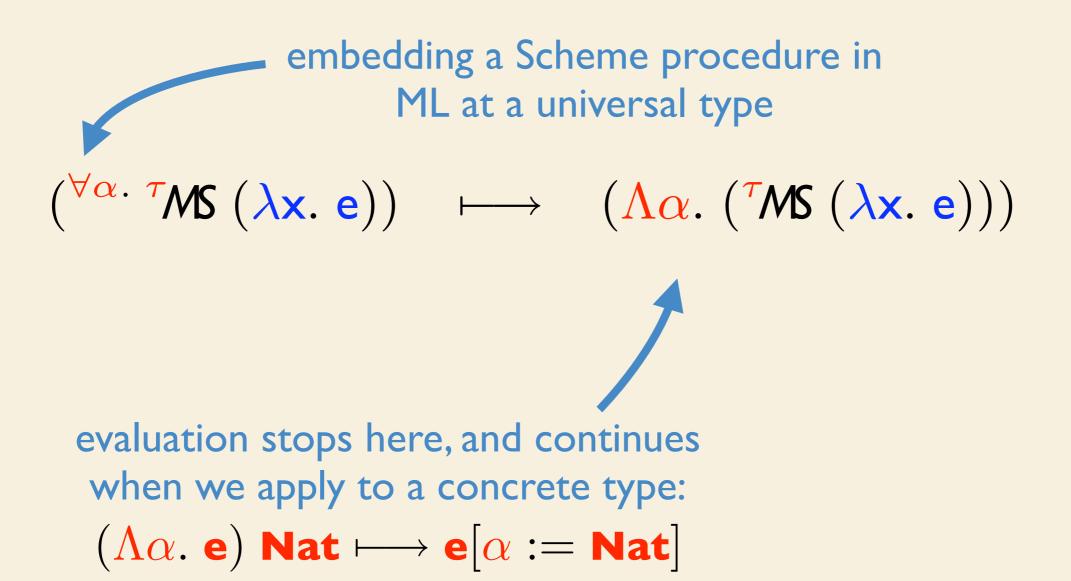


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 $(\forall \alpha \cdot \tau MS(\lambda x. e)) \longmapsto (\Lambda \alpha \cdot (\tau MS(\lambda x. e)))$



 $(\forall \alpha. \ \alpha \rightarrow \alpha MS (\lambda x. x))$ Nat $\overline{3}$

$$(\forall \alpha. \ \alpha \rightarrow \alpha MS (\lambda x. x))$$
 Nat $\overline{3}$

 $\longrightarrow (\Lambda \alpha. (\stackrel{\alpha \to \alpha}{\longrightarrow} MS (\lambda x. x)) \operatorname{Nat} \overline{3}$

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$$\longrightarrow (\lambda \mathbf{y} : \operatorname{Nat}. (\stackrel{\operatorname{Nat}}{\mathsf{MS}} (\lambda \mathbf{x}. \mathbf{x}) (\operatorname{SM}^{\operatorname{Nat}} \mathbf{y}))) \overline{3}$$

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$$(\forall \alpha. \alpha \rightarrow \alpha MS (\lambda x. x)) \text{ Nat } \overline{3}$$

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first-order values are assumed to be convertible

$$({}^{\forall \alpha. \ \alpha \to \alpha} MS (\lambda x. x)) \text{ Nat } \overline{3}$$

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$$first-order values are assumed to be convertible$$

$$(\overset{\forall \alpha. \ \alpha \to \alpha}{MS} (\lambda x. x)) \operatorname{Nat} \overline{3}$$

$$\longrightarrow (\Lambda \alpha. (\overset{\alpha \to \alpha}{MS} (\lambda x. x)) \operatorname{Nat} \overline{3}$$

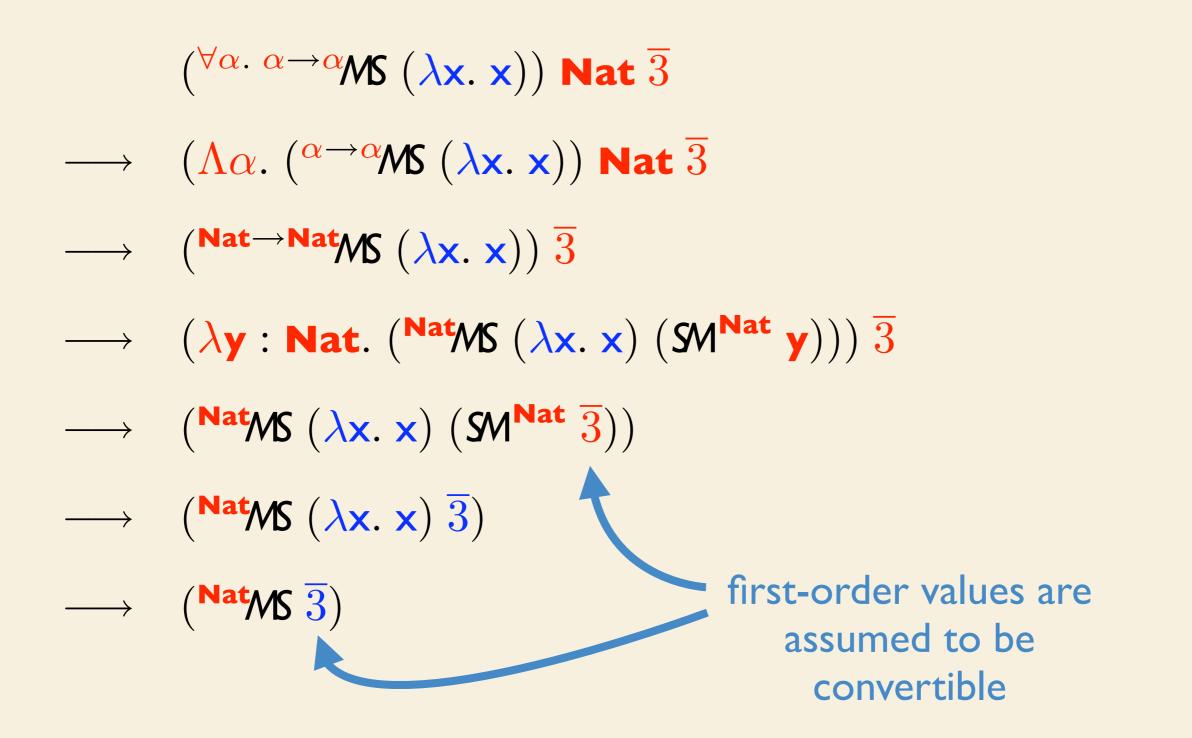
$$\longrightarrow (\overset{\operatorname{Nat} \to \operatorname{Nat}}{MS} (\lambda x. x)) \overline{3}$$

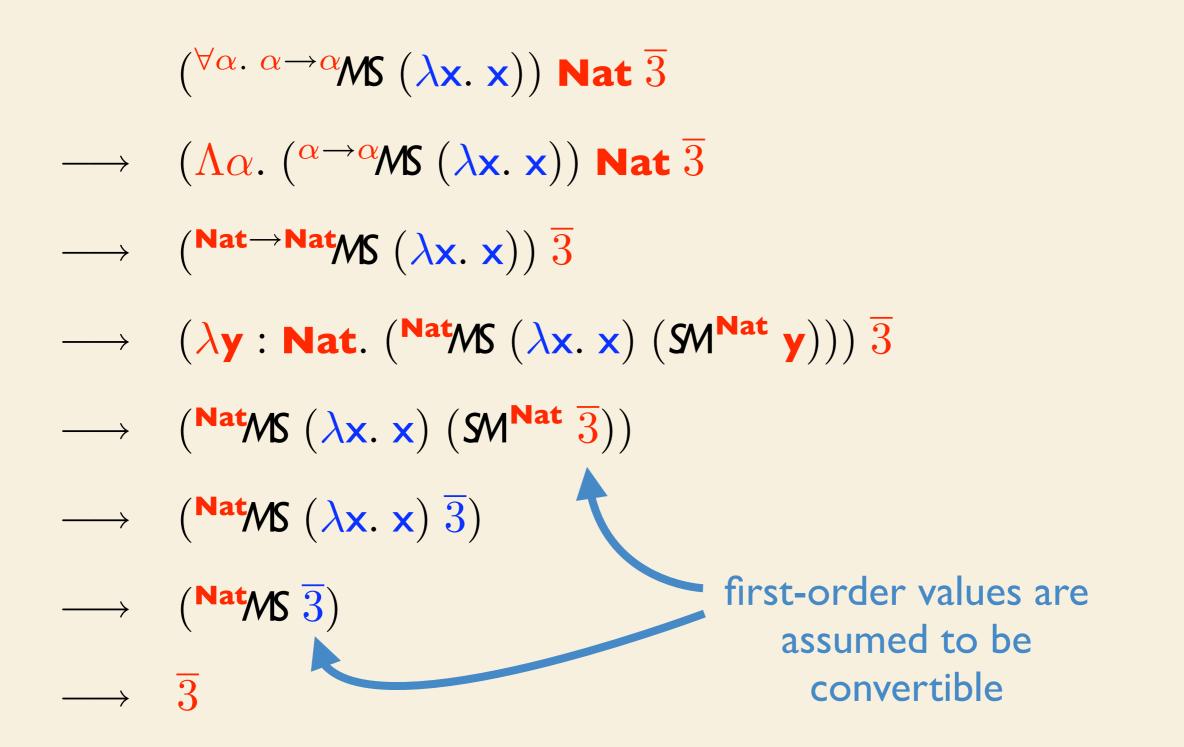
$$\longrightarrow (\lambda y : \operatorname{Nat.} (\overset{\operatorname{Nat}}{MS} (\lambda x. x) (\overset{\operatorname{SM}}{Mat} y))) \overline{3}$$

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first-order values are assumed to be convertible





$(\forall \alpha . \alpha \rightarrow \alpha MS (\lambda x. (if0 (nat? x) (+ x \overline{1}) x)))$ Nat

- well-typed expression of type $\forall \alpha. \alpha \rightarrow \alpha$

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well-typed expression of type $\forall \alpha. \alpha \rightarrow \alpha$

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- $\longrightarrow (\Lambda \alpha. (\stackrel{\alpha \to \alpha}{\longrightarrow} MS (\lambda x. (ifO (nat? x) (+ x \overline{1}) x)))) Nat$

well-typed expression of type $\forall \alpha. \alpha \rightarrow \alpha$

 $(\stackrel{\forall \alpha. \alpha \to \alpha}{\text{MS}} (\lambda x. (if0 (nat? x) (+ x \overline{1}) x))) \text{ Nat}) \rightarrow (\Lambda \alpha. (\stackrel{\alpha \to \alpha}{\text{MS}} (\lambda x. (if0 (nat? x) (+ x \overline{1}) x)))) \text{ Nat}) \rightarrow (\stackrel{\text{Nat} \to \text{Nat}}{\text{MS}} (\lambda x. (if0 (nat? x) (+ x \overline{1}) x))))$

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 $\begin{pmatrix} \forall \alpha. \ \alpha \to \alpha MS \ (\lambda x. \ (if0 \ (nat? x) \ (+ x \ \overline{1}) \ x))) \text{ Nat} \\ \longrightarrow \ (\Lambda \alpha. \ (^{\alpha \to \alpha}MS \ (\lambda x. \ (if0 \ (nat? x) \ (+ x \ \overline{1}) \ x)))) \text{ Nat} \\ \longrightarrow \ (^{\text{Nat} \to \text{Nat}}MS \ (\lambda x. \ (if0 \ (nat? x) \ (+ x \ \overline{1}) \ x)))) \\ \longrightarrow \ (\lambda y: \text{ Nat. } (^{\text{Nat}}MS \ (\lambda x. \ (if0 \ (nat? x) \ (+ x \ \overline{1}) \ x))) \ (SM^{\text{Nat}} \ y)))$

well-typed expression of type $\forall \alpha. \alpha \rightarrow \alpha$ $(\forall \alpha . \alpha \rightarrow \alpha MS(\lambda x. (ifO(nat? x)(+ x \overline{1}) x)))$ Nat $\longrightarrow (\Lambda \alpha. (\stackrel{\alpha \to \alpha}{\longrightarrow} MS(\lambda x. (if0 (nat? x) (+ x \overline{1}) x))))$ Nat $\longrightarrow (^{\text{Nat} \rightarrow \text{Nat}}MS(\lambda x. (if0 (nat? x) (+ x \overline{1}) x)))$ \longrightarrow ($\lambda \mathbf{y} : \mathbf{Nat}. (\mathbf{^{Nat}MS} (\lambda \mathbf{x}. (\mathbf{if0} (\mathbf{nat}? \mathbf{x}) (+ \mathbf{x} \overline{1}) \mathbf{x})) (\mathbf{M^{Nat} y})))$ $\equiv (\lambda \mathbf{y} : \mathbf{Nat}. (\mathsf{Nat}MS(\lambda \mathbf{x}. (+ \mathbf{x} \overline{1})) (\mathsf{SM}^{\mathbf{Nat}} \mathbf{y})))$

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What went wrong?

