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| Spring 2013 | Introduction to Database Systems | Eriq Augustine |

## Relational Algebra

Part 1. Definitions.

## Relational Algebra Notation

$R, T, S, \ldots$ - relations.
$t, t_{1}, t_{2}, \ldots-$ tuples of relations.
$t^{(n)}-$ tuple with $n$ attributes.
$t[1], t[2], \ldots, t[n]-1$ st, 2nd, $\ldots$ nth attribute of tuple $t$.
t.name - attribute name of tuple $t$ (attribute names and numbers are interchangeable).
R.name, $R[1], \ldots-$ attribute name or attribute number 1 (etc.) of relation $R$.
$R\left(X_{1}: V_{1}, \ldots, X_{n}: V_{n}\right)$ - relational schema specifying relation $R$ with $n$ attributes $X_{1}, \ldots, X_{n}$ of types $V_{1}, \ldots, V_{n}$. We let $V_{i}$ specify both the type and the set of possible values in it.

## Base Operations

## Union

Definition 1 Let $R=\{t\}$ and $S=\left\{t^{\prime}\right\}$ be two relations over the same relational schema. Then, the union of $R$ and $S$, denoted $R \cup S$ is a relation $T$, such that:

$$
T=\left\{t^{\prime \prime} \mid t^{\prime \prime} \in R \text { or } t^{\prime \prime} \in S\right\} .
$$

Union of two relations combines in one relation all their tuples.
Note: it is important to note that for union to be applicable to two relations $R$ and $S$, they must have the same schema.

## Difference

Definition 2 Let $R$ and $S$ be two relations over the same relational schema. Then, the difference of $R$ and $S$, denoted $R-S$ is a relation $T$ such that:

$$
T=\{t \mid t \in R \text { and } t \notin S\} .
$$

Difference of two relations $R$ and $S$ is a relation that contains all tuples from $R$ that are not contained in $S$.

## Cartesian Product

Definition 3 Let $R$ and $S$ be two relations. The Cartesian product of $R$ and $S$, denoted $R \times S$ is a relation $T$ such that:

$$
T=\left\{t \mid t=\left(a_{1}, \ldots, a_{n}, b_{1}, \ldots, b_{m}\right) \wedge\left(a_{1}, \ldots, a_{n}\right) \in R \wedge\left(b_{1}, \ldots, b_{m}\right) \in S\right\} .
$$

Cartesian product "glues" together all tuples of one relation with all tuples of the other one.

## Selection

Definition 4 Let database $\mathcal{D}$ consist of relations $R_{1}, \ldots R_{n}$. Let $X, Y$ be attributes of one of the relations $R_{1}, \ldots R_{n}$. An atomic selection condition is an expression of one of the forms:

$$
\begin{aligned}
& X \text { op } \alpha ; \\
& X \text { op } Y,
\end{aligned}
$$

where $\alpha \in V$ and $V$ is $X$ 's domain; $\mathrm{op} \in\{=, \neq,<,>, \leq, \geq\}$ and $X$ and $Y$ have comparable types.
An atomic selection condition is a selection condition.
Let $C_{1}$ and $C_{2}$ be two selection conditions. Then $C_{1} \wedge C_{2}, C_{1} \vee C_{2}$ and $\neg C_{1}$ are selection conditions.
An expression is a valid selection condition only if it can be constructed using the procedure above.
Selection conditions specify "information needs" of the user.
Definition 5 Let $t=\left(a_{1}, \ldots, a_{n}\right) \in R$ be a relational tuple. Let $C=X$ op $\alpha$ and $C^{\prime}=X$ op $Y$ be two atomic selection conditions.
$t$ satisfies $C$ iff $t . X$ op $\alpha$ is a true statement.
$t$ satisfies $C^{\prime}$ iff $t . X$ op $t . Y$ is a true statement.
$t$ satisfies $C_{1} \wedge C_{2}$ iff $t$ satisfies both $C$ and $C^{\prime}$.
$t$ satisfies $C_{1} \vee C_{2}$ iff $t$ satisfies either $C_{1}$ or $C_{2}$.
$t$ satisfies $\neg C_{1}$ iff $t$ does not satisfy $C_{1}$.
The notion of satisfaction allows us to distinguish between the tuples that contain information sought by the user and those that do not.

