

**Preliminary Report on
Input Cover Number as a Metric for Propositional Resolution Proofs**

Allen Van Gelder
Computer Science Department
University of California
Santa Cruz, CA, USA

`avg@cs.ucsc.edu`

`http://www.cse.ucsc.edu/~avg/Papers/`

What Property Ensures that Propositional Formulas Are “Hard” for Resolution?

Notation: Formula F has N variables, length L .

- Lowercase n is used as parameter within family, e.g. $\text{PHP}(n+1, n)$.

Main Intuition [Ben-Sasson and Wigderson, 2001]:

If

every (general resolution) refutation of a (propositional CNF) formula F *must* contain a “very weak” derived clause,

then

every (resolution) refutation of F *must* have length **superpolynomial** in L .

Their meaning of “very weak” (*excess clause width* criterion):

“has at least $\Theta\left(N^{\frac{1}{2}+\epsilon}\right)$ more literals than widest clause in F ”

Often need to transform F_0 with wide clauses into F in 3-CNF.

Excess Clause Width Does Not Distinguish **Polynomial** from **Superpolynomial** Resolution Length

GT(n) Family [Krishnamurthy 1985]	Pigeon-Hole Family, PHP($n + 1, n$)
It is inconsistent that a partially ordered set of n elements has no maximal element.	It is inconsistent that $(n + 1)$ pigeons can be mapped 1–1 into n holes.
$N = n^2$ n positive clauses of width $n - 1$ About n^3 clauses of lengths 2–3. $L \approx 3N^{3/2}$	$N = (n + 1)n$ $n + 1$ positive clauses of width n About $n^3/2$ clauses of length 2. $L \approx N^{3/2}$

Remark: Clause distributions typical of many constraint-satisfaction problems.

EPHP($n + 1, n$) requires excess clause width $\Theta(N^{1/2})$ [Ben-Sasson and Wigderson].
PHP($n + 1, n$) requires *superpolynomial* refutations [Haken, 1985].

MGT(n) requires excess clause width $\Theta(N^{1/2})$ [Bonet and Galesi, 2001].
GT(n) has *linear* refutations [Stålmarck, 1996].

Is There a Better Notion of “Very Weak”?

Input Distance [Van Gelder, 2005]:

- Count only literals that do not occur in any *one* input clause to measure weakness of derived clause D ; i.e., $\Delta(D) = \max_{C \in F} |D - C|$.
- **Motivation:** Ensure that simply rederiving long clauses of original formula does not qualify as “very weak”.
- Tolerates formulas with long clauses.

Theorem: $\text{PHP}(n + 1, n)$ requires input distance $\Delta \geq \Theta(N^{\frac{1}{2}})$.

That is, after transforming to $\text{EPHP}(n + 1, n)$, you not only need to derive a long clause, but it has to be *substantially different* from any long clause in the original $\text{PHP}(n + 1, n)$.

Bad news: $\text{GT}(n)$ (apparently) also requires input distance $\Delta \geq \Theta(N^{\frac{1}{2}})$.

New Try for Better Notion of “Very Weak”: Input Cover Number

Input Cover Number of derived clause D , $\kappa(D)$, is the minimum number of input clauses needed to “cover” D ’s literals.

- I.e., minimize $|G|$ such that $G \subseteq F$ and $D \subseteq \bigcup G$.
- **Motivation:** Ensure that simply rederiving long clauses of original formula does not qualify as “very weak”.
- Tolerates formulas with long clauses.

Theorem: $\text{PHP}(n+1, n)$ requires input cover number $\kappa \geq \Theta(N^{\frac{1}{2}})$.

Theorem: $\text{GT}(n)$ requires input cover number $\kappa \geq \Theta(1)$, e.g., 2 or 3.

Bad news: Input cover number can be “manipulated” by transforming the formulas from their natural representations.

- E.g., $\text{MGT}(n)$ requires input cover number $\kappa \geq \Theta(N^{\frac{1}{2}})$.

Future Work: Refine the definition of input cover number so that it is immune to such changes of form.