 $(\forall \alpha . \alpha \rightarrow \alpha MS (\lambda x. (if0 (nat? x) (+ x \overline{1}) x)))$ Nat $\longrightarrow (\Lambda \alpha. (\alpha \rightarrow \alpha MS (\lambda x. (if0 (nat? x) (+ x \overline{1}) x))))$ Nat \longrightarrow (Nat \rightarrow NatMS (λx . (if0 (nat? x) (+ x $\overline{1}$) x))) $\longrightarrow (\lambda \mathbf{y} : \mathbf{Nat}. (\mathbf{^{Nat}MS} (\lambda \mathbf{x}. (\mathbf{if0} (\mathbf{nat}? \mathbf{x}) (+ \mathbf{x} \overline{1}) \mathbf{x})) (\mathbf{M^{Nat} y})))$ $\equiv (\lambda \mathbf{y} : \mathbf{Nat}. (\mathsf{Nat}MS(\lambda \mathbf{x}. (+ \mathbf{x} \overline{1})) (\mathsf{SM}^{\mathbf{Nat}} \mathbf{y})))$ not the identity function! The problem: Scheme is able to observe the concrete choice of type for α and behave accordingly.

Restoring parametricity

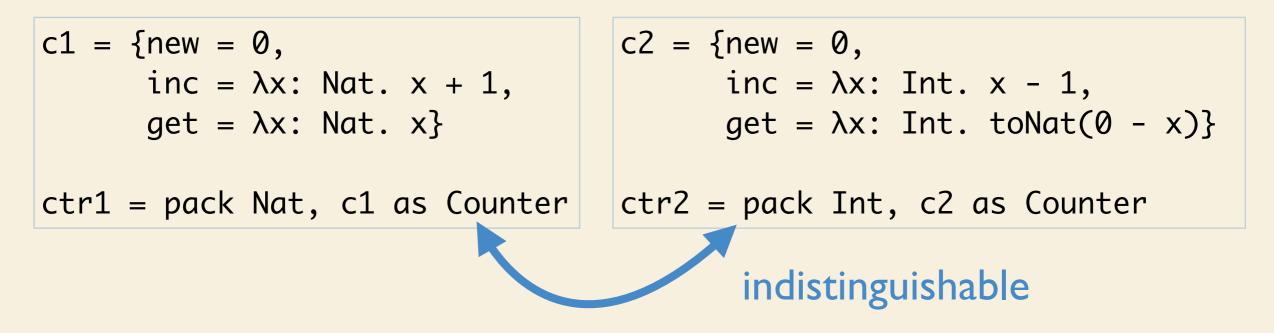
Data abstraction, revisited

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 Using type abstraction to enforce data abstraction is a static, compile-time approach

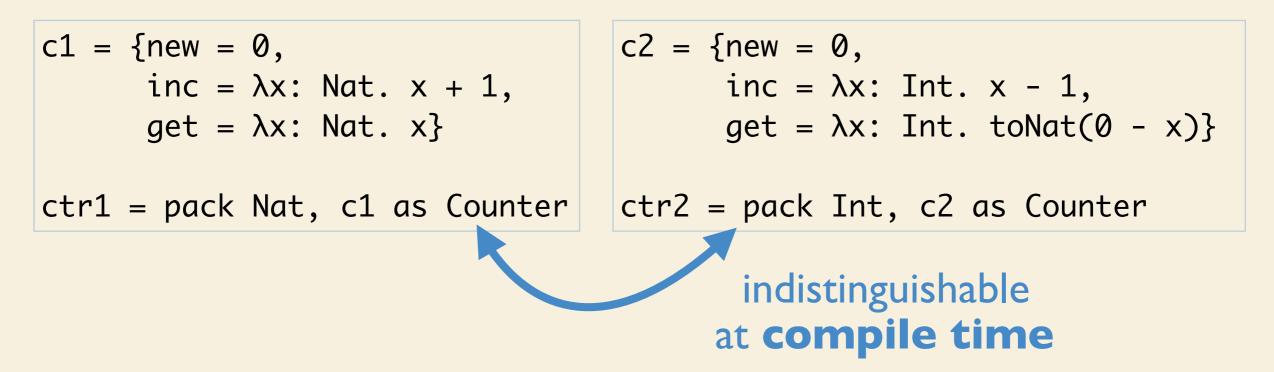
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 Using type abstraction to enforce data abstraction is a static, compile-time approach



Programs can create unique seals in their local scope and hand out opaque, sealed values to clients

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```
(define create-seal) (gensym))
(define (seal-value v seal)
  (lambda (s)
    (if (eq? s seal)
        v
        (error ...))))
(define (unseal sealed-v seal)
      (sealed-v seal))
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sealed value I client

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/ seal)
indistinguishable
at run-time

sealed

sealed

value 2

Thursday, February 24, 2011

 Operational semantics defined not just on expressions, but on configurations that include a seal store

. . _

 Operational semantics defined not just on expressions, but on configurations that include a seal store

 $\psi \mid (\Lambda \alpha. \mathbf{e}) \tau$

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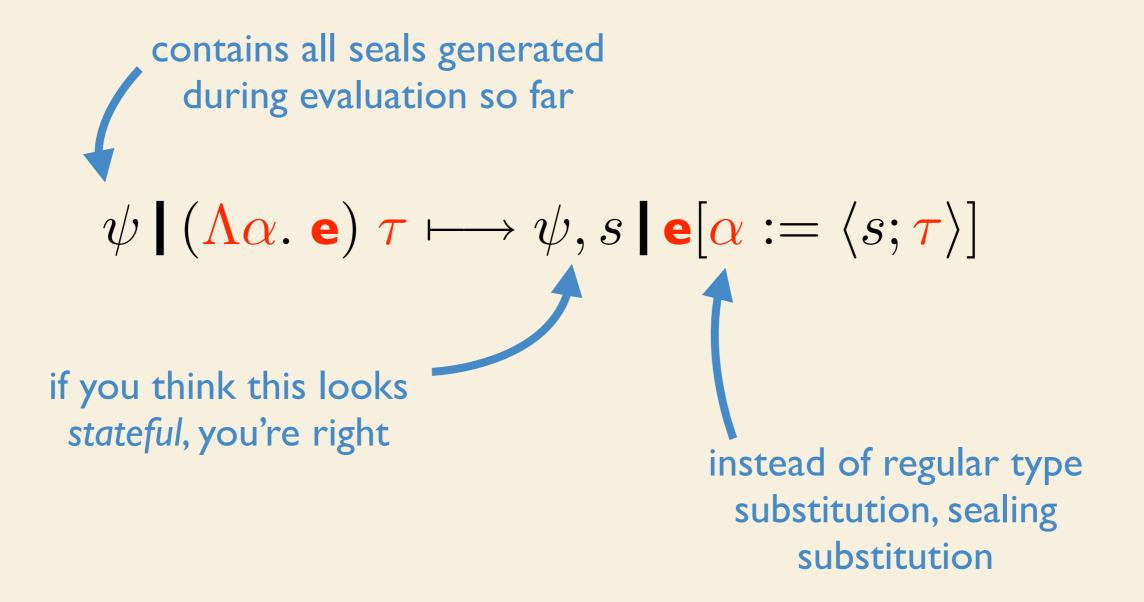
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 $\psi \mid (\Lambda \alpha. \mathbf{e}) \ \tau \longmapsto \psi, s \mid \mathbf{e}[\alpha := \langle s; \tau \rangle]$

 Operational semantics defined not just on expressions, but on configurations that include a seal store

> contains all seals generated during evaluation so far $\psi \mid (\Lambda \alpha. e) \tau \longmapsto \psi, s \mid e[\alpha := \langle s; \tau \rangle]$ instead of regular type substitution, sealing substitution

 Operational semantics defined not just on expressions, but on configurations that include a seal store



 $(\forall \alpha. \alpha \rightarrow \alpha MS (\lambda x. (if0 (nat? x) (+ x \overline{1}) x)))$ Nat

well-typed expression of type $\forall \alpha. \alpha \rightarrow \alpha$ $(\forall \alpha. \alpha \rightarrow \alpha MS (\lambda x. (if0 (nat? x) (+ x \overline{1}) x)))$ Nat

 $(\forall \alpha. \alpha \rightarrow \alpha \text{MS} (\lambda x. (if0 (nat? x) (+ x \overline{1}) x))) \text{Nat})$ $\longrightarrow (\Lambda \alpha. (\alpha \rightarrow \alpha \text{MS} (\lambda x. (if0 (nat? x) (+ x \overline{1}) x))) \text{Nat})$

 $(\forall \alpha. \alpha \rightarrow \alpha \land \forall \alpha. \alpha \rightarrow \alpha \land (\forall \alpha. \alpha \rightarrow \alpha \land \forall \alpha \land (\forall \alpha. \alpha \rightarrow \alpha \land \forall \beta \land (\lambda x. (if0 (nat? x) (+ x \overline{1}) x))))$ Nat $\rightarrow (\langle a \Rightarrow \alpha \land \forall \beta \land (\lambda x. (if0 (nat? x) (+ x \overline{1}) x))))$ Nat $\rightarrow (\langle a \Rightarrow a \land \forall \beta \land (\lambda x. (if0 (nat? x) (+ x \overline{1}) x))))$

 $(\forall \alpha. \alpha \rightarrow \alpha MS (\lambda x. (if0 (nat? x) (+ x \overline{1}) x))) \text{ Nat})$ $\rightarrow (\Lambda \alpha. (\alpha \rightarrow \alpha MS (\lambda x. (if0 (nat? x) (+ x \overline{1}) x)))) \text{ Nat})$ $\rightarrow (\langle s; \text{Nat} \rangle \rightarrow \langle s; \text{Nat} \rangle MS (\lambda x. (if0 (nat? x) (+ x \overline{1}) x))))$ $\rightarrow (\lambda y: \text{ Nat. } (\langle s; \text{ Nat} \rangle MS (\lambda x. (if0 (nat? x) (+ x \overline{1}) x)))$

well-typed expression of type $\forall \alpha. \alpha \rightarrow \alpha$ $(\forall \alpha. \alpha \rightarrow \alpha MS (\lambda x. (if0 (nat? x) (+ x \overline{1}) x)))$ Nat $\longrightarrow (\Lambda \alpha. (\alpha \rightarrow \alpha MS (\lambda x. (if0 (nat? x) (+ x \overline{1}) x))))$ Nat $\longrightarrow (\langle s; \mathbf{Nat} \rangle \rightarrow \langle s; \mathbf{Nat} \rangle \mathcal{MS} (\lambda \mathbf{x}. (if0 (nat? \mathbf{x}) (+ \mathbf{x} \overline{1}) \mathbf{x})))$ $\longrightarrow (\lambda \mathbf{y} : \mathbf{Nat}. (\langle s; \mathbf{Nat} \rangle \mathcal{MS} (\lambda \mathbf{x}. (\mathbf{if0} (\mathbf{nat}? \mathbf{x}) (+ \mathbf{x} \overline{1}) \mathbf{x})) (\mathcal{M}^{\langle s; \mathbf{Nat} \rangle} \mathbf{y})))$ opaque value

• well-typed expression of type $\forall \alpha. \alpha \rightarrow \alpha$ $(\forall \alpha . \alpha \rightarrow \alpha MS (\lambda x. (if0 (nat? x) (+ x \overline{1}) x)))$ Nat $\longrightarrow (\Lambda \alpha. (\alpha \rightarrow \alpha MS (\lambda x. (if0 (nat? x) (+ x \overline{1}) x))))$ Nat $\longrightarrow (\langle s; \mathbf{Nat} \rangle \rightarrow \langle s; \mathbf{Nat} \rangle \mathcal{MS} (\lambda \mathbf{x}. (if0 (nat? \mathbf{x}) (+ \mathbf{x} \overline{1}) \mathbf{x})))$ $\longrightarrow (\lambda \mathbf{y} : \mathbf{Nat}. (\langle s; \mathbf{Nat} \rangle \mathcal{MS} (\lambda \mathbf{x}. (\mathbf{if0} (\mathbf{nat}? \mathbf{x}) (+ \mathbf{x} \overline{1}) \mathbf{x})) (\mathcal{M}^{\langle s; \mathbf{Nat} \rangle} \mathbf{y})))$ $\equiv (\lambda \mathbf{y} : \mathbf{Nat}. (\langle s; \mathbf{Nat} \rangle \mathcal{MS} (\mathcal{M}^{\langle s; \mathbf{Nat} \rangle} \mathbf{y})))$ opaque value