Definition 6 Let $R$ be a relation and $C$ be a selection condition. Selection on $R$ with $C$ denoted $\sigma_{C}(R)$ is a relation $T$ such that:

$$
T=\{t \mid t \in R \wedge t \text { satisfies } C\}
$$

## Projection

Definition 7 Let $R$ be a relation with schema $\left(X_{1}: V_{1}, \ldots, X_{n}: V_{n}\right)$. Let $F$ be a sequence $Y_{1}, \ldots, Y_{m}$ of attributes from $R$. Then projection of $R$ on $F$ denoted $\pi_{F}(R)$ is a relation $T$ such that

$$
T=\left\{t \mid t=\left(a_{1}, \ldots, a_{m}\right),\left(\exists t^{\prime \prime} \in R\right)(\forall 1 \leq i \leq m) a_{i}=t^{\prime \prime} . Y_{i}\right\}
$$

Projection throws out some attributes of the relation and possibly rearranges the order of the remaining ones.

## Rename

This is a "utility" operation, which gives a new name to a given relation and (optionally) renames its attributes. Three possible ways in which it is used are:

- $\rho_{S}(R)$ : relation $R$ is now renamed to $S$. Attributes preserve their names.
- $\rho_{S\left(A_{1}, \ldots, A_{n}\right)}(R)$ : relation $R$ is now renamed to $S$. Its attributes are now named $A_{1}, \ldots, A_{n}$.
- $\rho_{R\left(A_{1}, \ldots, A_{n}\right)}(R)$ : relation $R$ keeps its name, but the attributes are renamed to $A_{1}, \ldots, A_{n}$.

Note: Renaming a relation is useful in situations when you need to write a relational algebra expression that involves using one relation several times for different purposes. It can be combined with the projection operation's power to rearrange the order of columns.

## More on Conditions

A more generalized version of conditions used in selection and join operations may be described as follows.
Let database $\mathcal{D}$ consist of relations $R_{1}, \ldots R_{k}$. Let $X_{1}, \ldots X_{N}$ be all attribute names used in $\mathcal{D}$.
A valid identifier is one of the following: $X, R . Y, c$ where $X, Y$ are attribute names, $Y$ is an attribute of relation $R$, and $c$ is a constant.

An atomic condition is an expression of the form

$$
f\left(\mathcal{X}_{1}, \ldots, \mathcal{X}_{m}\right) \operatorname{op} g\left(\mathcal{Y}_{1}, \ldots, \mathcal{Y}_{k}\right)
$$

where op $\in\{=, \neq,>,<, \leq, \geq\}, \mathcal{X}_{1}, \ldots, \mathcal{X}_{m}, \mathcal{Y}_{1}, \ldots \mathcal{Y}_{k}$ are all valid identifiers and $f^{(m)}($.$) and$ $g^{(k)}($.$) are computable functions.$
Finally, atomic conditions are conditions and if $C_{1}$ and $C_{2}$ are conditions than so are $C_{1} \wedge C_{2}$, $C_{1} \vee C_{2}$ and $\neq C_{1}$.

Valid conditions are only those that can be constructed using the procedures described above.
This definition of produces a larger set of conditions, some of which are no selection conditions. It also extends the set of selection conditions: e.g.,
$R . X_{1}+R . X_{2} \leq\left(R . X_{3}\right)^{2}-25$ is a valid selection constraint now.

## Derived Operations

Some important relational algebra operations can be derived from the basic operations.

## Intersection

Definition 8 Let $R$ and $S$ be two relations with the same relational schema. An intersection of $R$ and $S$, denoted $R \cap S$ is a relation $T$ such that

$$
T=\{t \mid t \in R \quad \text { and } \quad t \in S\}
$$

Intersection finds tuples common to two relations.
From set theory we know that $R \cap S=R-(R-S)$, hence, intersection is a derived operation in our relational algebra.

## Division (Quotient)

Definition 9 Let $R$ be a relation of arity $r$ with relational schema $X_{1}: V_{1}, \ldots, X_{r}: V_{r}$ and $S$ be a relation of arity $s<r(s \neq 0)$ with schema $Y_{1}: V_{r-s+1}, \ldots, Y_{s}: V_{r}$.

The result of division of $R$ on $S$ (also known as the quotient of $R$ and $S$ ), denoted $R / S$, is a relation $T$ of arity $r-s$ with schema $X_{1}: V_{1}, \ldots, X_{r-s}: V_{r-s}$ such that

$$
T=\left\{t=\left(a_{1}, \ldots, a_{r-s}\right) \mid\left(\forall s=\left(a_{r-s+1}, \ldots, a_{r}\right) \in S\right)\left(\left(a_{1}, \ldots, a_{r}\right) \in R\right)\right\}
$$

Division finds all "prefixes" in one relation that have every "suffix" from the second relation.
Division can be derived as follows: Let $F=X_{1}, \ldots, X_{r-s}$. Then,

$$
R / S=\pi_{F}(R)-\pi_{F}\left(\left(\pi_{F}(R) \times S\right)-R\right)
$$

## Joins

The family of join operations allows us to "intelligently" combine together the contents of two (or more) different relations.

