

**Preliminary Report on  
Input Cover Number as a Metric for Propositional Resolution Proofs**

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## What Property Ensures that Propositional Formulas Are “Hard” for Resolution?

Notation: Formula  $F$  has  $N$  variables, length  $L$ .

- Lowercase  $n$  is used as parameter within family, e.g.  $\text{PHP}(n+1, n)$ .

Main Intuition [Ben-Sasson and Wigderson, 2001]:

*If*

every (general resolution) refutation of a (propositional CNF) formula  $F$  *must* contain a “very weak” derived clause,

*then*

every (resolution) refutation of  $F$  *must* have length **superpolynomial** in  $L$ .

Their meaning of “very weak” (*excess clause width* criterion):

“has at least  $\Theta\left(N^{\frac{1}{2}+\epsilon}\right)$  more literals than widest clause in  $F$ ”

Often need to transform  $F_0$  with wide clauses into  $F$  in 3-CNF.

## Excess Clause Width Does Not Distinguish **Polynomial** from **Superpolynomial** Resolution Length

GT( $n$ ) Family [Krishnamurthy 1985]	Pigeon-Hole Family, PHP( $n + 1, n$ )
<p>It is inconsistent that a partially ordered set of <math>n</math> elements has no maximal element.</p> <p style="text-align: center;"><math>N = n^2</math></p> <p><math>n</math> positive clauses of width <math>n - 1</math> About <math>n^3</math> clauses of lengths 2–3.</p> <p style="text-align: center;"><math>L \approx 3N^{3/2}</math></p>	<p>It is inconsistent that <math>(n + 1)</math> pigeons can be mapped 1–1 into <math>n</math> holes.</p> <p style="text-align: center;"><math>N = (n + 1)n</math></p> <p><math>n + 1</math> positive clauses of width <math>n</math> About <math>n^3/2</math> clauses of length 2.</p> <p style="text-align: center;"><math>L \approx N^{3/2}</math></p>

**Remark:** Clause distributions typical of many constraint-satisfaction problems.

**EPHP**( $n + 1, n$ ) requires excess clause width  $\Theta(N^{\frac{1}{2}})$  [Ben-Sasson and Wigderson].  
**PHP**( $n + 1, n$ ) requires *superpolynomial* refutations [Haken, 1985].

**MGT**( $n$ ) requires excess clause width  $\Theta(N^{\frac{1}{2}})$  [Bonet and Galesi, 2001].  
**GT**( $n$ ) has *linear* refutations [Stålmarck, 1996].

## Is There a Better Notion of “Very Weak”?

Input Distance [Van Gelder, 2005]:

- Count only literals that do not occur in any *one* input clause to measure weakness of derived clause  $D$ ; i.e.,  $\Delta(D) = \max_{C \in F} |D - C|$ .
- **Motivation:** Ensure that simply rederiving long clauses of original formula does not qualify as “very weak”.
- Tolerates formulas with long clauses.

**Theorem:**  $\text{PHP}(n + 1, n)$  requires input distance  $\Delta \geq \Theta(N^{\frac{1}{2}})$ .

That is, after transforming to  $\text{EPHP}(n + 1, n)$ , you not only need to derive a long clause, but it has to be *substantially different* from any long clause in the original  $\text{PHP}(n + 1, n)$ .

**Bad news:**  $\text{GT}(n)$  (apparently) also requires input distance  $\Delta \geq \Theta(N^{\frac{1}{2}})$ .

## New Try for Better Notion of “Very Weak”: Input Cover Number

**Input Cover Number** of derived clause  $D$ ,  $\kappa(D)$ , is the minimum number of input clauses needed to “cover”  $D$ ’s literals.

- I.e., minimize  $|G|$  such that  $G \subseteq F$  and  $D \subseteq \bigcup G$ .
- **Motivation:** Ensure that simply rederiving long clauses of original formula does not qualify as “very weak”.
- Tolerates formulas with long clauses.

**Theorem:**  $\text{PHP}(n+1, n)$  requires input cover number  $\kappa \geq \Theta(N^{\frac{1}{2}})$ .

**Theorem:**  $\text{GT}(n)$  requires input cover number  $\kappa \geq \Theta(1)$ , e.g., 2 or 3.

**Bad news:** Input cover number can be “manipulated” by transforming the formulas from their natural representations.

- E.g.,  $\text{MGT}(n)$  requires input cover number  $\kappa \geq \Theta(N^{\frac{1}{2}})$ .

**Future Work:** Refine the definition of input cover number so that it is immune to such changes of form.