• well-typed expression of type $\forall \alpha. \alpha \rightarrow \alpha$ $(\forall \alpha . \alpha \rightarrow \alpha MS (\lambda x. (if0 (nat? x) (+ x \overline{1}) x)))$ Nat $\longrightarrow (\Lambda \alpha. (\alpha \rightarrow \alpha MS (\lambda x. (if0 (nat? x) (+ x \overline{1}) x))))$ Nat $\longrightarrow (\langle s; \mathsf{Nat} \rangle \rightarrow \langle s; \mathsf{Nat} \rangle \mathcal{MS} (\lambda x. (if0 (nat? x) (+ x \overline{1}) x)))$ $\longrightarrow (\lambda \mathbf{y} : \mathbf{Nat}. (\langle s; \mathbf{Nat} \rangle \mathcal{MS} (\lambda \mathbf{x}. (\mathbf{if0} (\mathbf{nat}? \mathbf{x}) (+ \mathbf{x} \overline{1}) \mathbf{x})) (\mathcal{M}^{\langle s; \mathbf{Nat} \rangle} \mathbf{y})))$ $\equiv (\lambda \mathbf{y} : \mathbf{Nat}. (\langle s; \mathbf{Nat} \rangle \mathcal{MS} (\mathcal{M}^{\langle s; \mathbf{Nat} \rangle} \mathbf{y})))$ \longrightarrow (λy : Nat. y) opaque value

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$(\forall \alpha . \ \alpha \rightarrow \alpha MS \ (\lambda x. \ (if0 \ (nat? x) \ (+ x \ \overline{1}) \ \overline{2})))$ Nat $\overline{5}$

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$\begin{pmatrix} \forall \alpha. \ \alpha \to \alpha \\ MS \ (\lambda \mathbf{x}. \ (if0 \ (nat? \mathbf{x}) \ (+ \mathbf{x} \ \overline{1}) \ \overline{2}))) \text{ Nat } \overline{5} \\ \rightarrow \qquad (\Lambda \alpha. \ (\alpha \to \alpha \\ MS \ (\lambda \mathbf{x}. \ (if0 \ (nat? \mathbf{x}) \ (+ \mathbf{x} \ \overline{1}) \ \overline{2})))) \text{ Nat } \overline{5}$

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 $\begin{pmatrix} \forall \alpha. \ \alpha \to \alpha \\ MS \ (\lambda x. \ (if0 \ (nat? x) \ (+ x \ \overline{1}) \ \overline{2})) \end{pmatrix} \text{ Nat } \overline{5} \\ (\Lambda \alpha. \ (\alpha \to \alpha \\ MS \ (\lambda x. \ (if0 \ (nat? x) \ (+ x \ \overline{1}) \ \overline{2})))) \text{ Nat } \overline{5} \\ (\langle s; \mathbf{Nat} \rangle \to \langle s; \mathbf{Nat} \rangle \\ MS \ (\lambda x. \ (if0 \ (nat? x) \ (+ x \ \overline{1}) \ \overline{2}))) \overline{5} \end{cases}$

 $\begin{pmatrix} \forall \alpha. \ \alpha \rightarrow \alpha \text{MS} \ (\lambda x. \ (\text{if0} \ (\text{nat}? x) \ (+ x \ \overline{1}) \ \overline{2}))) \text{ Nat } \overline{5} \\ (\Lambda \alpha. \ (\alpha \rightarrow \alpha \text{MS} \ (\lambda x. \ (\text{if0} \ (\text{nat}? x) \ (+ x \ \overline{1}) \ \overline{2})))) \text{ Nat } \overline{5} \\ (\langle s; \text{Nat} \rangle \rightarrow \langle s; \text{Nat} \rangle \text{MS} \ (\lambda x. \ (\text{if0} \ (\text{nat}? x) \ (+ x \ \overline{1}) \ \overline{2}))) \ \overline{5} \\ (\lambda y: \text{ Nat. } (\langle s; \text{Nat} \rangle \text{MS} \ (\lambda x. \ (\text{if0} \ (\text{nat}? x) \ (+ x \ \overline{1}) \ \overline{2}))) \ \overline{5} \end{pmatrix}$

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 $\begin{pmatrix} \forall \alpha. \ \alpha \rightarrow \alpha MS \ (\lambda x. \ (if0 \ (nat? x) \ (+ x \ \overline{1}) \ \overline{2}))) \text{ Nat } \overline{5} \\ \rightarrow \quad (\Lambda \alpha. \ (\alpha \rightarrow \alpha MS \ (\lambda x. \ (if0 \ (nat? x) \ (+ x \ \overline{1}) \ \overline{2})))) \text{ Nat } \overline{5} \\ \rightarrow \quad (\langle s; \mathsf{Nat} \rangle \rightarrow \langle s; \mathsf{Nat} \rangle MS \ (\lambda x. \ (if0 \ (nat? x) \ (+ x \ \overline{1}) \ \overline{2}))) \ \overline{5} \\ \rightarrow \quad (\lambda y: \text{ Nat. } (\langle s; \mathsf{Nat} \rangle MS \ (\lambda x. \ (if0 \ (nat? x) \ (+ x \ \overline{1}) \ \overline{2})) \ (SM^{\langle s; \mathsf{Nat} \rangle} \ y))) \ \overline{5} \\ \rightarrow \quad (\langle s; \mathsf{Nat} \rangle MS \ (\lambda x. \ (if0 \ (nat? x) \ (+ x \ \overline{1}) \ \overline{2})) \ (SM^{\langle s; \mathsf{Nat} \rangle} \ y))) \ \overline{5} \\ \rightarrow \quad (\langle s; \mathsf{Nat} \rangle MS \ (\lambda x. \ (if0 \ (nat? x) \ (+ x \ \overline{1}) \ \overline{2})) \ (SM^{\langle s; \mathsf{Nat} \rangle} \ y))) \ \overline{5}$

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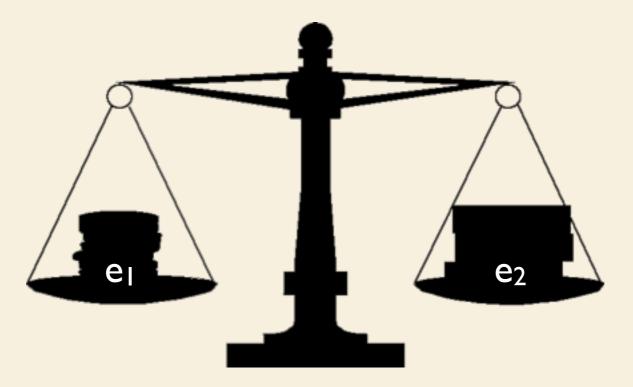
 $\begin{pmatrix} \forall \alpha. \ \alpha \rightarrow \alpha MS \ (\lambda x. \ (if0 \ (nat? x) \ (+ x \ \overline{1}) \ \overline{2}))) \text{ Nat } \overline{5} \\ \longrightarrow \ (\Lambda \alpha. \ (\alpha \rightarrow \alpha MS \ (\lambda x. \ (if0 \ (nat? x) \ (+ x \ \overline{1}) \ \overline{2})))) \text{ Nat } \overline{5} \\ \longrightarrow \ (\langle s; \mathsf{Nat} \rangle \rightarrow \langle s; \mathsf{Nat} \rangle MS \ (\lambda x. \ (if0 \ (nat? x) \ (+ x \ \overline{1}) \ \overline{2}))) \ \overline{5} \\ \longrightarrow \ (\lambda y: \text{ Nat. } (\langle s; \mathsf{Nat} \rangle MS \ (\lambda x. \ (if0 \ (nat? x) \ (+ x \ \overline{1}) \ \overline{2})) \ (SM^{\langle s; \mathsf{Nat} \rangle} \ y))) \ \overline{5} \\ \longrightarrow \ (\langle s; \mathsf{Nat} \rangle MS \ (\lambda x. \ (if0 \ (nat? x) \ (+ x \ \overline{1}) \ \overline{2})) \ (SM^{\langle s; \mathsf{Nat} \rangle} \ y))) \ \overline{5} \\ \longrightarrow \ (\langle s; \mathsf{Nat} \rangle MS \ (\lambda x. \ (if0 \ (nat? x) \ (+ x \ \overline{1}) \ \overline{2})) \ (SM^{\langle s; \mathsf{Nat} \rangle} \ \overline{5})) \\ \longrightarrow^* \ (\langle s; \mathsf{Nat} \rangle MS \ \overline{2})$

 $(\forall \alpha . \ \alpha \rightarrow \alpha MS (\lambda x. (if0 (nat? x) (+ x \overline{1}) \overline{2})))$ Nat $\overline{5}$ $(\Lambda \alpha. (\alpha \rightarrow \alpha MS (\lambda x. (if0 (nat? x) (+ x \overline{1}) \overline{2}))))$ Nat $\overline{5}$ $(\langle s; \mathbf{Nat} \rangle \rightarrow \langle s; \mathbf{Nat} \rangle MS(\lambda x. (if0 (nat? x) (+ x \overline{1}) \overline{2}))) \overline{5}$ $(\lambda \mathbf{y} : \mathbf{Nat}. (\langle s; \mathbf{Nat} \rangle \mathcal{MS} (\lambda \mathbf{x}. (\mathbf{if0} (\mathbf{nat}? \mathbf{x}) (+ \mathbf{x} \overline{1}) \overline{2})) (\mathcal{M}^{\langle s; \mathbf{Nat} \rangle} \mathbf{y}))) \overline{5}$ $(\langle s; \mathsf{Nat} \rangle \mathcal{MS} (\lambda x. (\mathsf{if0} (\mathsf{nat}? x) (+ x \overline{1}) \overline{2})) (\mathcal{M}^{\langle s; \mathsf{Nat} \rangle} \overline{5}))$ $\longrightarrow^* (\langle s; \mathsf{Nat} \rangle \mathcal{MS} \overline{2}) \blacktriangleleft$ can't unseal something that isn't a seal

 $(\forall \alpha. \alpha \rightarrow \alpha MS (\lambda x. (if0 (nat? x) (+ x \overline{1}) \overline{2})))$ Nat $\overline{5}$ $(\Lambda \alpha. (\alpha \rightarrow \alpha MS (\lambda x. (if0 (nat? x) (+ x \overline{1}) \overline{2}))))$ Nat $\overline{5}$ $(\langle s; \mathbf{Nat} \rangle \rightarrow \langle s; \mathbf{Nat} \rangle MS(\lambda x. (if0 (nat? x) (+ x \overline{1}) \overline{2}))) \overline{5}$ $(\lambda \mathbf{y} : \mathbf{Nat}. (\langle s; \mathbf{Nat} \rangle \mathcal{MS} (\lambda \mathbf{x}. (\mathbf{if0} (\mathbf{nat}? \mathbf{x}) (+ \mathbf{x} \overline{1}) \overline{2})) (\mathcal{M}^{\langle s; \mathbf{Nat} \rangle} \mathbf{y}))) \overline{5}$ $(\langle s; \mathbf{Nat} \rangle MS(\lambda x. (if0 (nat? x) (+ x \overline{1}) \overline{2})) (M \langle s; \mathbf{Nat} \rangle \overline{5}))$ $(\langle s; \mathsf{Nat} \rangle MS \overline{2})$ \longrightarrow^* can't unseal something **Error**: bad value that isn't a seal

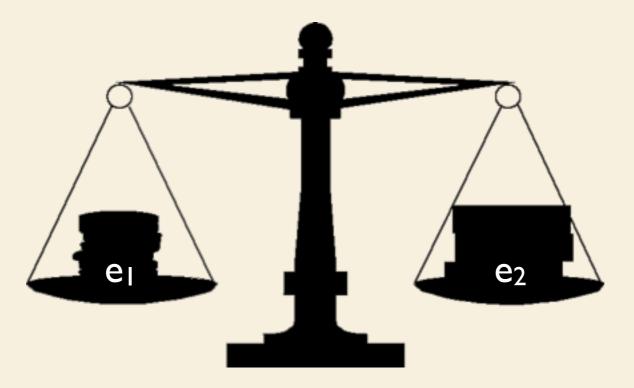
Proving parametricity

When are two expressions indistinguishable?