## $\Theta$-Join

Also known as condition join.
Definition 10 Let $R$ and $S$ be two relations with attributes $\left(X_{1}, \ldots, X_{n}\right)$ and $\left(Y_{1}, \ldots, Y_{m}\right)$ respectively and let $C=R . X \Theta S . Y$ be a join condition, with $\Theta \in\{=, \neq,<,>, \leq, \geq\}$. $A \Theta$-join of $R$ and $S$, denoted $R \bowtie_{C} S$, is a relation $T$ such that:

$$
T=\left\{t=\left(a_{1}, \ldots, a_{n}, b_{1}, \ldots, b_{m}\right) \mid r=\left(a_{1}, \ldots, a_{n}\right) \in R \wedge s=\left(b_{1}, \ldots, b_{m}\right) \in S \wedge \text { s.X } \mathrm{r} . \mathrm{Y} \text { is true }\right\} .
$$

Join selects the tuples from the Cartesian product of two relations that match a given constraint (typically, comparing the attributes from both relations). $\Theta$-join can be expressed via selection and Cartesian product as follows:

$$
R \bowtie_{C} S=\sigma_{C}(R \times S) .
$$

## Equijoin

Equijoin is a special type of $\Theta$-join where the join condition is a conjunction of equalities: R.X $=$ S.Y.

## Natural Join

Natural Join is a type of equijoin of two relations.
Definition 11 Let $R\left(X_{1}, \ldots, X_{n}\right)$ and $S\left(Y_{1}, \ldots, Y_{m}\right)$ be two relations and let $Z_{1}, \ldots Z_{k}$ all common attributes of $R$ and $S$. Let $\mathcal{Y}^{\prime}=\left(Y_{1}, \ldots, Y_{m}\right)-\left(Z_{1}, \ldots, Z_{k}\right)$. A natural join of $R$ and $S$, denoted $R \bowtie S$ is a relation $T$ such that

$$
T=\pi_{X_{1}, \ldots, X_{n}, \mathcal{Y}^{\prime}}\left(R \bowtie_{R . Z_{1}=S . Z_{1} \wedge \ldots R . Z_{k}=S . Z_{k}} S\right)
$$

Natural join looks at all common attributes of two relations and joins on them, removing one set of common attributes from the final relation.

## Semijoin

A semijoin of two relations performs a(ny) join operation of two relations and then projects the result onto the fields of one of the relations:

$$
\begin{aligned}
& R \ltimes_{\Theta} S=\pi_{R}\left(R \bowtie_{\theta} S\right) \\
& R \rtimes_{\Theta} S=\pi_{S}\left(R \bowtie_{\theta} S\right)
\end{aligned}
$$

## Examples

Consider the following five relations ( $\mathbf{W}$ is at the bottom of the page):

| R: |  |  |  | S: |  |  | T: |  |  | V: |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | B | C | D | B | E | F | A | E | G | A | E | G |
| 1 | 1 | a | a | 1 | a | c | 2 | b | 1 | 2 | b | 1 |
| 1 | 2 | a | b | 1 | b | a | 1 | a | 2 | 3 | a | 2 |
| 2 | 1 | b | b | 2 | a | a | 2 | b | 4 | 3 | b | 1 |
| 2 | 2 | b | b | 3 | b | b | 3 | b | 2 | 1 | a | 2 |
| 2 | 2 | a | a | 2 | c | a | 1 | b | 6 | 1 | c | 0 |

Here are the results of some relational algebra operations on these tables.

| $T \cup V$ |  |  |
| :---: | :---: | :---: |
| A | E | G |
| 2 | b | 1 |
| 1 | a | 2 |
| 2 | b | 4 |
| 3 | b | 2 |
| 1 | b | 6 |
| 3 | a | 2 |
| 3 | b | 1 |
| 1 | c | 0 |


| $T-V$ |  |  |
| :---: | :---: | :---: |
| A | E | G |
| 2 | b | 4 |
| 3 | b | 2 |
| 1 | b | 6 |

\[

\]

| $V \cap T$ |  |  |
| :---: | :---: | :---: |
| A | E | G |
| 2 | b | 1 |
| 1 | a | 2 |


| $\sigma_{A=2}(R)$ |  |  |  |
| :--- | :--- | :--- | :--- |
| A | B | C | D |
| 2 | 1 | b | b |
| 2 | 2 | b | b |
| 2 | 2 | a | a |

\[

\]

$$
\quad
$$

$$
2 \quad 2 \quad \text { a } \quad \text { a }
$$

\[

\]