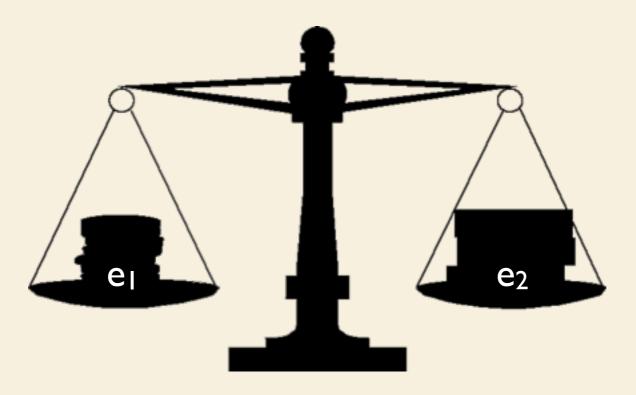
The property we really want is contextual equivalence: e1 and e2, when dropped into the same context, have the same observable behavior.

When are two expressions indistinguishable?



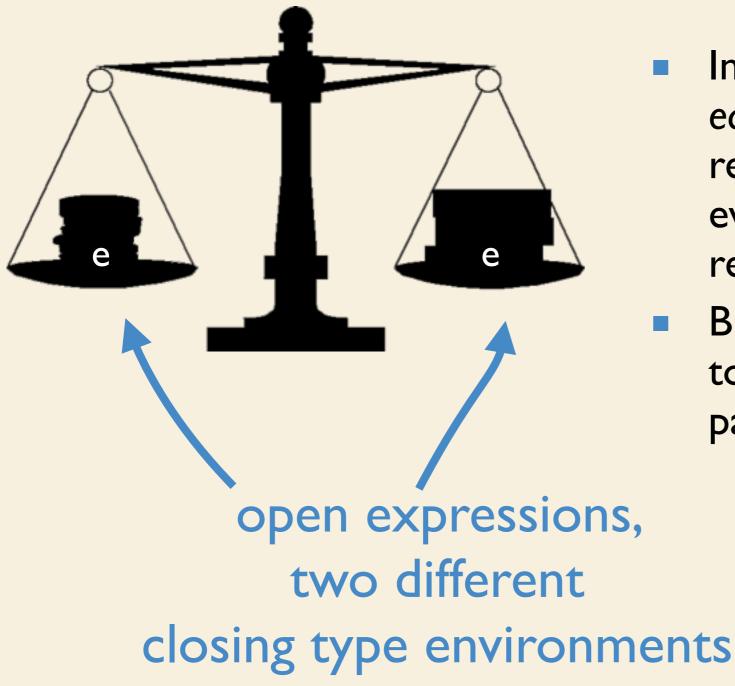
The property we really want is contextual equivalence: e₁ and e₂, when dropped into the same context, have the same observable behavior.

A different notion of equivalence



- Because contextual equivalence is hard to show directly, we need a different notion of equivalence.
- We'll define our own equivalence relation and show that it is sound with respect to contextual equivalence.

Reflexivity: the Fundamental Property



- In order to be an equivalence relation, our relation has to be reflexive: every expression must be related to itself.
- But this corresponds nicely to what we mean by parametricity anyway!

What's "logical" about it?

• The relation we're defining is called a logical relation. Why?

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A logical relation "respects the actions of the logical operators...that correspond to the language's type constructors" (Crary, 2005)

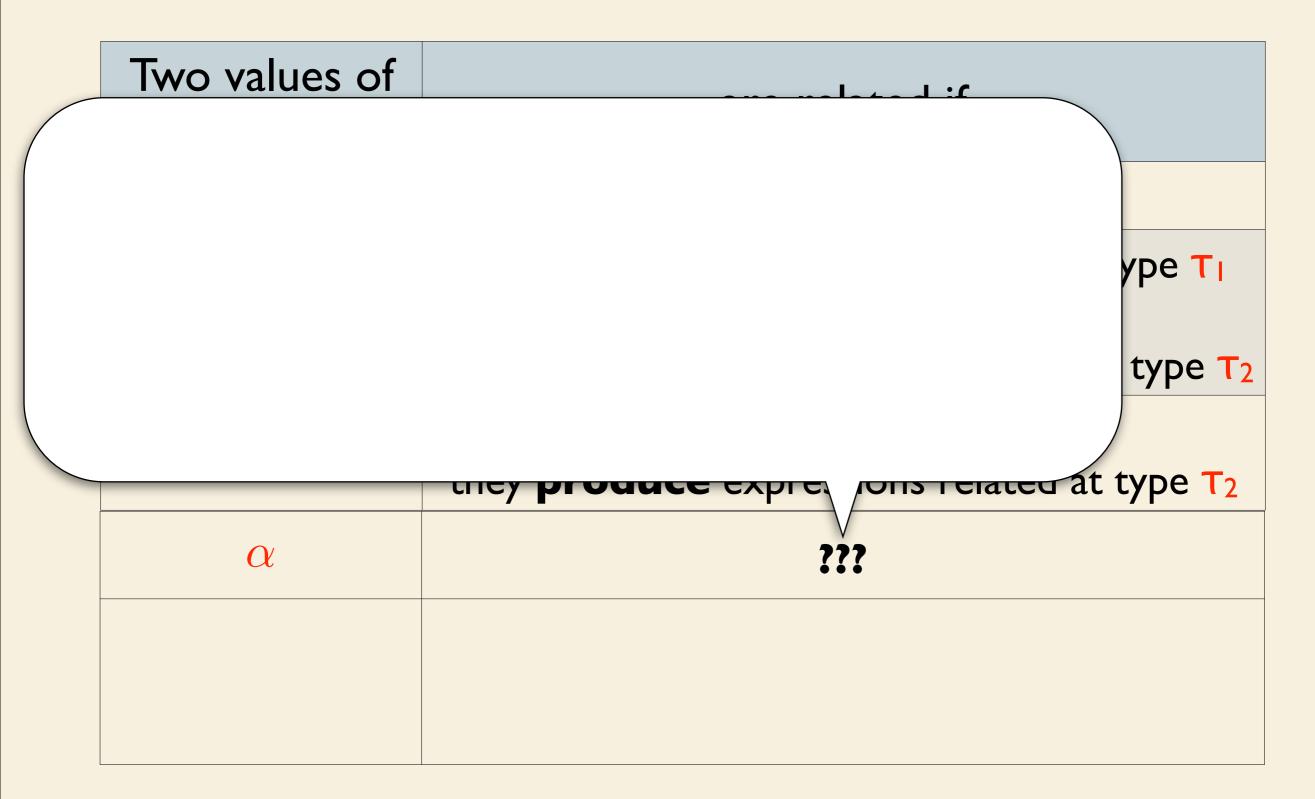
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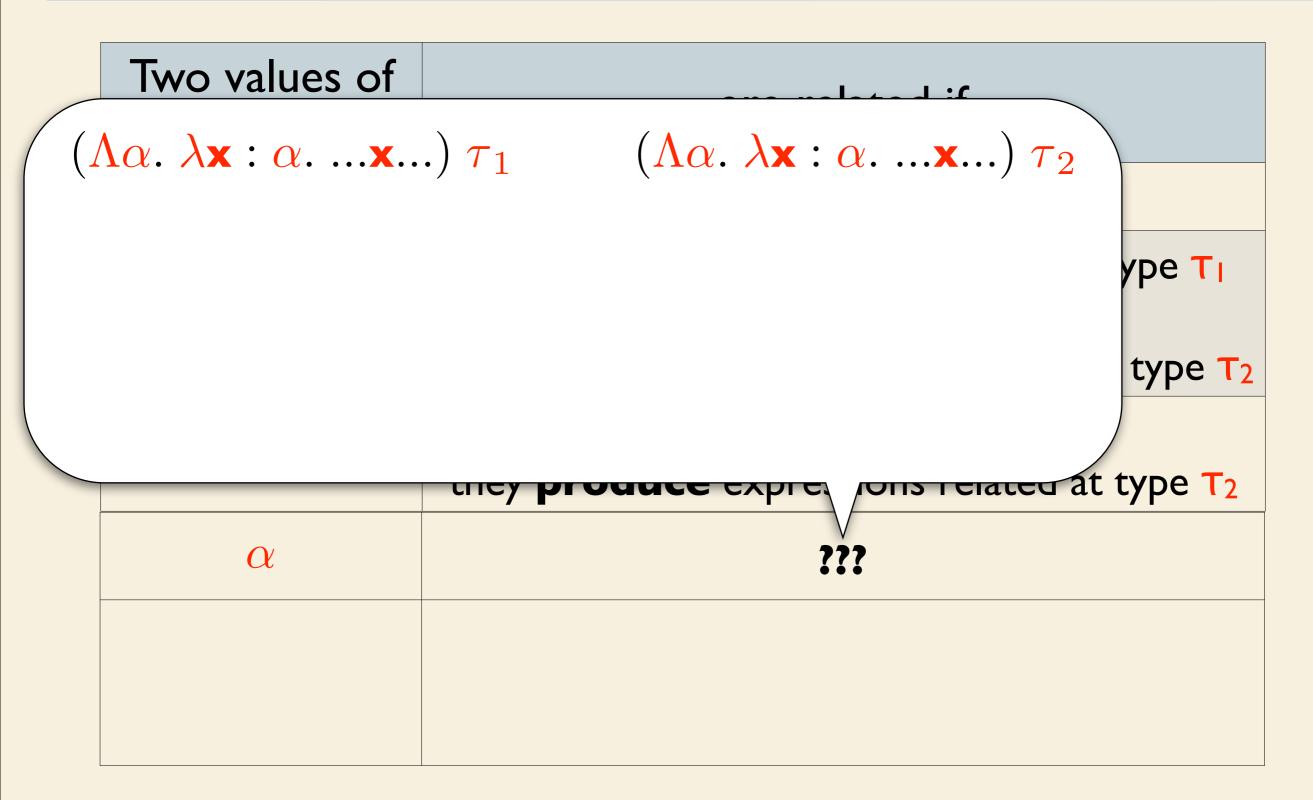
Two values of type	are related if
Nat	they're equal
$ au_1 imes au_2$	their first components are related at type τ _ι and
	their second components are related at type T_2
$ au_1 ightarrow au_2$	given values related at type T 1 they produce expressions related at type T 2

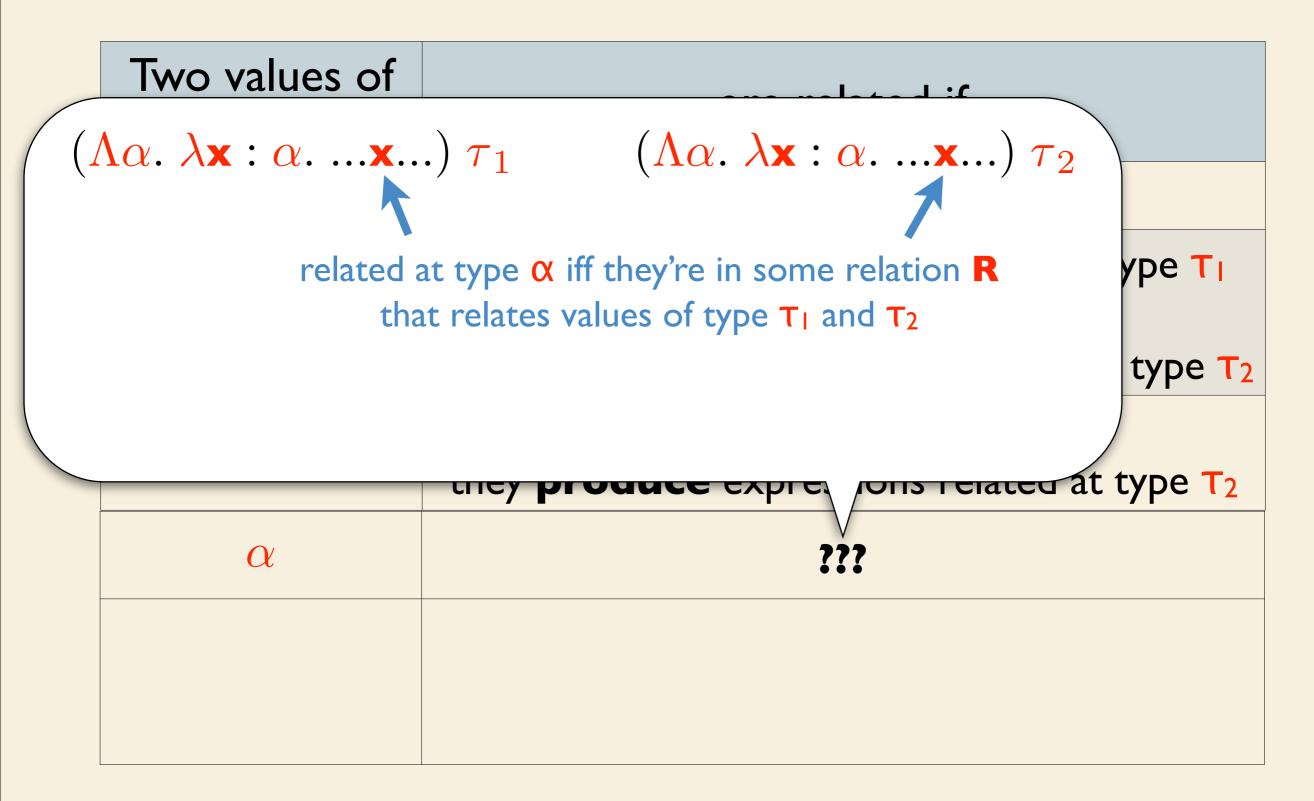
Two values of type	are related if
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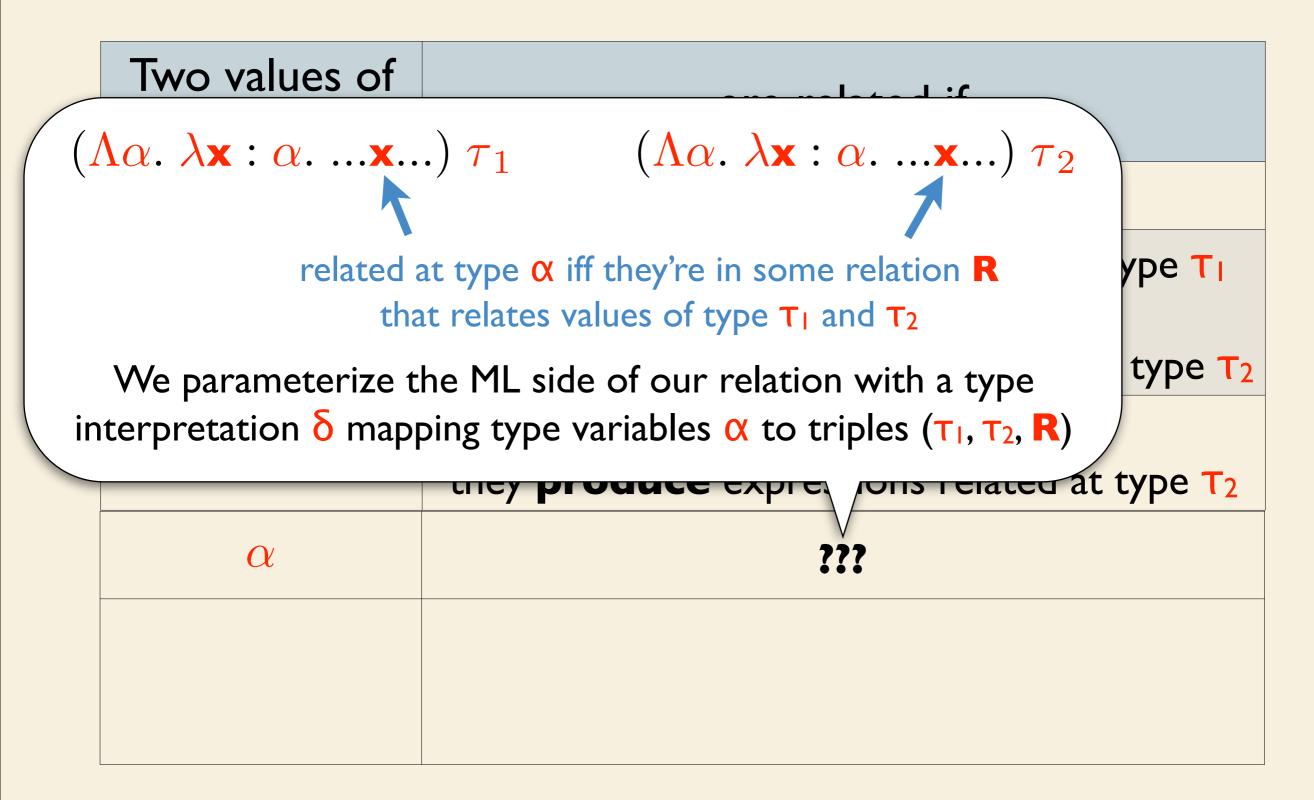
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α	

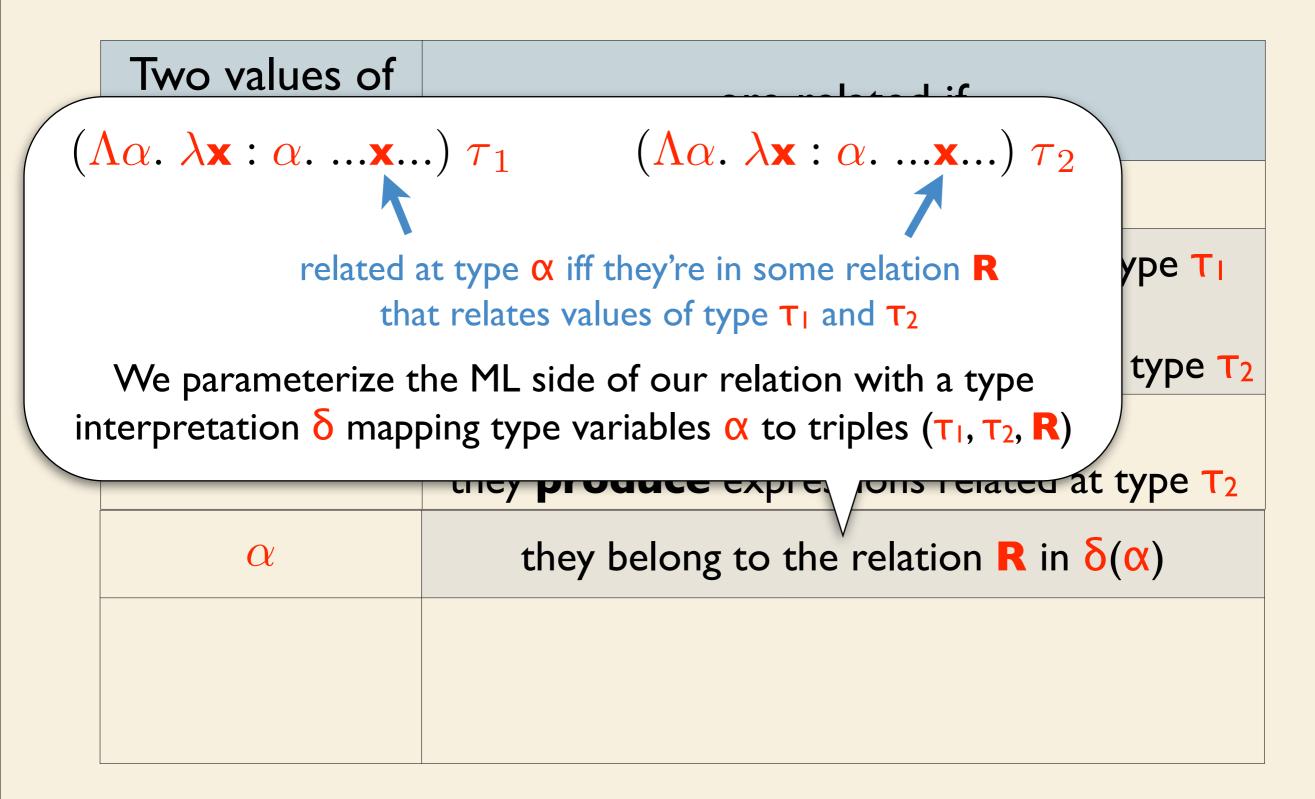
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Would something like this work for Scheme?

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$(\lambda \mathbf{x}. \mathbf{e})$???	

Examples of related Scheme values

۷I	٧2	Related (indistinguishable) for
5		

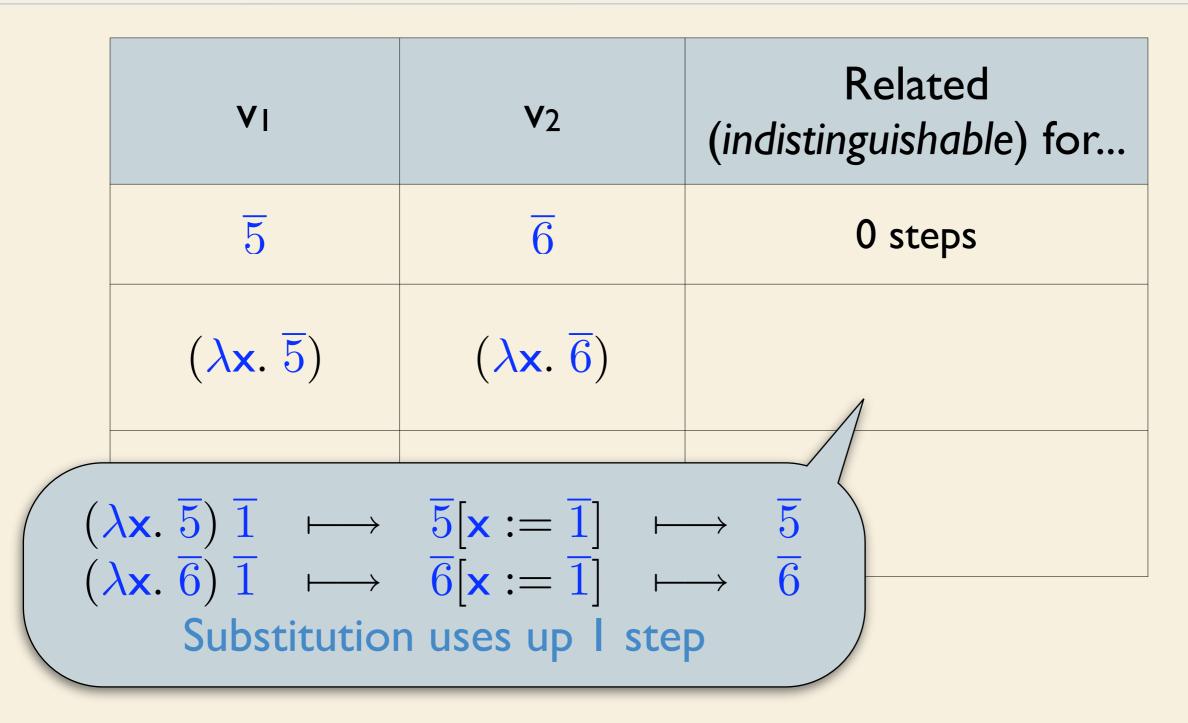
Examples of related Scheme values

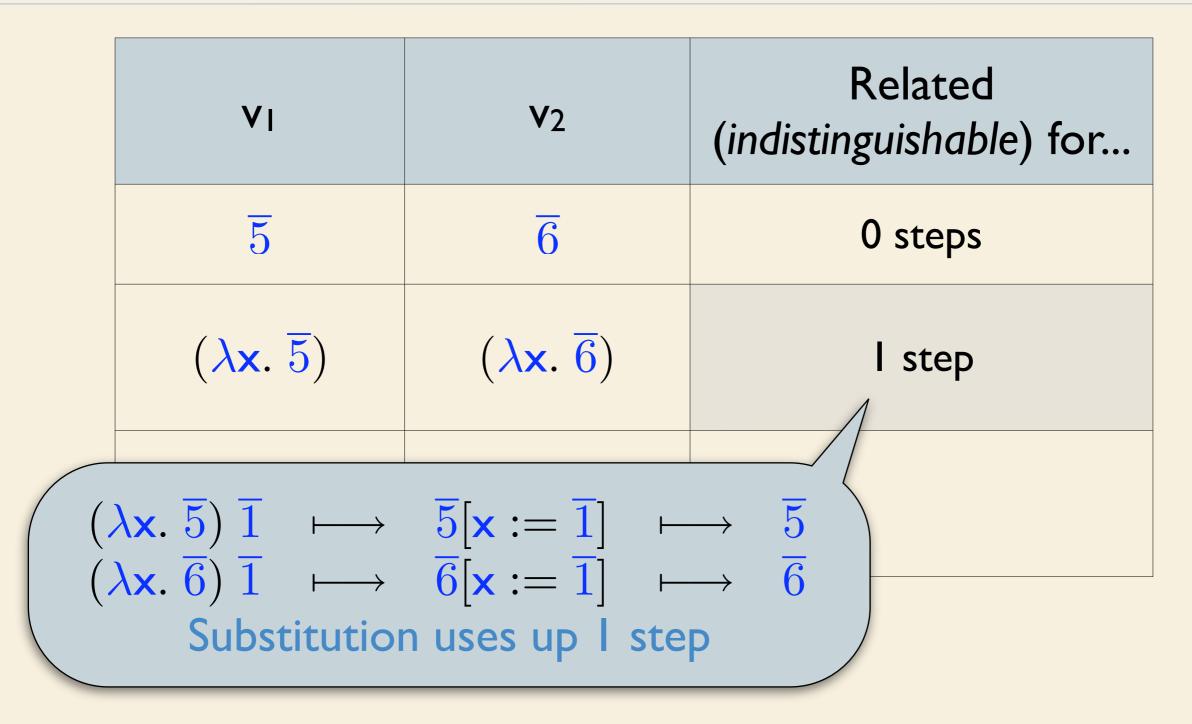
۷I	٧2	Related (indistinguishable) for
5	$\overline{6}$	