| $\pi_{E, G, A}(T)$ |  |  |
| :---: | :---: | :---: |
| E | G | A |
| b | 1 | 2 |

a 23
b 13
a 21
c $\quad 0 \quad 1$

| $\mathbf{W}:$ |  |
| :---: | :---: |
| A | B |
| 2 | 1 |
| 2 | 2 |$\quad$| $R / W$ |  |
| :--- | :--- |
| C | D |


| $T \times W$ |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| T.A | E | G | W.A | B |
| 2 | b | 1 | 2 | 1 |
| 2 | b | 1 | 2 | 2 |
| 1 | a | 2 | 2 | 1 |
| 1 | a | 2 | 2 | 2 |
| 2 | b | 4 | 2 | 1 |
| 2 | b | 4 | 2 | 2 |
| 3 | b | 2 | 2 | 1 |
| 3 | b | 2 | 2 | 2 |
| 1 | b | 6 | 2 | 1 |
| 1 | b | 6 | 2 | 2 |


| $W \times T$ |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| W.A | B | T.A | E | G |
| 2 | 1 | 2 | b | 1 |
| 2 | 1 | 1 | a | 2 |
| 2 | 1 | 2 | b | 4 |
| 2 | 1 | 3 | b | 2 |
| 2 | 1 | 1 | b | 6 |
| 2 | 2 | 2 | b | 1 |
| 2 | 2 | 1 | a | 2 |
| 2 | 2 | 2 | b | 4 |
| 2 | 2 | 3 | b | 2 |
| 2 | 2 | 1 | b | 6 |


| $S \bowtie_{B>A} T$ |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
| B | $\mathrm{S.E}$ | F | A | T.E | G |
| $\mathbf{2}$ | a | a | $\mathbf{1}$ | a | 2 |
| $\mathbf{2}$ | a | a | $\mathbf{1}$ | b | 6 |
| $\mathbf{3}$ | b | b | $\mathbf{2}$ | b | 1 |
| $\mathbf{3}$ | b | b | $\mathbf{1}$ | a | 2 |
| $\mathbf{3}$ | b | b | $\mathbf{2}$ | b | 4 |
| $\mathbf{3}$ | b | b | $\mathbf{1}$ | B | 6 |
| $\mathbf{2}$ | c | a | $\mathbf{1}$ | a | 2 |
| $\mathbf{2}$ | c | a | $\mathbf{1}$ | b | 6 |


| T.A | T.E | T.G | V.A | V.E | V.G |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 2 | b | 1 | 3 | a | 2 |
| 2 | b | 1 | 1 | a | 2 |
| 1 | a | 2 | 2 | b | 1 |
| 1 | a | 2 | 3 | b | 1 |
| 2 | b | 4 | 3 | a | 2 |
| 2 | b | 4 | 1 | a | 2 |
| 1 | b | 6 | 2 | b | 1 |
| 1 | b | 6 | 3 | b | 1 |


| $R \bowtie T$ |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| A | B | C | D | E | G |
| 1 | 1 | a | a | a | 2 |
| 1 | 1 | a | a | b | 6 |
| 1 | 2 | a | b | a | 2 |
| 1 | 2 | a | b | b | 6 |
| 2 | 1 | b | b | b | 1 |
| 2 | 1 | b | b | b | 4 |
| 2 | 2 | b | b | b | 1 |
| 2 | 2 | b | b | b | 4 |
| 2 | 1 | a | a | b | 1 |
| 2 | 1 | a | a | b | 4 |


| $S \bowtie V$ |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| B | E | F | A | G |
| 1 | a | c | 3 | 2 |
| 1 | a | c | 1 | 2 |
| 1 | b | a | 2 | 1 |
| 1 | b | a | 3 | 1 |
| 2 | a | a | 3 | 2 |
| 2 | a | a | 1 | 2 |
| 3 | b | b | 2 | 1 |
| 3 | b | b | 3 | 1 |
| 2 | c | a | 1 | 0 |


| $T \bowtie V$ |  |  |
| :---: | :---: | :---: |
| A | E | G |
| 2 | b | 1 |
| 1 | a | 2 |$\quad$| $S \ltimes T$ |  |  |
| :---: | :---: | :---: |
|  |  |  | | B | E | F |
| :---: | :---: | :---: |
| 1 | a | c |
| 1 | b | a |
| 2 | a | a |
| 3 | b | b |
|  |  |  |$\quad$| $S \rtimes T$ |  |  |
| :--- | :--- | :--- |
| A | E | G |
| 2 | b | 1 |
| 1 | a | 2 |
| 2 | b | 4 |
| 3 | b | 2 |
| 1 | b | 6 |