Examples of related Scheme values

۷I	٧2	Related (indistinguishable) for
5	$\overline{6}$	0 steps

۷I	٧2	Related (indistinguishable) for
5	6	0 steps
$(\lambda \mathbf{x}. \overline{5})$	$(\lambda \mathbf{x}. \ \overline{6})$	



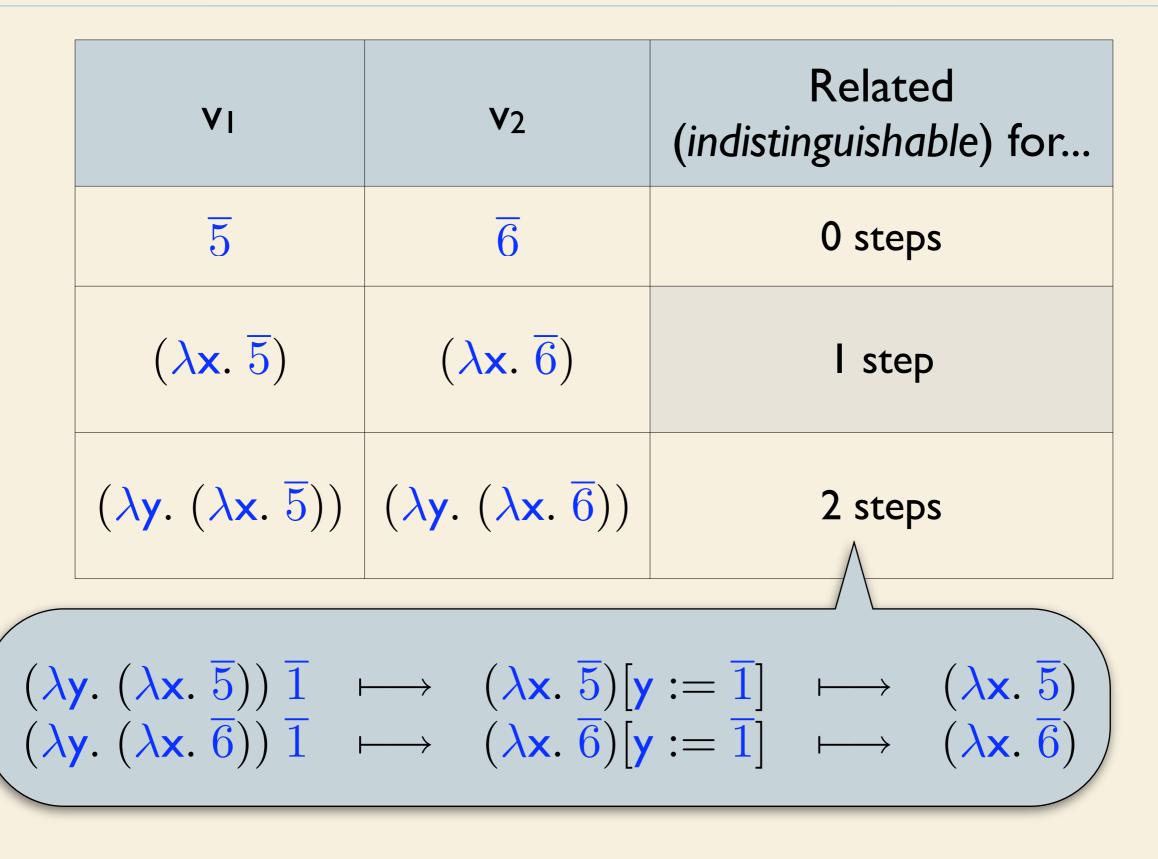


۷I	٧2	Related (indistinguishable) for
5	<u>6</u>	0 steps
$(\lambda \mathbf{x}. \ \overline{5})$	$(\lambda \mathbf{x}. \ \overline{6})$	l step

٧I	٧2	Related (indistinguishable) for
5	<u>6</u>	0 steps
$(\lambda \mathbf{x}. \ \overline{5})$	$(\lambda \mathbf{x}. \ \overline{6})$	l step
$(\lambda y. (\lambda x. \overline{5}))$		

۷I	٧2	Related (indistinguishable) for
5	6	0 steps
$(\lambda \mathbf{x}. \ \overline{5})$	$(\lambda \mathbf{x}. \ \overline{6})$	l step
$(\lambda \mathbf{y}. (\lambda \mathbf{x}. \overline{5}))$	$(\lambda \mathbf{y}. (\lambda \mathbf{x}. \overline{6}))$	

	۷I	V 2	Related (indistinguishable) for
	$\overline{5}$	<u>6</u>	0 steps
	$(\lambda \mathbf{x}. \ \overline{5})$	$(\lambda \mathbf{x}. \ \overline{6})$	l step
	$(\lambda \mathbf{y}. (\lambda \mathbf{x}. \overline{5}))$	$(\lambda \mathbf{y}. (\lambda \mathbf{x}. \overline{6}))$	
$\lambda y \lambda y$	y. $(\lambda x. \overline{5})) \overline{1}$ + y. $(\lambda x. \overline{6})) \overline{1}$ +	$ \longrightarrow (\lambda \mathbf{x} \cdot \mathbf{\overline{5}})[\mathbf{y}] \\ \longrightarrow (\lambda \mathbf{x} \cdot \mathbf{\overline{6}})[\mathbf{y}] $	$ x := \overline{1} \mapsto (\lambda x, \overline{5}) \\ x := \overline{1} \mapsto (\lambda x, \overline{6}) $



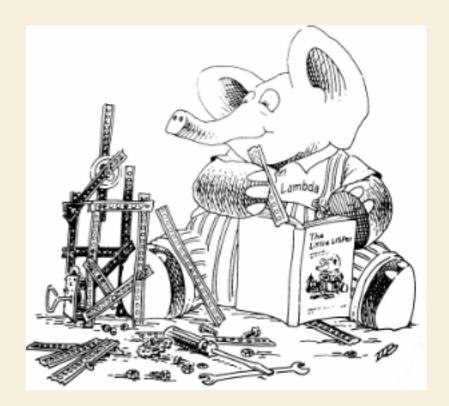
۷I	٧2	Related (indistinguishable) for
5	<u>6</u>	0 steps
$(\lambda \mathbf{x}. \ \overline{5})$	$(\lambda \mathbf{x}. \overline{6})$	l step
$(\lambda \mathbf{y}. \ (\lambda \mathbf{x}. \ \overline{5}))$	$(\lambda \mathbf{y}. \ (\lambda \mathbf{x}. \ \overline{6}))$	2 steps

۷ı	٧2	Related (indistinguishable) for
5	6	0 steps
$(\lambda \mathbf{x}. \ \overline{5})$	$(\lambda \mathbf{x}. \overline{6})$	l step
$(\lambda y. (\lambda x. \overline{5}))$	$(\lambda \mathbf{y}. \ (\lambda \mathbf{x}. \ \overline{6}))$	2 steps

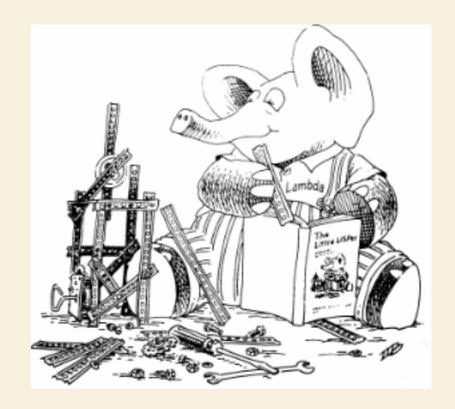
 Intuitively, wrapping layers of λ around values makes them indistinguishable for 1 more step

Two values of the syntactic form	are related for <i>j</i> steps if
\overline{n}	they're equal
$(cons v_1 v_2)$	their first components are related for <i>j</i> steps and their second components are related for <i>j</i> steps
$(\lambda \mathbf{x}. \mathbf{e})$???

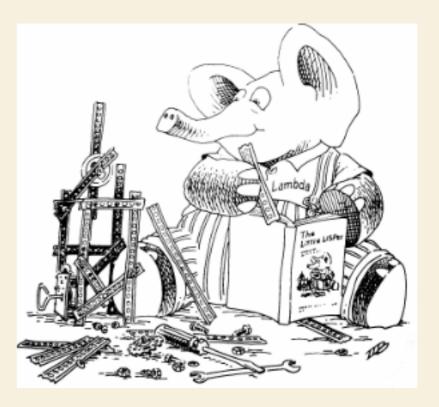
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$(\lambda \mathbf{x}. \mathbf{e})$	given values related for <i>i</i> < <i>j</i> steps they produce expressions related for <i>i</i> steps



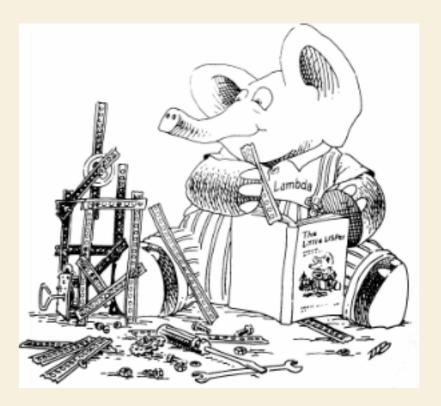
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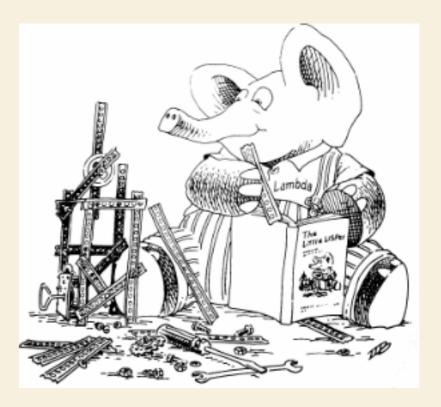


- Chapter 9 of The Little Schemer gives examples of functions length₀, length_{≤1}, length_{≤2}, and so on
- length ≤_j takes a list and returns the length of that list, as long as that length is ≤_j;
 otherwise, length ≤_j goes into an infinite loop



Think of the subscript $\leq j$ as a behavioral contract guaranteeing that **length** \leq_j belongs to a certain type for up to *j* steps of execution

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- Think of the subscript $\leq j$ as a behavioral contract guaranteeing that **length** \leq_j belongs to a certain type for up to *j* steps of execution
- This is exactly the intuition behind the step-indexed model of recursive types (Appel & McAllester, 2001)

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$(\mathbf{S} (\mathbf{S} (s; \tau) \mathbf{V}))$	

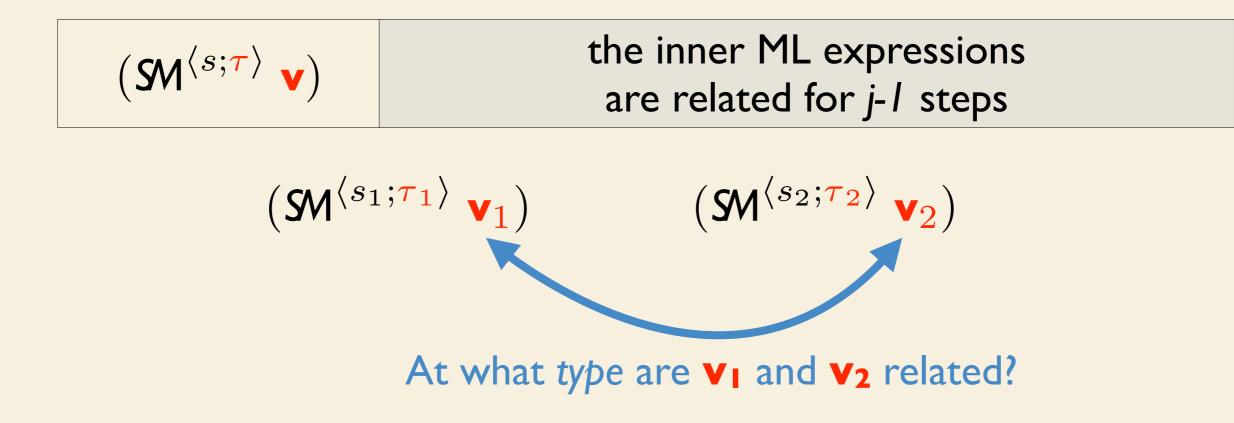
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$(\mathbf{S\!M}^{\langle s; \boldsymbol{ au} \rangle} \mathbf{ extbf{v}})$???

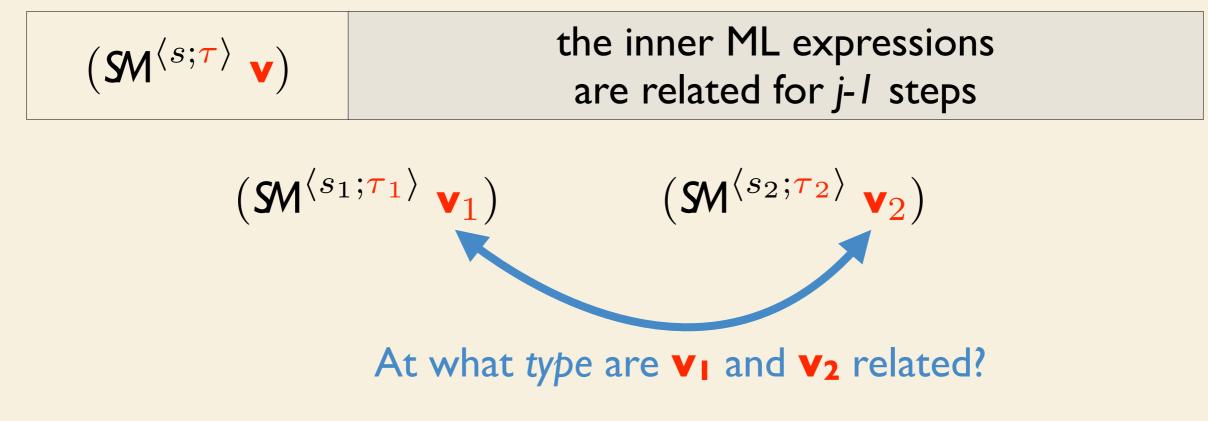
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$(\mathbf{S} (\mathbf{S} (s; \tau) \mathbf{v}))$	the inner ML expressions are related for <i>j-1</i> steps
step indices "leak" back into the ML relation	

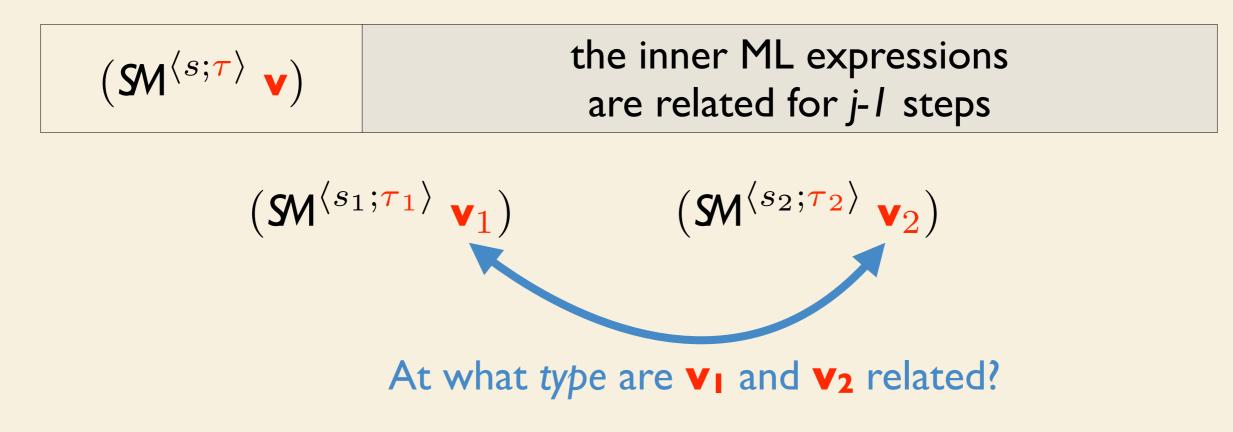
$$(\mathbf{SM}^{\langle s; \tau \rangle} \mathbf{v})$$
 the inner ML expressions are related for *j*-1 steps

$(\mathbf{S} \langle s; \tau \rangle \mathbf{v})$	the inner ML expressions
	are related for <i>j</i> -1 steps



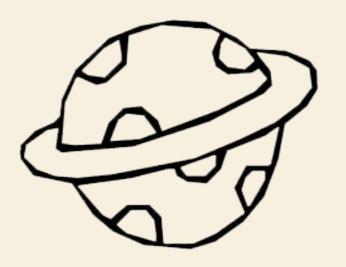


The type of these sealed values was originally a type variable...



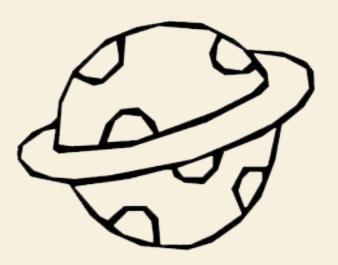
- The type of these sealed values was originally a type variable...
- We need a dynamic counterpart to δ

Possible worlds

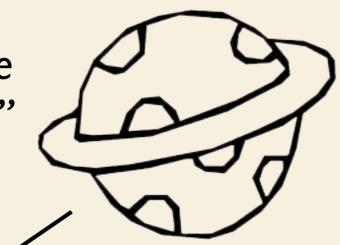


- An idea from modal logic (Kripke, 1963)
- Useful for reasoning about properties that only hold under certain conditions

"Meanwhile, in the world where **e**₁ and **e**₂ are related..."



"Meanwhile, in the world where **e**₁ and **e**₂ are related..."



seals s₁ generated during evaluation of **e**1

"..." "Meanwhile, in the world where **e**₁ and **e**₂ are related..."

seals s₁ generated during evaluation of **e**₁

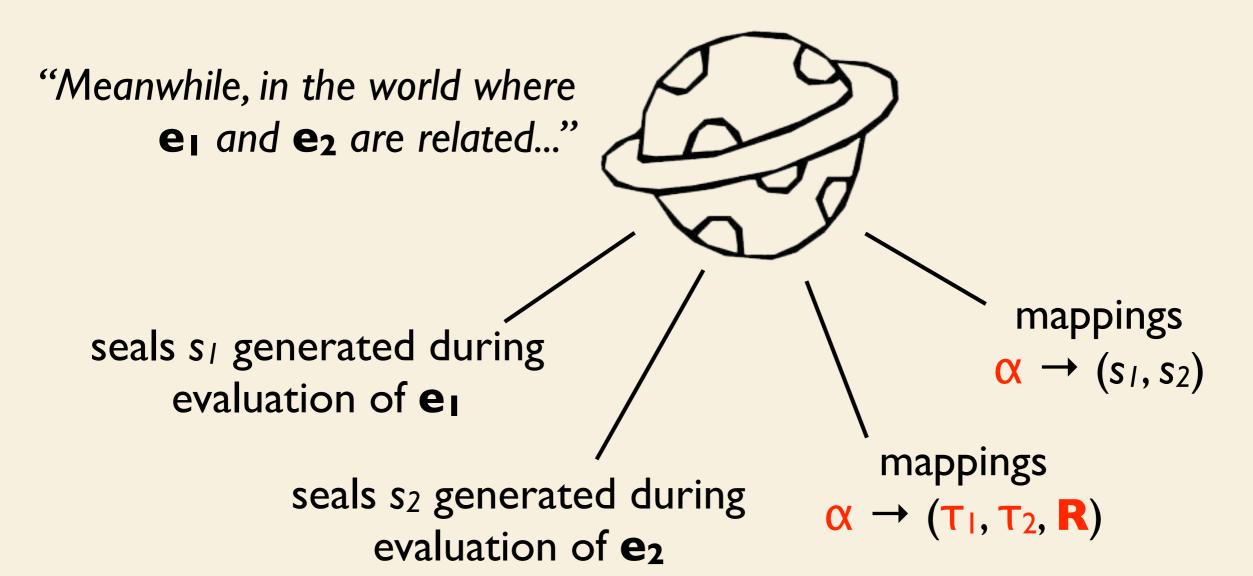
seals s₂ generated during evaluation of **e**₂

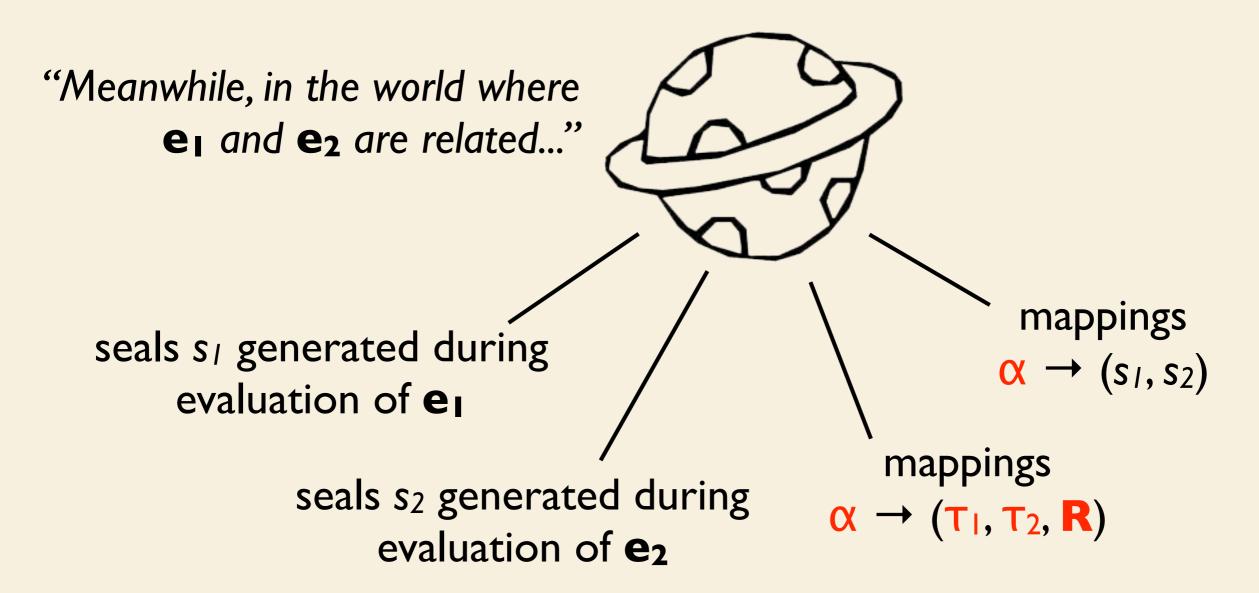
"..." "Meanwhile, in the world where **e**₁ and **e**₂ are related..."

> mappings $\alpha \rightarrow (s_1, s_2)$

seals s₁ generated during evaluation of **e**₁

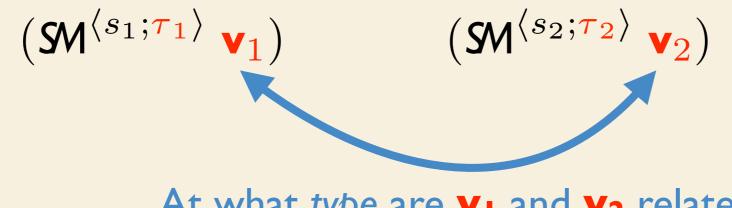
seals s₂ generated during evaluation of **e**₂





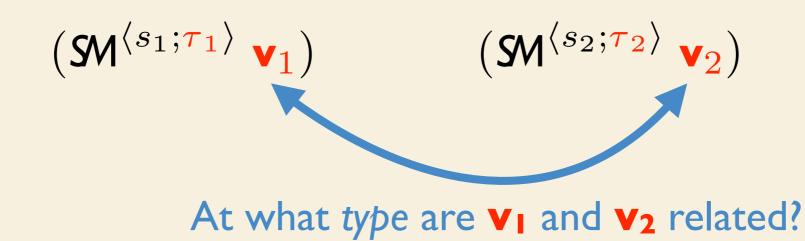
 Worlds capture the relationship between static type variables and dynamic seals

Relatedness in a world



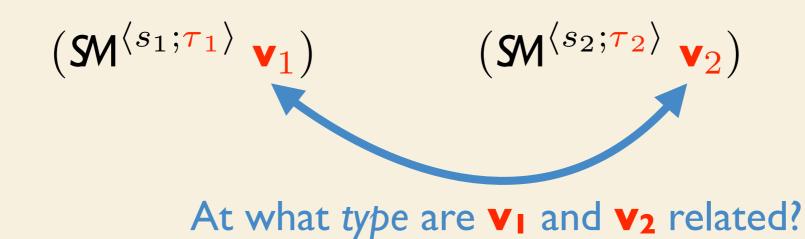
At what type are V_1 and V_2 related?

Relatedness in a world



The answer: V₁ and V₂ must belong to a relation R that relates values of type T₁ and T₂

Relatedness in a world



- The answer: V₁ and V₂ must belong to a relation R that relates values of type T₁ and T₂
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- Whenever we do type application, we extend the current world with new seals s₁ and s₂ and new bindings for C

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- Whenever we need to determine relatedness of sealed values, we consult the current world to find the R that would relate them

- Expressions are now related at a type, for a given number of steps, and in a world
- Whenever we need to determine relatedness of sealed values, we consult the current world to find the R that would relate them
- Upshot of all this: now we can prove parametricity!

Sage advice

Sage advice



When in doubt, add another environment to your relation. **#typesystemprotips**

30 Mar via TweetDeck 🖕 Unfavorite 📭 Retweet 👆 Reply

- The bridge lemma:
 - I. For all e_1 and e_2 ,

 $\begin{array}{l} \text{if } (j, w, \mathbf{e_1}, \mathbf{e_2}) \in \mathcal{V}_S \\ \text{then } (j, w, ({}^{\delta_1(\tau)} \mathcal{M} \mathbf{S} \ \mathbf{e_1}), ({}^{\delta_2(\tau)} \mathcal{M} \mathbf{S} \ \mathbf{e_2})) \in \mathcal{V}_M[\![\tau]\!] \delta. \end{array}$

The bridge lemma: I. For all \mathbf{e}_1 and \mathbf{e}_2 , if $(j, w, \mathbf{e}_1, \mathbf{e}_2) \in \mathcal{V}_S$ then $(j, w, (^{\delta_1(\tau)}MS \mathbf{e}_1), (^{\delta_2(\tau)}MS \mathbf{e}_2)) \in \mathcal{V}_M[[\tau]]\delta$.

- The bridge lemma:
- carries relatedness between languages
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- 2. For all \mathbf{e}_1 and \mathbf{e}_2 , if $(j, w, \mathbf{e}_1, \mathbf{e}_2) \in \mathcal{V}_M[\![\tau]\!]\delta$ then $(j, w, (\mathfrak{M}^{\delta_1(\tau)} \mathbf{e}_1), (\mathfrak{M}^{\delta_2(\tau)} \mathbf{e}_2)) \in \mathcal{V}_S$.

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- From there we can show the Fundamental Property: I. If $\Delta; \Gamma \vdash_M \mathbf{e} : \tau$, then $\Delta; \Gamma \vdash_M \mathbf{e} \leq_M \mathbf{e} : \tau$.
 - 2. If $\Delta; \Gamma \vdash_S \mathbf{e} : \mathbf{TST}$, then $\Delta; \Gamma \vdash_S \mathbf{e} \lesssim_S \mathbf{e} : \mathbf{TST}$.

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$$\mathbf{e}^{\tau} = (\mathbf{S} \mathbf{M}^{\tau} \ (\mathbf{T} \mathbf{M} \mathbf{S} \ \mathbf{e}))$$

 ...so we can leverage our parametricity result to immediately show that contracted Scheme terms behave parametrically too

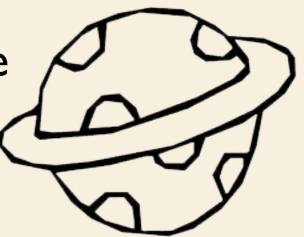
Conclusion

 Aside from giving us free theorems, parametricity makes existential-style data abstraction possible.



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- Aside from giving us free theorems, parametricity makes existential-style data abstraction possible.
- Parametricity breaks when we incorporate dynamically typed code into otherwise statically typed programs, but we can restore it using dynamic seal generation.
- Seal generation is a stateful notion akin to dynamic memory allocation, so we can use **possible worlds** to reason about the semantics of seals in order to prove parametricity.



Thanks!

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Thursday, February 24, 2011

Detailed non-parametricity example

$(\forall \alpha. \ \alpha \rightarrow \alpha MS$	$(\lambda \mathbf{x}.$	(if0	(nat?	x) (+	$\times \overline{1})$	x)))	Nat $\overline{5}$
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- $\longrightarrow (\Lambda \alpha. \ (\stackrel{\alpha \to \alpha}{\longrightarrow} MS \ (\lambda x. \ (if0 \ (nat? x) \ (+ x \ \overline{1}) \ x)))) \text{ Nat } \overline{5}$
- $\longrightarrow \quad \left(\overset{\mathbf{Nat} \to \mathbf{Nat}}{\mathcal{MS}} \left(\lambda \mathbf{x}. \left(\mathsf{if0} \left(\mathsf{nat?} \mathbf{x} \right) \left(+ \mathbf{x} \ \overline{1} \right) \mathbf{x} \right) \right) \right) \overline{5}$
- $\longrightarrow \quad (\lambda \mathbf{y} : \mathbf{Nat}. \ (\overset{\mathbf{Nat}}{\mathsf{MS}} (\lambda \mathbf{x}. \ (\mathbf{if0} \ (\mathbf{nat}? \mathbf{x}) \ (+ \mathbf{x} \ \overline{1}) \ \mathbf{x})) \ (\mathbf{M}^{\mathbf{Nat}} \ \mathbf{y}))) \ \overline{5}$
- $\longrightarrow \quad \left(\overset{\mathbf{Nat}}{\mathcal{MS}} \left(\lambda \mathbf{x}. \left(\mathsf{if0} \left(\mathsf{nat?} \mathbf{x} \right) \left(+ \mathbf{x} \ \overline{1} \right) \mathbf{x} \right) \right) \left(\overset{\mathbf{Nat}}{\mathcal{SM}} \overset{\mathbf{Nat}}{\overline{5}} \right) \right)$
- $\longrightarrow \quad (\overset{\text{Nat}}{\longrightarrow} (\lambda x. (if0 (nat? x) (+ x \overline{1}) x)) \overline{5})$
- $\longrightarrow \quad (\overset{\texttt{Nat}}{\longrightarrow} (\text{if0} (\texttt{nat}? \ \overline{5}) \ (+ \ \overline{5} \ \overline{1}) \ \overline{5}))$
- $\longrightarrow \quad (\overset{\text{Nat}}{\longrightarrow} (\text{if0 } \overline{0} \ (+ \ \overline{5} \ \overline{1}) \ \overline{5}))$
- $\longrightarrow \quad \left(\overset{\text{Nat}}{\longrightarrow} \left(+ \ \overline{5} \ \overline{1} \right) \right)$
- $\longrightarrow (\text{Nat}MS \overline{6})$

Detailed dynamic sealing example

 $(\forall \alpha. \alpha \rightarrow \alpha MS (\lambda x. (if0 (nat? x) (+ x \overline{1}) x)))$ Nat 5 $\longrightarrow (\Lambda \alpha. (\stackrel{\alpha \to \alpha}{\longrightarrow} MS (\lambda x. (if0 (nat? x) (+ x 1) x)))) \text{ Nat } 5$ $\longrightarrow (\langle s; \mathsf{Nat} \rangle \rightarrow \langle s; \mathsf{Nat} \rangle MS(\lambda x. (\mathsf{if0}(\mathsf{nat}? x)(+ x \overline{1})x))) 5$ $\longrightarrow (\lambda \mathbf{y} : \mathbf{Nat}. (\langle s; \mathbf{Nat} \rangle \mathcal{MS} (\lambda \mathbf{x}. (\mathbf{if0} (\mathbf{nat}? \mathbf{x}) (+ \mathbf{x} \overline{1}) \mathbf{x})) (\mathcal{M}^{\langle s; \mathbf{Nat} \rangle} \mathbf{y}))) \overline{5}$ $\longrightarrow (\langle s; \mathbf{Nat} \rangle \mathcal{MS} (\lambda \mathbf{x}. (\mathbf{if0} (\mathbf{nat}? \mathbf{x}) (+ \mathbf{x} \overline{1}) \mathbf{x})) (\mathcal{M}^{\langle s; \mathbf{Nat} \rangle} \overline{5}))$ $\longrightarrow (\langle s; \mathbf{Nat} \rangle \mathcal{MS} (\lambda \mathbf{x}. (\mathbf{if0} (\mathbf{nat? x}) (+ \mathbf{x} \overline{1}) \mathbf{x})) (\mathcal{M}^{\langle s; \mathbf{Nat} \rangle} \overline{5}))$ $\longrightarrow (\langle s; \mathsf{Nat} \rangle \mathcal{MS} (\mathsf{if0} (\mathsf{nat}? (\mathcal{M}^{\langle s; \mathsf{Nat} \rangle} \overline{5})) (+ (\mathcal{M}^{\langle s; \mathsf{Nat} \rangle} \overline{5}) \overline{1}) (\mathcal{M}^{\langle s; \mathsf{Nat} \rangle} \overline{5})))$ $\longrightarrow (\langle s; \mathbf{Nat} \rangle \mathcal{M} S (ifO \overline{1} (+ (\mathcal{M}^{\langle s; \mathbf{Nat} \rangle} \overline{5}) \overline{1}) (\mathcal{M}^{\langle s; \mathbf{Nat} \rangle} \overline{5})))$ $\longrightarrow (\langle s; \mathbf{Nat} \rangle \mathcal{MS} (\mathcal{M}^{\langle s; \mathbf{Nat} \rangle} \overline{5}))$ $\longrightarrow \overline{5}$

Another detailed dynamic sealing example

 $(\forall \alpha . \ \alpha \rightarrow \alpha MS (\lambda x. (if0 (nat? x) (+ x \overline{1}) \overline{2})))$ Nat $\overline{5}$ $\longrightarrow (\Lambda \alpha. (\stackrel{\alpha \to \alpha}{\longrightarrow} MS (\lambda x. (if0 (nat? x) (+ x 1) 2)))) \text{ Nat } 5$ $\longrightarrow (\langle s; \mathsf{Nat} \rangle \rightarrow \langle s; \mathsf{Nat} \rangle \mathcal{MS} (\lambda x. (\mathsf{if0} (\mathsf{nat}? x) (+ x \overline{1}) \overline{2}))) 5$ $\longrightarrow (\lambda \mathbf{y} : \mathbf{Nat}. (\langle s; \mathbf{Nat} \rangle \mathcal{MS} (\lambda \mathbf{x}. (\mathbf{if0} (\mathbf{nat}? \mathbf{x}) (+ \mathbf{x} \overline{1}) \overline{2})) (\mathcal{M}^{\langle s; \mathbf{Nat} \rangle} \mathbf{y}))) \overline{5}$ $\longrightarrow (\langle s; \mathsf{Nat} \rangle \mathcal{MS} (\lambda x. (\mathsf{if0} (\mathsf{nat}? x) (+ x \overline{1}) \overline{2})) (\mathcal{M}^{\langle s; \mathsf{Nat} \rangle} \overline{5}))$ $\longrightarrow (\langle s; \mathsf{Nat} \rangle \mathcal{MS} (\lambda x. (\mathsf{if0} (\mathsf{nat}? x) (+ x \overline{1}) \overline{2})) (\mathcal{M}^{\langle s; \mathsf{Nat} \rangle} \overline{5}))$ $\longrightarrow (\langle s; \mathsf{Nat} \rangle \mathcal{MS} (\mathsf{ifO} (\mathsf{nat}? (\mathcal{M}^{\langle s; \mathsf{Nat} \rangle} \overline{5})) (+ (\mathcal{M}^{\langle s; \mathsf{Nat} \rangle} \overline{5}) \overline{1}) \overline{2}))$ $\longrightarrow (\langle s; \mathbf{Nat} \rangle \mathcal{MS} (\mathbf{if0} \ \overline{1} \ (+ (\mathcal{M}^{\langle s; \mathbf{Nat} \rangle} \ \overline{5}) \ \overline{1}) \ \overline{2}))$ $\longrightarrow (\langle s; \mathsf{Nat} \rangle \mathsf{MS} \overline{2})$

 \longrightarrow **Error**: bad value